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5 DMTF Process for Technology Submissions,

6 Review, and Acceptance

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- 32 such patent may relate to or impact implementations of DMTF standards, visit
- 33 <u>http://www.dmtf.org/about/policies/disclosures.php</u>.

34 This document's normative language is English. Translation into other languages is permitted.

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Introduction

54 This document describes the DMTF technology adoption and submission policy. This is includes how

55 externally, multi-party artifacts can be brought into the DMTF for consideration in its ongoing work as well

as how externally developed feedback on existing standards or other works may be brought into the

57 DMTF for incorporation into DMTF produced materials.

- 58 This document was prepared by the DMTF Executive Committee.
- 59 DMTF is a not-for-profit association of industry members dedicated to promoting enterprise and systems
- 60 management and interoperability. For information about the DMTF, see http://www.dmtf.org

61 **1 Purpose of this document**

62 **1.1 Scope of document**

This document sets forth the processes by which Submissions may be considered for adoption in relation to the development or improvement of DMTF technical specifications where the Submission is developed exclusively outside the context of DMTF meetings.

- 66 This document:
- replaces the "DMTF Tech Adoption Policy, Process for bringing new technology into the DMTF"
 adopted by the DMTF 10/20/1999;
- sets forth requirements for a Submission portal to replace the Feedback portal
 (<u>http://www.dmtf.org/standards/feedback</u>) with a portal that may be used for Submissions as
 well as Feedback, and
- provides internal guidance to DMTF management and members regarding the disposition of
 Submissions.

74 **1.2** Application to members and non-members

This process document applies to all Submissions, regardless of whether the Submission is made by a
 DMTF member or by a non-member. It applies only to Submissions developed exclusively outside the
 context of DMTF meetings.

78 **2 Other policies**

79 **2.1 Relationship to other DMTF policies**

- In the event of a direct conflict between this document and the following DMTF policies, the following
 policies (as amended from time to time) control <u>http://dmtf.org/about/policies</u>:
- 82 DMTF Patent and Technology Policy
- 83 DMTF Revised Member Rules of Conduct
- 84 DMTF <u>DSP4014</u>, DMTF Process for Working Bodies

85 **2.2 Normative references**

- 86 Submissions must also conform to the following external policies as they may be revised from time to
- time. In the event of conflict between any DMTF policy and the following external policies, the DMTFpolicy controls.
- 89 ISO/IEC Directives, Part 2, Rules for the structure and drafting of International Standards,

90 http://isotc.iso.org/livelink/livelink.exe/fetch/2000/2122/3146825/4229629/4230450/4230456/ISO_IEC_Dir

91 <u>ectives Part_2_Rules_for_the_structure_and_drafting_of_International_Standards_2004_5th_editio</u> 92 n pdf_format_.pdf?nodeid=4230517&vernum=0

93 **2.3 Roles and responsibilities**

- This clause of the document defines the roles and responsibilities required by the DMTF Technology Submission, Review and Acceptance Policy.
- 96 In the event that the VP of Technology is unavailable, the Vice Chair of the Technical Committee may 97 temporarily act in their stead with respect to the processes defined in this document provided at least

98 three other DMTF Executives have given their approval. This document is also subject to the rules and 99 responsibilities specified in DSP4014.

100 **2.4 Terminology**

101 The following chart clarifies the use of certain terms in this document:

Original Term	Source and Definition	Equivalent Term Used in This Document
Submission	Term defined in Clause 2 of the <u>DMTF Patent and Technology Policy</u> as "a new contribution to the DMTF for consideration as part of a technical standard"	Submission
[various]	Refers to the person or entity, member or non-member, that makes a Submitter Submission to the DMTF	
Contribution	Term currently used in <u>DMTF Member Rules of Conduct</u> , Clause 2 – Submi "Content" regarding Copyright on inputs, etc. Submi	
Contributor	Term currently used in <u>DMTF Member Rules of Conduct</u> , Clause 2 – "Content", regarding Copyright on inputs, etc.	Submitter
submitter Term currently used in <u>DMTF Member Rules of Conduct</u> , Clause 2 – "Content", regarding Copyright on inputs, etc. Submitter		Submitter
Proponent	Term currently used in <u>DMTF Patent and Technology Policy</u> Submitter	
sponsor Term currently used in Clause 1 of the existing DMTF Tech Adoption Policy		Submitter

102 **3 Processes**

103 **3.1 Technology submissions**

104 The DMTF will develop and maintain a Technology Submissions Portal.

All Submissions developed exclusively outside the context of DMTF meetings must be made through the

DMTF Technology Submissions Portal, unless the Submission originated from a single source and does
 not incorporate input from any other source.

