



1

2

3

4

**Document Identifier: DSP2032**

**Date: 2015-02-19**

**Version: 2.0.0**

5

## **CIM-RS White Paper**

6

**Supersedes: 1.0**

7

**Document Type: White Paper**

8

**Document Class: Informative**

9

**Document Status: Published**

10

**Document Language: en-US**

11

12 Copyright Notice

13 Copyright © 2012, 2015 Distributed Management Task Force, Inc. (DMTF). All rights reserved.

14 DMTF is a not-for-profit association of industry members dedicated to promoting enterprise and systems  
15 management and interoperability. Members and non-members may reproduce DMTF specifications and  
16 documents, provided that correct attribution is given. As DMTF specifications may be revised from time to  
17 time, the particular version and release date should always be noted.

18 Implementation of certain elements of this standard or proposed standard may be subject to third party  
19 patent rights, including provisional patent rights (herein "patent rights"). DMTF makes no representations  
20 to users of the standard as to the existence of such rights, and is not responsible to recognize, disclose,  
21 or identify any or all such third party patent right, owners or claimants, nor for any incomplete or  
22 inaccurate identification or disclosure of such rights, owners or claimants. DMTF shall have no liability to  
23 any party, in any manner or circumstance, under any legal theory whatsoever, for failure to recognize,  
24 disclose, or identify any such third party patent rights, or for such party's reliance on the standard or  
25 incorporation thereof in its product, protocols or testing procedures. DMTF shall have no liability to any  
26 party implementing such standard, whether such implementation is foreseeable or not, nor to any patent  
27 owner or claimant, and shall have no liability or responsibility for costs or losses incurred if a standard is  
28 withdrawn or modified after publication, and shall be indemnified and held harmless by any party  
29 implementing the standard from any and all claims of infringement by a patent owner for such  
30 implementations.

31 For information about patents held by third-parties which have notified the DMTF that, in their opinion,  
32 such patent may relate to or impact implementations of DMTF standards, visit  
33 <http://www.dmtf.org/about/policies/disclosures.php>.

34

# CONTENTS

35 Abstract ..... 4

36 Foreword ..... 5

37 Executive summary..... 6

38 1 Terminology..... 7

39 2 Why build a RESTful interface for CIM ..... 9

40 3 Characteristics of a RESTful protocol and CIM-RS ..... 9

41 4 Resources in CIM-RS..... 11

42 5 Resource identifiers in CIM-RS..... 13

43 6 Operations in CIM-RS ..... 14

44 7 Data representation in CIM-RS ..... 14

45 8 When would a site consider implementing CIM-RS..... 15

46 9 Conclusion..... 16

47 ANNEX A Change log ..... 17

48 Bibliography ..... 18

49

## 50 Tables

51 Table 1 – CIM-RS resource types and what they represent..... 13

52 Table 2 – CIM-RS protocol payload elements ..... 15

53

54

## Abstract

55 This white paper provides background information for CIM-RS as defined in the DMTF specifications *CIM-*  
56 *RS Protocol* ([DSP0210](#)) and *CIM-RS Payload Representation in JSON* ([DSP0211](#)). This white paper will  
57 provide some explanation behind the decisions made in these specifications and give the reader insight  
58 into when the use of CIM-RS may be appropriate. There is also discussion of some of the considerations  
59 in choosing payload encodings such as JSON or XML.

60 This paper is targeted to potential users of CIM-RS who are considering developing a server-side  
61 interface to a CIM implementation that follows REST principles, or a client that consumes such an  
62 interface.

63

## Foreword

64 The *CIM-RS White Paper* (DSP2032) was prepared by the DMTF CIM-RS Working Group, based on  
65 work of the DMTF CIM-RS Incubator.

66 DMTF is a not-for-profit association of industry members dedicated to promoting enterprise and systems  
67 management and interoperability. For information about the DMTF, see <http://www.dmtf.org>.

## 68 Acknowledgments

69 The DMTF acknowledges the following individuals for their contributions to this document:

- 70 • Cornelia Davis, EMC
- 71 • George Ericson, EMC
- 72 • Johannes Holzer, IBM
- 73 • Robert Kieninger, IBM
- 74 • Wojtek Kozaczynski, Microsoft
- 75 • Lawrence Lamers, VMware
- 76 • Andreas Maier, IBM (editor)
- 77 • Bob Tillman, EMC
- 78 • Marvin Waschke, CA Technologies (editor)

## 79 Document conventions

### 80 Typographical conventions

81 The following typographical conventions are used in this document:

- 82 • Document titles are marked in *italics*.

### 83 Deprecated and experimental material

84 A white paper has informative character. Therefore, material is not marked as experimental or deprecated  
85 as it would be in normative DMTF specifications.

