



# **Alert Standard Format** **Specification**

**DSP0136**

**STATUS: Final**

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## **Alert Standard Format (ASF) Specification**

**Version 2.0**  
**23 April 2003**

### **Abstract**

The term "system manageability" represents a wide range of technologies that enable remote system access and control in both OS-present and OS-absent environments. These technologies are primarily focused on minimizing on-site I/T maintenance, maximizing system availability and performance to the local user, maximizing remote visibility of (and access to) local systems by I/T managers, and minimizing the system power consumption required to keep this remote connection intact. The *Distributed Management Task Force* (DMTF) defines *Desktop Management Interface* (DMI) and *Common Information Model* (CIM) interfaces that operate when the managed client is fully operational in its OS-present environment. This specification defines remote control and alerting interfaces that best serve the clients' OS-absent environments.

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For the DMTF Pre-OS Working Group: [http://www.dmtf.org/standards/standard\\_alert.php](http://www.dmtf.org/standards/standard_alert.php)

## Change History

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1.0.b	December 13, 2000	K. Cline	Updated for the following Member Comments: <ul style="list-style-type: none"> <li>• ASFCR001 Add RMCP to Terminology Table</li> <li>• ASFCR002 Add URL to Terminology Table, pointing to Enterprise Numbers</li> <li>• ASFCR003 Corrections to "Using the Message Tag" section.</li> <li>• ASFCR004 Remove "header" comment from RMCP section 3.2.3.1</li> <li>• ASFCR005 Boot options "clear" clarification (3.2.3.1)</li> <li>• ASFCR006 Corrections to "C" style structures (4.1.2.6 and 4.1.2.7)</li> <li>• ASFCR007 Add suggested policies for firmware use of boot options (5.2.1)</li> <li>• ASFCR009 Return OEM command capability to RMCP boot options commands (3.2.3.1, 4.1.2.6, and 5.2.1.1).</li> <li>• ASFCR010 Correct ASF IANA number in RMCP section (3.2.4).</li> </ul>
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Version	Date	Author	Changes
2.0.h	14 August 2002	K. Cline	<p>First draft version of the specification update that adds security protocols to RMCP messages, released for the DMTF Member Comment phase. The following additional change requests are included:</p> <ul style="list-style-type: none"> <li>• ASFCR017 Battery sensor enumeration change</li> <li>• ASFCR018 Fix type in OS Events table</li> <li>• ASFCR019 SMBIOS URL change</li> <li>• ASFCR020 Identify legacy sensor restrictions</li> <li>• ASFCR021 Include scope for ACPI control methods</li> <li>• ASFCR022 Reorder ASF! Descriptor Table section for clarity</li> <li>• ASFCR023 Add IPMI PET 1.0 erratum</li> <li>• ASFCR024 Update legal disclaimer to current DMTF version</li> <li>• ASFCR025 Add Notification Type for Async Host Alert Msg</li> <li>• ASFCR026 Add indication that the platform supports add-in ASDs</li> <li>• ASFCR027 BIOS Remote Control Capabilities clarification</li> <li>• ASFCR028 Enabling secure and non-secure remote control operation via BIOS tables</li> <li>• ASFCR029 Add security interfaces</li> <li>• ASFCR032 Correct ASF_RMCP and ASF_ADDR table lengths</li> </ul>
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# 1 Introduction

The term “system manageability” represents a wide range of technologies that enable remote system access and control in both OS-present and OS-absent environments. These technologies are primarily focused on minimizing on-site I/T maintenance, maximizing system availability and performance to the local user, maximizing remote visibility of (and access to) local systems by I/T managers, and minimizing the system power consumption required to keep this remote connection intact. The *Distributed Management Task Force* (DMTF) defines *Desktop Management Interface* (DMI) and *Common Information Model* (CIM) interfaces that operate when the managed client is fully operational in its OS-present environment. This specification defines remote control and alerting interfaces that best serve the clients’ OS-absent environments.

## 1.1 Target Audience

Following are the target audience for this specification:

- OEMs and ISVs developing platform firmware
- OEMs and IHVs developing SMBus devices
- OEMs and ISVs developing system management software
- OEMs and IHVs developing communication devices, e.g. Ethernet controllers or modems

## 1.2 Related Documents

This document uses acronyms to reference other documents. For example, the acronym IPMI\_1.0 refers to the Intelligent Platform Management Interface, Version 1.0. Acronyms are unique and enclosed in square brackets [IPMI\_1.0]. For detailed information about the document referenced by an acronym, see the associated URL.