108 **3.1.1 Technology Submissions Portal**

- 109 1) Will provide a means for the DMTF to receive the following:
- 110 a) Submissions
- b) Feedback regarding existing DMTF documents
- 112 2) Will ensure that Submitters agree to be subject to all relevant DMTF rules, policies, and 113 procedures with respect to the Submissions, including but not limited to the following:
- 114 a) Identification:
 - Submitters who are members must log in to an account associated with the organization the Submitter is representing for the purpose of the Submission.
- 117 ii) Submitters who are not members must identify themselves by name and identify any organization the Submitter is affiliated with that might have an interest in the Submission or the associated DMTF specification.

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- b) Authority: Submitters who are not DMTF members must state that they are authorized to make the Submission on behalf of any entity they represent.
- 122 c) Disclosures:
 - The Portal will provide means for the Submitter to disclose patents to the DMTF Technical Committee in accordance with the <u>DMTF Patent Policy</u>, Clause 2, or any successor policy.
- 126 ii) The Portal will provide means for the Submitter to provide any Copyright License
 127 Grants that may be required in accordance with the <u>DMTF Member Rules of Conduct</u> 128 as to Copyright on Content, or any successor policy.
 - iii) Multiple Submissions: Multiple Submissions may be made within a single login session.
- 131 3) Records: DMTF will maintain a record of every Submission for the purpose of tracking
 132 compliance to this policy, including identity of Submitter, organization represented, the date and
 133 time of the Submission, and nature of the information submitted.
- Accounts: The Portal will provide convenient means for non-members to create an account,
 subject to verification of identity.
- 136 5) Changes: The Portal may be updated from time to time, consistent with DMTF policies.

137 **3.2 Submission review**

- 138 Once a Submission has been received by the DMTF through the DMTF Technology Submissions Portal:
- The DMTF VP of Technology shall decide the next course of action based on the merits of the proposal and work going on in other standards organizations, and act on the Submission. Those actions include but are not limited to the following:
- 142a)If the Submission is clearly within the scope of an existing Committee, Subcommittee,143Working Group or other body within the DMTF (such as an Incubator), the VP of144Technology shall consult with the Chairs of the parent body(s) (for example Committee)145and/or Subcommittee) and the Chairs of the Working Group or other DMTF body. The work146required to adopt the Submission into new or existing specifications shall be assigned to147that body with a timetable consistent with DMTF priorities and resource requirements.
- b) If the Submission expands existing DMTF programs, the VP of Technology may forward
 the proposal to the DMTF Board for consideration. If approved, the Board may recommend
 that the Submitter or other DMTF Member set up an appropriate body or work to expand
 the charter of an existing body. Once the appropriate body is established, the VP of
 Technology shall assign the submission to that body.
- 153c)If the Submission is outside the scope of existing DMTF Programs but consistent with154DMTF purposes, the VP of Technology shall forward the Submission to the Technical155Committee, which may consider forming a new body such as a Working Group or156Incubator.
- 157d)If the Submission is outside the scope of the DMTF, the Submitter may be referred to an158alliance partner or other standards development organization, as agreed with the DMTF159Board.
- 160 e) If DMTF resources cannot move the Submission forward, the VP of Technology shall either:
 - Ask the Submitter to resubmit in the future for further consideration, or
 - Notify the Submitter that the Submission is being held for a defined period of time for future consideration and shall be reevaluated within that period of time.

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- 165f)The VP of Technology may recommend to the Board that a Submission be rejected or166recommend an alternative disposition. If the Board rejects a Submission, the Submitter167must be notified of such a decision.
- 1682)After receipt of the submission, the VP of Technology will notify the DMTF Board of the169Technology submission at the next DMTF Board meeting. Upon completion of the disposition of170the submission, the VP of Technology will notify the DMTF Board of the disposition of the171submission at the next DMTF Board meeting. The VP of Technology will then notify the172submitter of the result of the submission process.
- 173 3) If the Submission is accepted in the DMTF, the VP of Marketing shall, in consultation with the appropriate Marketing Body, decide whether a press release is appropriate in accordance with the DMTF Press Release Policy.

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ANNEX A (informative)

Change log

Version	Date	Description
1.0.0	2010-05	
1.1.0	2010-08	Improved disposition of technology submissions in clause 3.2. Clarification paragraph added to clause 3.1 to clarify rules for submissions developed exclusively outside the context of DMTF meetings.
1.2.0	2012-12-13	DMTF Informational Release
1.3.0	2016-11-18	Added vice-chair ability to serve in the stead of VP of Technology. Modified the introduction for consistency.

Bibliography

- DMTF DSP4014, *DMTF Process for Working Bodies 2.6*, <u>http://www.dmtf.org/sites/default/files/DSP4014_2.6.pdf</u> 182
- 183

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