86

## Executive summary

87 The DMTF Common Information Model (CIM) is a conceptual information model for describing computing  
88 and business entities in Internet, enterprise, and service-provider environments. CIM uses object-oriented  
89 techniques to provide a consistent definition of such entities: A CIM model describes the state, relations,  
90 and behaviors of such managed objects. The CIM Schema published by DMTF is one such CIM model,  
91 establishing a common description of certain managed objects.

92 CIM and the CIM Schema provide a foundation for IT management software that can be written in one  
93 environment and easily converted to operate in a different environment. It also facilitates communication  
94 between software managing different aspects of the IT infrastructure. In this way, CIM and CIM Schema  
95 provide a basis for an integrated IT management environment that is more manageable and less complex  
96 than environments based on narrower and less consistent information.

97 CIM is built on object oriented principles and provides a consistent and cohesive programming model for  
98 IT management software. One of the developing trends in enterprise network software architecture in  
99 recent years has been Representational State Transfer (REST). REST represents a set of architectural  
100 constraints that have risen from the experience of the World Wide Web. Developers have discovered that  
101 the architecture of the web offers some of the same benefits in simplicity and reliability to enterprise  
102 software as it has provided over the Internet. IT management is an important application of enterprise  
103 software and there is growing interest in using CIM and CIM Schema based software in an architecture  
104 that follows REST constraints.

105 Fortunately, CIM follows basic architectural principles that largely fit well into RESTful architectures. As a  
106 result, the RESTful protocol defined by CIM-RS is tailored to the needs of CIM.

# 1 Terminology

In this document, some terms have a specific meaning beyond the normal English meaning. Those terms are defined in this clause.

Some of the terms and abbreviations defined in [DSP0198](#) (such as "WBEM", "CIM", "URI", and others) are used in this document but are not repeated in this clause.

## 1.1

### application state

the state that indicates where an application is in completing a task. In a RESTful system, the client is solely responsible for application or session state. The server is only responsible for resource state, the state of the resources managed by the service. An example of resource state is the account balance in a banking service, which would be maintained by the server. An example of application state is a specific client that has posted a deposit and is waiting for it to clear. Only the client would track the fact that it has posted a deposit request.

## 1.2

### CIM-RS

#### CIM RESTful Services

the RESTful protocol for CIM covered by this white paper and related documents.

## 1.3

### HATEOAS

#### Hypertext As The Engine Of Application State

the practice of using links embedded in resource representations to advertise further possible activities or related resources to the application. For example, an "order" link might be placed in the resource representation for an item offered in a catalog. The presence of the order link indicates that the item is orderable and represents a path to order the item. In a visual representation, the "order" link would appear as a button on the screen. Pushing the button, a POST or PUT HTTP method targeting the resource identifier provided in the link would be issued and would cause the item to be ordered. The returned resource represents the next application state, perhaps a form for entering quantity and shipping method. CIM-RS supports this concept by returning resource identifiers to related resources, for details see [DSP0210](#).

## 1.4

### HTTP content negotiation

negotiation between HTTP clients and HTTP servers to determine the format of the content transferred. When a client makes a request, they list acceptable response formats by specifying media types in an `Accept` header. Thus, the server is able to supply different representations of the same resource identified with the same resource identifier. A common example is GIF and PNG images. A browser that cannot display PNGs can be served GIFs based on the `Accept` header. In a RESTful system, the choice is more often between XML and JSON. For details, see [RFC2616](#). Its use in CIM-RS is described in [DSP0210](#).

## 1.5

### JSON

JavaScript Object Notation, defined in [RFC7159](#).

## 1.6

### idempotent HTTP method

an HTTP method with the behavior that (aside from error or expiration issues) the side-effects of N consecutive identical requests are the same as for a single one of those requests. [RFC2616](#) requires the

152 HTTP methods GET, HEAD, PUT and DELETE to be idempotent. HTTP methods that have no side  
153 effects (that is, safe methods) are inherently idempotent. For details, see [RFC2616](#).

#### 154 1.7

#### 155 Internet media type

156 a string identification for representation formats in Internet protocols. Originally defined for email  
157 attachments and termed "MIME type". Because CIM-RS is based on HTTP, it uses the definition of media  
158 types from section 3.7 of [RFC2616](#).

#### 159 1.8

#### 160 resource

161 in CIM-RS, an entity that can be referenced using a resource identifier and thus can be the target of an  
162 HTTP method. Example resources are systems, devices, or configurations.

#### 163 1.9

#### 164 resource identifier

165 in CIM-RS, a URI that is a reference to (or an address of) a resource. Generally, a resource may have  
166 more than one resource identifier; however in CIM-RS that is not the case.

#### 167 1.10

#### 168 resource representation

169 a representation of a resource or some aspect thereof, in some format. A particular resource may have  
170 any number of representations. The format of a resource representation is identified by a media type. In  
171 CIM-RS, the more general term "payload representation" is used, because not all protocol payload  
172 elements are resource representations.