- [ACPI] *Advanced Configuration and Power Interface Specification*, 2.0, 27 July 2000, <http://www.teleport.com/~acpi/spec.htm>
- [RFC1157] *A Simple Network Management Protocol*, <http://www.ietf.org/rfc/rfc1157.txt>
- [CIM] CIM Standards, <http://www.dmtf.org/spec/cims.html>
- [BR1] *Entity Authentication and Key Distribution*, Bellare and Rogaway, 1993.
- [RFC2104] *HMAC: Keyed-Hashing for Message Authentication*, <http://www.ietf.org/rfc/rfc2104.txt>.
- [IEEE\_802] *IEEE 802.3 Ethernet standard document family*. <http://standards.ieee.org/catalog/>
- [IPMI\_1.0] *Intelligent Platform Management Interface Specification v1.0, rev 1.1*, August 26, 1999, <http://developer.intel.com/design/servers/ipmi/>
- [RFC1188] *IP and ARP on FDDI Networks*, <http://www.ietf.org/rfc/rfc1180.txt>
- [FRU] *IPMI Field Replaceable Unit (FRU) Information Storage Definition*, v1.0, 16 September 1998, <ftp://download.intel.com/design/servers/ipmi/fru1010.pdf>
- [NDCPM] *Network Device Class Power Management Reference Specification*, v1.0a, 21 November 1997, <http://www.microsoft.com/hwdev/specs/PMref/PMnetwork.htm>
- [PET\_1.0] *Platform Event Trap Specification*, v1.0, 7 December 1998, <ftp://download.intel.com/design/servers/ipmi/pet100.pdf>
- [PET] *Platform Event Trap Specification*, v1.1, **TBD**, <ftp://download.intel.com/design/servers/ipmi/TBD>
- [SCMIS] *SMBus Control Method Interface Specification*, v1.0, 10 December 1999, <http://www.smbus.org/specs/index.html>

- [SMBIOS] *System Management BIOS Reference Specification*, v2.3.1, 14 December 2000 , DMTF Document DSP0119, <http://www.dmtf.org/standards/bios.php>.
- [SMBUS\_2.0] *System Management Bus (SMBus) Specification*, v2.0, 03 August 2000, <http://www.smbus.org/specs/index.html>
- [RFC2404] *The Use of HMAC-SHA-1-96 within ESP and AH*, <http://www.ietf.org/rfc/rfc2404.txt>.
- [RFC\_UDP] *User Datagram Protocol*, RFC 768, <http://www.ietf.org/rfc/rfc0768.txt>

### 1.3 Data Format

All numbers specified in this document are in decimal format unless otherwise indicated. A number preceded by '0x' or followed by the letter 'h' indicates hexadecimal format, and a number followed by the letter 'b' indicates binary format. For example, the numbers 10, 0x0A, 0Ah, and 1010b are equivalent.

One exception is section 5. The values associated with the Wr, Command, Byte Count, Sub-command, Version Number, A, and ~A fields are each specified in binary format with no trailing letter 'b'.

### 1.4 Terminology

Term	Description
ACPI	Advanced Configuration and Power Interface.
AoL	Alert on LAN™
Alert-sending device	This term, used throughout this document, refers to a communications device that is capable of sending ASF-defined alerts.
ASL	ACPI Source Language
ASF IANA	Within this document, refers to the IANA-assigned Enterprise Number for ASF: 4542 (decimal) or 11BEh.
CIM	Common Information Model
DMTF	Distributed Management Task Force, <a href="http://www.dmtf.org">http://www.dmtf.org</a>
DMI	Desktop Management Interface
GUID	Globally Unique Identifier, synonymous with UUID (Universally Unique Identifier).
HMAC	Hash Message Authentication Code
IANA	Internet Assigned Numbers Authority, <a href="http://www.iana.org">http://www.iana.org</a> . This is the entity that assigns Enterprise Numbers; see <a href="http://www.isi.edu/in-notes/iana/assignments/enterprise-numbers">http://www.isi.edu/in-notes/iana/assignments/enterprise-numbers</a> for the current number assignments.
IHV	Independent Hardware Vendor
IP	Internet Protocol
IPMI	Intelligent Platform Management Interface. See <a href="http://developer.intel.com/design/servers/ipmi/spec.htm">http://developer.intel.com/design/servers/ipmi/spec.htm</a> .
ISV	Independent Software Vendor
NIC	Network Interface Card
NBO	Network Byte Order. Refers to the order in which the bytes of a multi-byte number are transmitted on a network — most significant byte first. This might be different than the order in which the number is stored in memory, depending on the processor architecture.
OEM	Original Equipment Manufacturer
OS	Operating System.
OS-absent	Refers to a system's pre-boot, low-power, and OS-hung environments.