#### 173 1.11

#### 174 resource state

175 the state of a resource managed by a RESTful service, in contrast to application state.

#### 176 1.12

#### 177 REST

#### 178 Representational State Transfer

179 a style of software architecture for distributed systems that is based on addressable resources, a uniform  
180 constrained interface, representation orientation, stateless communication, and state transitions driven by  
181 data formats. Usually REST architectures use the HTTP protocol, although other protocols are possible.  
182 See [Architectural Styles and the Design of Network-based Software Architectures](#) for the original  
183 description of the REST architectural style.

#### 184 1.13

#### 185 RPC

#### 186 Remote Procedure Call

187 an RPC is an implementation of a function in which a call to the function occurs in one process and the  
188 function is executed in a different process, often in a remote location linked by a network. RPC-based  
189 systems are often contrasted with RESTful systems. In a RESTful system, the interactions between client  
190 and server follow the REST constraints and the design focus is on the resources. In an RPC-based  
191 system, the design focus is on the functions invoked, and there is not necessarily even the notion of well-  
192 defined resources.

#### 193 1.14

#### 194 safe HTTP method

195 an HTTP method that has no side-effects. [RFC2616](#) requires the HTTP methods GET and HEAD to be  
196 safe. By definition, an HTTP method that is safe is also idempotent.



197 **1.15**  
198 **SOAP**  
199 Simple Object Access Protocol, defined by the W3C.

## 200 **2 Why build a RESTful interface for CIM**

201 There has been a great deal of interest in constructing RESTful enterprise applications in the last few  
202 years and this interest has inspired the specification of CIM-RS. To understand the origins of this interest,  
203 the nature of REST and its relationship to IT management must be explored.

204 Enterprise applications are being built more and more frequently on architectures that involve remote  
205 network connections to some part of the implementation of the application. These connections are often  
206 via the Internet. This is especially true with the rise of cloud computing.

207 REST is a set of architectural constraints that were designed around the features of the Internet. For  
208 example, REST constraints are designed to assure that applications that follow constraints will have  
209 maximum benefit from typical Internet features like caches, proxies, and load balancers.

210 In addition, REST constraints are closely tied to the design of HTTP, the primary application level protocol  
211 of the Internet. In fact, the prime formulator of REST, Roy Fielding, was also an author of the HTTP  
212 standard. Consequently, REST was designed to take full advantage of HTTP and HTTP meets the needs  
213 of REST.

214 Some of the specific benefits that have been experienced in RESTful applications are:

- 215 • **Simplicity.** REST limits itself to the methods implemented in HTTP and runs directly on the  
216 HTTP stack. Note, however, that this simplicity can be deceptive. The design effort to comply  
217 with REST may engender its own complexity.
- 218 • **Resilience in the face of network disturbance.** One of the hallmarks of a RESTful application  
219 is a stateless relationship between the server and the client. Each request from the client  
220 contains all the history the server needs to respond to the client. Therefore recovery when a  
221 server becomes inaccessible does not require unwinding a stack and complex recovery logic  
222 when requests are self-contained and independent.
- 223 • **Upgradability.** The operations available in RESTful application are discovered by the client as  
224 the processes occur. Consequently, in some cases, the server implementation often may be  
225 upgraded transparently to the client. In some cases, a well-designed client may be able to take  
226 advantage of new features automatically.

227 Although these are important benefits, it is important to note that REST is not a panacea. Not all activities  
228 are easily compatible with its constraints. Not every operation fits easily into the stateless paradigm. The  
229 discoverability of RESTful applications may breakdown as applications become more complex and  
230 transactions become more elaborate.

231 Nevertheless, as a result of these benefits and others, a substantial number of developers of IT  
232 management applications that use CIM and CIM Schema have turned to REST. Therefore, there is a  
233 need for a specification for a uniform protocol that will promote interoperability between RESTful CIM and  
234 CIM Schema based applications.

## 235 **3 Characteristics of a RESTful protocol and CIM-RS**

236 The characteristics of a RESTful protocol are not standardized or otherwise defined normatively. The  
237 principles and constraints of the REST architectural style have originally been described by Roy Fielding  
238 in chapter 5 of [Architectural Styles and the Design of Network-based Software Architectures](#). The BLOG  
239 entry [REST APIs must be hypertext driven](#) authored by Roy Fielding provides further insight into REST

240 principles. While that description of the REST architectural style is not limited to the use of HTTP, the  
241 HTTP protocol comes close to supporting that style and obviously has a very broad use.

242 The CIM-RS protocol is based on HTTP and supports the REST architectural style to a large degree. The  
243 following list describes to what extent the typical REST constraints are satisfied by the CIM-RS protocol:

244 • **Client-Server:** The participants in the CIM-RS protocol are WBEM client, WBEM server, and  
245 WBEM listener. WBEM stands for *Web Based Enterprise Management* and is a set of protocols  
246 for systems management defined by the DMTF. There is a client-server relationship between  
247 WBEM client and WBEM server, and one between WBEM server and WBEM listener, where  
248 the WBEM server acts as a client to the WBEM listener. Thus, the WBEM server has two roles:  
249 To act as a server in the interactions with the WBEM client, and to act as a client in the  
250 interactions with the WBEM listener.

251 This REST constraint is fully satisfied in CIM-RS.

252 • **Stateless:** Interactions in CIM-RS are self-describing and stateless in that the servers (that is,  
253 the WBEM server in its server role, and the WBEM listener) do not maintain any application  
254 state or session state.

255 This REST constraint is fully satisfied in CIM-RS.

256 • **Cache:** The HTTP methods used in CIM-RS are used as defined in [RFC2616](#). As a result, they  
257 are cacheable as defined in [RFC2616](#).

258 This REST constraint is fully satisfied in CIM-RS.

259 NOTE [RFC2616](#) defines only the result of HTTP GET methods to be cacheable.

260 • **Uniform interface:** The main resources represented in CIM-RS are instances or collections  
261 thereof, representing modeled objects in the managed environment. CIM-RS defines a uniform  
262 interface for creating, deleting, retrieving, replacing, and modifying these resources and thus the  
263 represented objects, based on HTTP methods.

264 This REST constraint is satisfied in CIM-RS, with the following deviation:

265 CIM methods can be invoked in CIM-RS through the use of HTTP POST. This may be  
266 seen as a deviation from the REST architectural style, which suggests that any "method"  
267 be represented as a modification of a resource. However, DMTF experience with a REST  
268 like modeling style has shown that avoiding the use of methods is not always possible or  
269 convenient. For this reason, CIM-RS supports invocation of methods.

270 • **Layered system:** Layering is inherent to information models that represent the objects of a  
271 managed environment because clients only see the modeled representations and are not  
272 exposed to the actual objects. CIM-RS defines the protocol and payload representations such  
273 that it works with any model, and thus is well suited for implementations that implement a model  
274 of the managed environment independently of protocols, and one or more protocols  
275 independently of the model. CIM-RS supports the use of HTTP intermediaries (for example,  
276 caches and proxy servers).

277 This REST constraint is fully satisfied in CIM-RS.

278 • **Code-On-Demand:** CIM-RS does not directly support exchanging program code between the  
279 protocol participants.

280 This optional REST constraint is not satisfied.

281 Beyond that, CIM-RS has the following other characteristics:

- 282 • **Model independence:** CIM-RS does not define or prescribe the use of a particular CIM model.  
283 However, it does require the use of a CIM model defined using the CIM  
284 infrastructure/architecture. This allows reusing the traditional DMTF technology stack and its  
285 implementations, with only minimal impact to existing implementations. For details about CIM-  
286 RS resources, see clause 4.
- 287 • **Opacity of resource identifiers:** CIM-RS uses URIs as resource identifiers and defines  
288 all but a top-level URI to be opaque to clients. That allows reuse of the URIs supported by  
289 existing WBEM protocols without any remapping, as well as the use of new URI formats in the  
290 future. It encourages a client style of programming that is more RESTful than when clients  
291 parse resource URIs. For details about CIM-RS resource identifiers, see clause 5.
- 292 • **Consistency of operations:** Beyond following the REST constraints, the CIM-RS operations  
293 are consistent with the generic operations defined in [DSP0223](#). This allows implementing CIM-  
294 RS as an additional protocol in existing WBEM infrastructures, causing impact only where it is  
295 necessary (that is, at the protocol level), leveraging existing investments. For details about CIM-  
296 RS operations, see clause 6.
- 297 • **Supports use of new RESTful frameworks:** Because CIM-RS is a RESTful protocol, it  
298 supports the use of new RESTful frameworks both on the client side and on the server side,  
299 without tying client application development to the use of traditional WBEM clients or CIM client  
300 APIs, and without tying server instrumentation development to the use of traditional WBEM  
301 servers, such as CIM object managers and providers.

## 302 4 Resources in CIM-RS

303 The REST architectural style allows for the representation of rather static entities such as disk drives, or  
304 entities with highly varying state such as a metric measuring the amount of available disk space at a  
305 specific point in time, or even entities that dynamically come into existence or cease to exist such as file  
306 system mounts.

307 In CIM-RS, CIM elements such as instances and classes are the resources that can be accessed.  
308 Because CIM instances represent managed objects in the managed environment, this provides direct  
309 access to these managed objects. For example, a disk drive in the managed environment is accessible  
310 as a resource in CIM-RS. CIM classes and CIM qualifier types (that is, the declaration of qualifiers) are  
311 also accessible in CIM-RS, but they are not needed for discovery or use of the managed resources. The  
312 reason they are accessible is for those clients that have a need to discover the structure of the CIM-RS  
313 resources that represent managed objects.