Term	Description
PCI	Peripheral Components Interface, see <a href="http://www.pcisig.com">http://www.pcisig.com</a>
PDU	Protocol Data Unit
PEC	Packet Error Code.
PET	Platform Event Trap. See <a href="http://developer.intel.com/design/servers/ipmi/spec.htm">http://developer.intel.com/design/servers/ipmi/spec.htm</a> .
RAKP	RSSP Authenticated Key-Exchange Protocol
RFC	Request For Comment
RMCP	Remote Management and Control Protocol, see 3.2 <i>Remote Management and Control Protocol (RMCP)</i> in this document for further information.
RSP	RMCP Security-Extensions Protocol; see 3.2.3 on page 23 for further information.
RSSP	RSP Session Protocol
EEPROM	Serial Electrically-Erasable Programmable Read-Only Memory
SHA-1	Secure Hash Algorithm-1
SMBus	System Management Bus. See <a href="http://www.smbus.org">http://www.smbus.org</a>
SNMP	Simple Network Management Protocol.
UDP	User Datagram Protocol
UTC	Universal Time Coordinated. Greenwich Mean Time (GMT) updated with leap seconds.

## 2 Overview

Alerting technologies provide advance warning and system failure indication from managed clients to remote management consoles. Initial generations of this technology — like the IBM/Intel *Alert on LAN*<sup>™</sup> (AoL) implementations — provided remote notification of client system states and hardware or software failures without regard to operating system or system power state. The *Intelligent Platform Management Interface* initiative, led by Intel and others, subsequently provided an open alert interface: the *Platform Event Trap*. Management console providers and system OEMs were faced with the possibility of supporting multiple alerting interfaces.

Once a system alert provides its warning or error report, the next step in remote system manageability is to allow corrective action to be taken — these actions include the ability to remotely reset or power-on or -off the client system. When the system is in an OS-present state, these actions can be provided by Common Information Model (CIM) interfaces [CIM] that interact with the local system and provide orderly shutdown capabilities. This specification provides similar functionality when the system is in an OS-absent state, as added by the second generation of the IBM/Intel AoL technologies.

### 2.1 Principal Goals

The principal goal of this specification is to define standards-based interfaces with which vendors of alerting and corrective-action offerings can implement products and ensure interoperability. These vendors include:

- Add-in card suppliers
- SMBus sensor suppliers
- Communication controller suppliers
- System vendors
- Operating system vendors, with a primary focus on operating systems which are ACPI-aware.
- Management application vendors

The standards-based protocols (e.g. SNMP, UDP) upon which this specification's interfaces are built are lightweight, bit-based information carriers since this specification anticipates that the majority of the ASF client implementation will be hardware and/or firmware based. CIM-based configuration methods can provide the abstraction layer between OS-present XML implementations and ASF-defined low-level primitives.

### 2.2 Problem Statement

Multiple solutions exist in the industry today, resulting in a loss of interoperability in system alert and corrective-action offerings.

### 2.3 Solution

An *Alerting System* consists of a client system (or systems) and a management console that both monitors and controls the clients. An ASF-aware client provides the following interfaces to allow interoperability between the client and its management console:

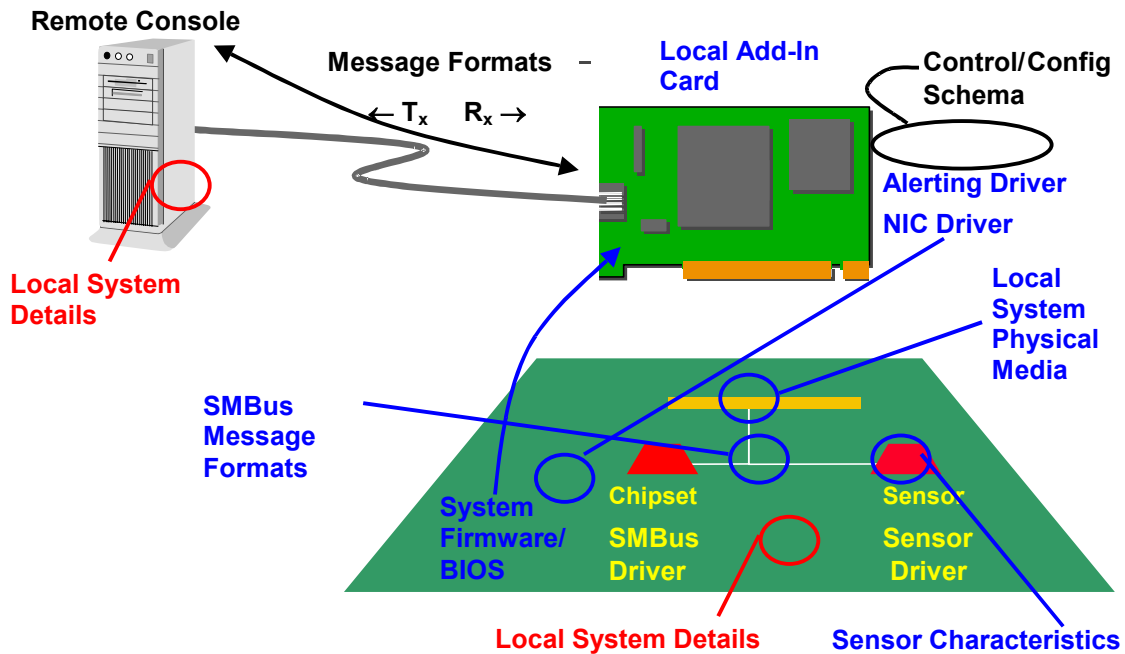
1. the alert messages transmitted by the client system
2. the remote maintenance requests sent to the client system and the associated responses
3. the data description of the client's system-specific capabilities and characteristics
4. the software used to configure or control the client system in an OS-present state

An additional level of interoperability occurs between a client system's alerting components:

1. the system firmware methods used to communicate system capabilities to an alert-capable add-in card's OS-present configuration software
2. the format of the messages sent between the add-in card, the local system host, and local system sensors

The following graphic gives a pictorial portrayal of these components.

## Alerting System Components



When the system owner adds an alert-sending device (e.g. an Ethernet add-in card) to an ASF-capable managed client, the alert-sending device must be configured with the client's specific hardware configuration before it can properly issue alerts and respond to remote maintenance requests. To accomplish this, the client system requires one good boot to an OS-present environment to allow the device's configuration software to run and store system-specific information into the device's non-volatile storage.

In an ACPI-aware OS-present environment, the alert-sending device's configuration software interrogates the client's configuration data to retrieve information required for any alert messages to be sent and stores that information into the device's non-volatile storage for use in the OS-absent environment:

1. The client's ACPI implementation contains its ASF Capabilities, including the IANA Manufacturer ID and System ID
2. The client's SMBIOS structure-table contains the system GUID (or UUID)
3. The operating system has assigned a TCP/IP address to the alert-sending device
4. How much time the alert-sending device waits before issuing a system boot-failure alert.

The configuration software also provides an interface to allow the system owner to identify the TCP/IP address of the management console to which any alert messages are to be sent by this managed client.

During this OS-present configuration process, the managed client's optional ASF configuration is also determined and stored in the alert-sending device's non-volatile storage:

1. If the client includes legacy SMBus sensors, the addressing and configuration information for each.
  2. If the client supports remote-control operations, which ASF-defined features are supported.
- Once the system owner has configured the alert-sending device, the managed client is enabled to send alert messages and, optionally, respond to remote-control requests from a specified management console.

## 2.4 Known Limitations

The following are known limitations of an ASF-enabled system:

1. After a change to the system's hardware configuration, e.g. adding or removing a card, at least one good boot to the system's OS-present environment is required for the ASF subsystem to properly operate. The OS-present environment is used to configure the ASF alert-sending device with information that is not known or easily determinable within the OS-absent environment, e.g. management console and local system TCP/IP addresses.
2. The OS-present control of system-specific ASF features is reduced if a non-ACPI-aware operating system is used, since ACPI provides current-generation "standard" methods for the OS-present environment to communicate with system firmware. Plug-and-play calling interfaces, such as those specified by [SMBIOS], are not easily supported in current-generation operating systems.