314 The way managed objects are defined to be represented as resources in CIM-RS, is by using a  
315 two-staged mapping approach:

- 316 • CIM models describe how managed objects in the managed environment are represented as  
317 CIM instances. This part deals with the model and is independent of any protocols.
- 318 • CIM-RS describes how CIM instances are represented as CIM-RS resources. This part deals  
319 with the protocol and is independent of any models.

320 This model independence allows CIM-RS to be implemented in an existing WBEM server as an additional  
321 protocol, or as a gateway in front of an existing unchanged WBEM server, leveraging the investment in  
322 that implementation. Specifically, in WBEM servers supporting a separation of CIMOM and providers,  
323 adding support for CIM-RS typically drives change only to the CIMOM but does not drive any change to  
324 the providers. On the client side, existing WBEM client infrastructures that provide client applications with  
325 a reasonably abstracted API can implement CIM-RS as an additional protocol, shielding existing client  
326 applications from the new protocol, should that be needed.

327 In order to fit well into WBEM infrastructures, CIM-RS supports the same operation semantics as the  
328 operations supported at client APIs, provider APIs, and existing WBEM protocols. The generic operations  
329 defined in [DSP0223](#) are a common definition of operation semantics for such purposes. The operations of  
330 CIM-RS are described independently of [DSP0223](#), but [DSP0210](#) defines a mapping between generic  
331 operations and CIM-RS operations. For more details about the operations supported by CIM-RS, see  
332 clause 6.

333 Because CIM-RS is a RESTful protocol, it supports the use of new RESTful frameworks both on the client  
334 side and on the server side, without tying client application development to the use of traditional WBEM  
335 clients or CIM client APIs, and without tying server instrumentation development to the use of traditional  
336 WBEM servers, such as CIMOMs and providers.

337 This allows CIM-RS to be implemented using typical REST frameworks, without using CIMOM or WBEM  
338 infrastructure. In this case, the two-staged mapping approach still works well but requires the reading of  
339 more documents in order to understand what to implement, compared to an approach that describes both  
340 model and protocol in one document.

341 Of course, combinations of using new RESTful frameworks and traditional WBEM infrastructure are also  
342 possible: A typical scenario would be the use of a new RESTful framework in a client application, with a  
343 traditional WBEM server whose CIMOM portion got extended with CIM-RS protocol support.

344 It is important to understand that the model independence of CIM-RS and the resulting benefits are its  
345 main motivation and are a key differentiator to other approaches in DMTF of using REST. The model  
346 independence is what positions CIM-RS to be a first class member of the traditional DMTF technology  
347 stack, leveraging a large amount of standards defined by DMTF and others (most notably, the CIM  
348 architecture/infrastructure, the CIM Schema, and management profiles defined by DMTF and others).

349 On the downside, the model independence of CIM-RS causes a certain indirection in dealing with the  
350 managed objects: CIM-RS resources representing CIM instances of CIM classes can be understood only  
351 after understanding the CIM model they implement. The CIM model is defined by a CIM schema and  
352 typically also by a number of management profiles that scope and refine the use of the CIM schema to a  
353 particular management domain. So the number of documents that must be read before a client  
354 application can reasonably be developed against a CIM instrumentation supporting CIM-RS may be quite  
355 significant. On the other hand, this is no more complex than developing a client application against a CIM  
356 instrumentation supporting other existing WBEM protocols.

357 Following the REST architectural style, any entity targeted by an operation in the CIM-RS protocol is  
358 considered a resource, and the operations are simple operations such as the HTTP methods GET,  
359 POST, PUT, and DELETE.

360 The simplicity of these operations requires details to be "encoded" such as the difference between  
361 retrieving a single resource vs. a collection of resources, or retrieving a resource vs. navigating to a  
362 related resource, into the resource definitions. This leads to a number of variations of resources.

363 Note that the real-world entities are not called "resources" in this document. Rather, the standard DMTF  
364 terminology is used, where such real-world entities are termed "managed objects", and the real-world is  
365 termed the "managed environment". This terminology allows distinguishing resources as represented in  
366 the RESTful protocol from the managed objects they correspond to.

367 Table 1 lists the resource types of CIM-RS.

368

**Table 1 – CIM-RS resource types and what they represent**

Resource Type	Represents
Instance	a CIM instance, representing a modeled object in the managed environment
Instance collection	a collection of instances of a particular class
Instance associator collection	a collection of instances associated to a particular instance
Instance reference collection	a collection of association instances referencing a particular instance
Instance collection page	a page of a paged instance collection
Class	a CIM class, representing the type of a CIM instance
Class collection	a collection of classes (top-level classes in a namespace, or subclasses of a class)
Class associator collection	a collection of classes associated to a particular class
Class reference collection	a collection of association classes referencing a particular class
Qualifier type	a CIM qualifier type, representing the declaration of a metadata item
Qualifier type collection	a collection of qualifier types in a particular namespace
Listener indication delivery	a resource within a listener that is used to deliver indications to

369 Each of these resources can be addressed using a resource identifier; for details see clause 5.

370 Each of these resources has a defined set of operations; for details on that see clause 6.

371 Each of these resources has a defined resource representation in each of the supported representation  
372 formats; for details on that see clause 7.