## 3 Network Protocols

### 3.1 Transmit Protocol (PET)

The ASF protocol for sending alerts from a managed client to a management console is the *Platform Event Trap* [PET].

#### 3.1.1 PET Frame Behavior

##### 3.1.1.1 PET Re-transmission

An ASF alert-sending device retransmits each PET frame two (2) times for a total of three (3) transmissions per event; all three transmissions must occur within a 1-minute window and contain the same *Sequence Number* field. A management console should treat identical events it receives outside the 1-minute window as a new event.

**Exceptions:** No retransmission is performed when the PET frame issued by the alert-sending device is either the result of a *General Push Alert Message* without retransmission (see 5.1.5.2) or a *System Heartbeat* (see 3.1.5.5).

##### 3.1.1.2 Transient Event Handling

The managed client does not transmit transient events that happen when the alert network connection is down (or otherwise unavailable). ASF defines a transient event as one that transitions from an original state to another state and then back to the original state. For example, if a voltage event asserts and de-asserts while the connection is down, the client must not send any voltage event PET frames when the connection is re-established. This mode of operation gives ASF-aware management consoles a deterministic behavior of any PETs issued by a managed client.

#### 3.1.2 Agent Address Field

If the transport for the PET frame is IP, the Agent Address field in the Trap PDU must contain the IP address of the station that caused the PET event per [RFC1157]. If the transport is not IP, then the Agent Address is set per the RFC for that transport, e.g. RFC 1420 for IPX.

This specification requires that the alert-sending device support IP protocols; other transports are optional.

#### 3.1.3 Specific Trap Field

The specific trap field of the SNMP Trap header contains the main event information for the PET event.

#### 3.1.4 Variable Bindings Fields

The Variable Bindings Fields in a PET frame contain the system and sensor information for an event.

PET Variable Binding Field	Description
GUID	The GUID is required for ASF alerts; the value is specified by the system's SMBIOS implementation. See 4.2.1 <i>System Information (Type 1)</i> on page 59 for details.
Sequence Number	The Sequence Number is required; see section 3.1.1.1 for the rules on re-transmission.
Local Timestamp	The Local Timestamp is recommended.
UTC Offset	The UTC offset is recommended if there is a Local Timestamp

PET Variable Binding Field	Description
Trap Source Type	The Trap Source Type is the device or software that originated the trap on the network. Normally it will be the NIC (50h), the System Management Card (58h), or the Modem (60h).
Event Source Type	The Event Source Type describes the originator of the event. The Event Source Type is ASF 1.0 (68h) for all PET frames defined by this specification. Event Source Type values in the range 68h to 6Fh are reserved by [PET] for ASF use: 68h                   ASF 1.0 Implementation 69h-6Fh             Reserved for future assignment by this (ASF) specification.
Event Severity	The Event Severity setting is met to give a management station an indication of the severity of the event in the PET Frame. Typical values are Monitor (0x01), Non Critical (0x08), or Critical Condition (0x10).
Sensor Device	The Sensor Device is the SMBus address of the sensor that caused the event for the PET Frame. In the case of a poll, the address of the polled sensor is used. In the case of a push, the address of the pushing sensor is used. If there is no SMBus address associated to the event (e.g. a firmware error or firmware progress message), then the value is 0xFF (unspecified). If an SMBus address is represented in this field, bits 7 through 1 contain the address, and bit 0 is set to 0b.
Sensor Number	The Sensor Number is used to identify a given instance of a sensor relative to the Sensor Device. Values of 00h and FFh identify that the Sensor Number is not specified.
Entity	The Entity indicates the platform device or subsystem associated with the event, usually identifying a Field Replaceable Unit (FRU). For example, an over-temperature event with this field set to "Processor" indicates a processor over-temperature event.
Entity Instance	When a system includes multiple device instances, e.g. a multi-processor system, Entity Instance identifies which unique device is associated with the event. For example, if a system has two processors, this field distinguishes between events associated with Processor #1 and Processor #2. A value of 00h in this field indicates that Entity Instance is unspecified.
Event Data	The Event Type determines the Event Data. Some of the PET messages defined in this specification allow the inclusion of a variable number of event data bytes, to further describe the event. When event data is included, the first byte of event data (Event Data 1) defines the format of Event Data bytes 2 through 5 that follow, using the following enumerations: 00b   Data not specified 01b   Data conforms to standard definitions 10b   Data conforms to OEM definitions 11b   Reserved for future assignment <u>ED1 Bit Range</u> <u>Description</u> 7:6                Defines the format of Event Data 2 5:4                Defines the format of Event Data 3 3:2                Defines the format of Event Data 4 1:0                Defines the format of Event Data 5 <b>Note:</b> Values in Event Data bytes 6 through 8 are OEM-specific and outside the range of this specification.
Language Code	The Language Code is used with the OEM Custom Fields. For PET frames that do not have any OEM specific data, the language code should be set to FFh (unspecified).
Manufacturer ID	The IANA Manufacturer ID associated with the alerting system. The value is specified by the system's ACPI implementation, see 4.1.2.1 <i>ASF_INFO</i> on page 50 for details.
System ID	The manufacturer associated with the alerting system assigns the system identifier. The value is specified by the system's ACPI implementation, see 4.1.2.1 <i>ASF_INFO</i> on page 50 for details.