373 CIM-RS supports retrieval of parts of resources. These parts are selected through query parameters in  
374 the resource identifier URI addressing the resource. That renders these parts to be separate resources,  
375 following the principles in the REST architectural style.

376 For more details about CIM-RS resources, see [DSP0210](#).

## 377 **5 Resource identifiers in CIM-RS**

378 The REST architectural style recommends that all addressing information for a resource be in the  
379 resource identifier (and not, for example, in the HTTP header). In addition, it recommends that resource  
380 identifiers be opaque to clients and clients should not be required to understand the structure (or format)  
381 of resource identifiers or be required to assemble any resource identifiers.

382 CIM-RS generally follows these recommendations. In CIM-RS, resource identifiers are fully represented  
383 in URIs, without any need for additional information in HTTP headers or HTTP payload. The structure of  
384 URIs in CIM-RS is normatively defined and may be assembled or manipulated by clients. However, the  
385 values of key properties of CIM instances are often created by the server side implementation, and are  
386 undefined from a client perspective.

387 The URIs a client typically will need to assemble are those of instance collections to be retrieved. From  
388 that point on, the returned instances have their URIs attached and are used as the target resource in  
389 subsequent operations.

390 The main benefit of client-opaque URIs is that servers can use existing URI formats. However, the query  
391 parameters are defined by CIM-RS, and so the URI could already not be entirely opaque.

392 For more details about resource identifiers in CIM-RS, see [DSP0210](#).

## 393 **6 Operations in CIM-RS**

394 The REST architectural style recommends that the operations on resources are simple and follow certain  
395 constraints. Although the use of HTTP is not a requirement for REST, the HTTP methods satisfy these  
396 constraints and are therefore a good choice for a RESTful system.

397 CIM-RS uses the HTTP methods GET, POST, PUT, and DELETE. An operation in CIM-RS is defined as  
398 the combination of HTTP method and target resource type (see Table 1).

399 GET is used to retrieve the targeted resource.

400 PUT is used for replacing the targeted resource partially or fully. Partial update is performed by issuing  
401 the PUT method against a resource identifier that uses query parameters to narrow the original resource  
402 to exactly the properties that are intended to be updated. Because the narrowed resource is fully  
403 replaced, this approach does not violate the idempotency constraint of the HTTP PUT method.

404 The alternative to use the HTTP PATCH method for partial update (see [RFC5789](#)) was originally chosen  
405 in the work of the CIM-RS Incubator but ultimately dismissed in the CIM-RS specifications, because  
406 support for the HTTP PATCH method is still limited in the industry at this point.

407 DELETE is used for removing the targeted resource.

408 POST is a non-idempotent operation in HTTP that can have many uses. The Request-URI in the header  
409 of a POST identifies the resource that will handle the entity enclosed in the message of the request, not  
410 necessarily the entity affected by the POST (see [RFC2616](#), page 54). Following this pattern, POST is  
411 used in CIM-RS as follows:

- 412 • for invoking CIM methods, by targeting an instance or class resource.
- 413 • for creating resources, by targeting the collection resource for the type of resource to be  
414 created, which acts as a factory resource.
- 415 • for delivering indications to a listener.

416 For more details about operations in CIM-RS, see [DSP0210](#).

## 417 **7 Data representation in CIM-RS**

418 The REST architectural style promotes late binding between the abstracted resource that is addressed  
419 through a resource identifier and the resource representation that is chosen in the interaction between  
420 client and server.

421 CIM-RS follows this by supporting multiple HTTP payload formats that are chosen through HTTP content  
422 negotiation.

423 The set of payload formats supported by CIM-RS is open for future extension, and currently consists of  
424 the following:

- 425 • JSON, as defined in [DSP0211](#).

426 A payload format based on XML could be defined in the future.

427 JSON and XML are considered premier choices for a representation format of RESTful systems,  
428 dependent on the REST framework used, and the technical and business environment.

429 It is important to understand that the entities to be represented in the HTTP payload are not only the  
430 resource representations. For example, operations such as method invocation require the representation  
431 of input and output data entities (MethodRequest and MethodResponse payload elements) that are not  
432 resources (in the sense that they cannot be the target of CIM-RS operations).

433 Table 2 lists the payload elements defined in CIM-RS. These are the entities that need to be represented  
 434 in any payload format of CIM-RS.

435 **Table 2 – CIM-RS protocol payload elements**

Payload element	Meaning
Instance	Representation of an instance resource; that is, a modeled object in the managed environment
InstanceCollection	A list of representations of instance resources
Class	Representation of a class resource; that is, a class declaration
ClassCollection	A list of representations of class resources
QualifierType	Representation of a qualifier type
QualifierTypeCollection	A list of representations of qualifier types
MethodRequest	The data describing a method invocation request, including input parameters
MethodResponse	The data describing a method invocation response, including its return value and output parameters
IndicationDeliveryRequest	The data describing a request to deliver an indication to a listener
ErrorResponse	The data describing an error response to any request

436 **8 When would a site consider implementing CIM-RS**

437 CIM-RS is implemented in two places: a centralized server and many clients (including event listeners).  
 438 The server provides access to CIM-RS resources and the client accesses those resources. One of the  
 439 goals of REST is enabling clients, such as generic HTTP browsers, to discover and access RESTful  
 440 services without specialized documentation or programming. CIM-RS enables this kind of access, but  
 441 realistically, such usage would be too granular and awkward for most tasks. More likely, CIM-RS will be  
 442 used in the background as a web service that performs operations and collects data on IT infrastructure.  
 443 The code that combines individual REST requests into task-oriented applications can be implemented  
 444 either on the server side or on the client side.

445 On the server side, SOAP implementations respond to SOAP calls that are usually transported by HTTP  
 446 as a layer under the SOAP stack. The RESTful stack is less elaborate because the layer corresponding  
 447 to the SOAP is eliminated and calls are received directly from the HTTP server. Correspondingly, on the  
 448 client, in SOAP implementation, calls are made via the SOAP stack and transported by HTTP. In REST,  
 449 calls are made using native HTTP verbs. REST simplicity comes with a price. The SOAP stack, and the  
 450 additional specifications that have been written over SOAP add rich functionality that may require extra  
 451 effort to implement the equivalent in REST.

452 With the addition of CIM-RS, applications based on objects defined using CIM models can be surfaced  
 453 via the CIM-RS RESTful protocol. The choice of protocol affects both the server implementation and the  
 454 client implementation. In theory, the applications that result should be the same, but in practice there may  
 455 be differences, based on factors such as the statelessness of RESTful and the ease of implementing  
 456 some interaction patterns.

457 Many implementations are expected to involve using CIM-RS with existing implementations. The ease of  
 458 these implementations will be largely dependent on the layering of the architecture of the CIM  
 459 implementation. Ideally, the implementation of the CIM objects should be crisply separated from the  
 460 transport mechanism. In that case, the CIM-RS implementation, using appropriate frameworks for  
 461 interfacing underlying code with HTTP such as JAX-RS, should be straightforward and relatively quick to  
 462 implement.

463 Every implementation decision is based on many factors, including:

- 464 • The experiences of the personnel involved. A group accustomed to RESTful applications will be  
465 better prepared to work with CIM-RS than a SOAP-based implementation. A group not familiar  
466 with REST may experience difficulty.
- 467 • The environment. For example, implementation behind a corporate firewall will not get as many  
468 advantages from a REST implementation as an implementation that spans widely separated  
469 architectures involving many firewalls.
- 470 • The purpose of the implementation. Some implementations will involve management of massive  
471 storms of events. Others will involve long lists of managed objects. Yet others will involve only  
472 light traffic, but complex control operations. Every implementation has its own footprint. REST  
473 architectures are designed to optimize the capacity, scalability, and upgradability of the server.  
474 The archetypical REST implementation is a server that serves an enormous number of clients,  
475 for example, a web storefront serving hundreds of thousands of clients simultaneously, but the  
476 data exchange with each client is intermittent, granular, and relatively small. This is far different  
477 from an enterprise IT management application that manages and correlates data from hundreds  
478 of thousands of objects, but only has a handful of clients. RESTful interfaces have proven  
479 themselves in the first example, but they have not yet acquired a long track record in the second  
480 example. This is not to say that REST, and CIM-RS in particular, is not appropriate for the  
481 second example, only that it may present new challenges.

482 CIM-RS provides an alternative to SOAP-based implementations and allows implementers to take  
483 advantages of the unique characteristics of REST. The decision to use CIM-RS should be made in the full  
484 context of the experience of the implementers, the environment, and purpose of the implementation.

## 485 9 Conclusion

486 CIM-RS is a set of specifications that describes a rigorous REST interface to resources modeled following  
487 the principles of the CIM metamodel. The immediate and obvious consequence of this goal is to provide  
488 REST access to management instrumentation based on the more than 1400 pre-existing classes in the  
489 DMTF CIM Schema (or in any other schema that follows the CIM metamodel) and in management  
490 profiles.

491 This addresses an important issue in the industry: RESTful interfaces have become an interface of choice  
492 for application interaction over the Internet. With rising interest in cloud computing, which largely depends  
493 on Internet communications, the importance of REST interfaces is also rising. Consequently, a protocol  
494 that promises to give existing applications a RESTful interface with minimal investment is extremely  
495 attractive.

496 CIM-RS provides more than an additional interface to existing CIM-based implementations. The CIM  
497 metamodel is a general object oriented modeling approach and can be applied to many modeling  
498 challenges. Thus, for any applications built using models that conform to the CIM metamodel, CIM-RS  
499 specifies a standards-based RESTful interface that will increase interoperability. Developers can use the  
500 CIM-RS specifications as the basis for a design pattern and avoid reinventing a RESTful API for each  
501 application, saving time and effort and minimizing testing.

502 CIM-RS has the potential to become a basic pattern for application communication within the enterprise,  
503 between enterprises, and within the cloud. It applies to existing implementations of CIM objects, future  
504 CIM object implementations, and implementations of new objects modeled following the CIM metamodel.



505

**ANNEX A**

506

507

**Change log**

508

Version	Date	Description
1.0.0	2012-12-04	
2.0.0	2015-02-19	Published as DMTF Informational

509

## Bibliography

### 510 Documents published by standards development organizations

- 511 *DMTF DSP0004, CIM Infrastructure Specification 2.8,*  
512 [http://www.dmtf.org/standards/published\\_documents/DSP0004\\_2.8.pdf](http://www.dmtf.org/standards/published_documents/DSP0004_2.8.pdf)
- 513 *DMTF DSP0198, WBEM Glossary 1.0,*  
514 [http://www.dmtf.org/standards/published\\_documents/DSP0198\\_1.0.pdf](http://www.dmtf.org/standards/published_documents/DSP0198_1.0.pdf)
- 515 *DMTF DSP0210, CIM-RS Protocol 2.0,*  
516 [http://www.dmtf.org/standards/published\\_documents/DSP0210\\_2.0.pdf](http://www.dmtf.org/standards/published_documents/DSP0210_2.0.pdf)
- 517 *DMTF DSP0211, CIM-RS Payload Representation in JSON 2.0,*  
518 [http://www.dmtf.org/standards/published\\_documents/DSP0211\\_2.0.pdf](http://www.dmtf.org/standards/published_documents/DSP0211_2.0.pdf)
- 519 *DMTF DSP0223, Generic Operations 2.0,*  
520 [http://www.dmtf.org/standards/published\\_documents/DSP0223\\_2.0.pdf](http://www.dmtf.org/standards/published_documents/DSP0223_2.0.pdf)
- 521 *IETF RFC2616, Hypertext Transfer Protocol – HTTP/1.1,* June 1999,  
522 <http://tools.ietf.org/html/rfc2616>
- 523 *IETF RFC3986, Uniform Resource Identifier (URI): Generic Syntax,* January 2005,  
524 <http://tools.ietf.org/html/rfc3986>
- 525 *IETF RFC5789, PATCH Method for HTTP,* March 2010,  
526 <http://tools.ietf.org/html/rfc5789>
- 527 *IETF RFC7159, The JavaScript Object Notation (JSON) Data Interchange Format,* March 2014,  
528 <http://tools.ietf.org/html/rfc7159>
- 529 *ISO/IEC 10646:2003, Information technology -- Universal Multiple-Octet Coded Character Set (UCS),*  
530 [http://standards.iso.org/ittf/PubliclyAvailableStandards/c039921\\_ISO\\_IEC\\_10646\\_2003\(E\).zip](http://standards.iso.org/ittf/PubliclyAvailableStandards/c039921_ISO_IEC_10646_2003(E).zip)
- 531 *The Unicode Consortium, The Unicode Standard, Version 5.2.0, Annex #15: Unicode Normalization*  
532 *Forms,*  
533 <http://www.unicode.org/reports/tr15/>
- ### 534 Other documents
- 535 *R. Fielding, Architectural Styles and the Design of Network-based Software Architectures,* PhD thesis,  
536 *University of California, Irvine, 2000,*  
537 <http://www.ics.uci.edu/~fielding/pubs/dissertation/top.htm>
- 538 *R. Fielding, REST APIs must be hypertext driven,* October 2008,  
539 <http://roy.gbiv.com/untangled/2008/rest-apis-must-be-hypertext-driven>
- 540 *J. Holzer, RESTful Web Services and JSON for WBEM Operations,* Master thesis, University of Applied  
541 *Sciences, Konstanz, Germany, June 2009,*  
542 <http://mond.htwg-konstanz.de/Abschlussarbeiten/Details.aspx?id=1120>
- 543 *A. Manes, Rest principle: Separation of representation and resource,* March 2009,  
544 <http://apsblog.burtongroup.com/2009/03/rest-principle-separation-of-representation-and-resource.html>
- 545 *L. Richardson and S. Ruby, RESTful Web Services,* May 2007, O'Reilly, ISBN 978-0-596-52926-0,  
546 <http://www.oreilly.de/catalog/9780596529260/>