PET Variable Binding Field	Description
OEM Custom Fields	Whenever possible PET frames should use the error codes and values contained in the PET specification to describe events. The OEM Custom fields should only be used if the event cannot be expressed in a standard way. If there are no OEM Custom Fields, then the type field is set to C1h.

### 3.1.4.1 PET Frame Content Sources

The following sections use this legend to provide a map to the information source for the various elements of a Platform Event Trap:

Hard-coded by Alert-Sending Device	SMBIOS Data	SEEPROM Data	Sensor Data
SMBus "Push Alert" Message Data	Determined by Alert-Sending Device (non-constant)	ACPI Data	

These abbreviations are used for PET fields within the following sections:

<i>TST</i>	Trap Source Type
<i>EST</i>	Event Source Type
<i>SEV</i>	Severity
<i>SD</i>	Sensor Device
<i>S#</i>	Sensor Number
<i>E</i>	Entity
<i>EI</i>	Entity Instance
<i>LC</i>	Language Code

#### Initial Firmware Timeout

This information map applies to the initial timeout failure that an alert-sending device transmits if the reset-activated watchdog timer is not stopped by the managed client's firmware.

#### Specific Trap Field

Reserved	Event Sensor Type	Event Type	Event Offset

#### Variable Bindings Fields

GUID	Seq#	Local

Timestamp	UTC Offset	T S T	E S T	S E V	S D	S #	E	E I	Event Data								L C		

Manufacturer ID	System ID	OEM C. F.

### Polled Legacy Sensors — Specified by ACPI

This information map applies to alerts issued by an alert-sending device based on that device's detection of an active event upon polling a legacy sensor that is specified by the system's ACPI implementation.

#### Specific Trap Field

Reserved	Event Sensor Type	Event Type	Event Offset

#### Variable Bindings Fields

GUID		Seq#	Local

Timestamp	UTC Offset	T S T	E S T	S E V	S E D	S D #	E I	E I	Event Data	L C

Manufacturer ID	System ID	OEM C. F.

### Polled Legacy Sensors — Specified by SEEPROM Data

This information map applies to alerts issued by an alert-sending device based on that device's detection of an active event upon polling a legacy sensor that is specified by the system's SEEPROM data.

#### Specific Trap Field

Reserved	Event Sensor Type	Event Type	Event Offset

#### Variable Bindings Fields

GUID		Seq#	Local

Timestamp	UTC Offset	T S T	E S T	S E V	S E D	S D #	E I	E I	Event Data	L C

Manufacturer ID	System ID	OEM C. F.



## Polled ASF Sensors

This information map applies to alerts issued by an alerting device based on that device's detection of an active event upon polling an ASF-compliant sensor via the "Poll Alert Message With Event Data" SMBus command.

### Specific Trap Field

Reserved	Event Sensor Type	Event Type	Event Offset

### Variable Bindings Fields

GUID	Seq#	Local

Timestamp	UTC Offset	T	E	S	S	S	E	E	Event Data	L	C

Manufacturer ID	System ID	OEM C. F.

## "Pushed" Events

This information map applies to alerts issued by an alerting device based on either receipt of a "Firmware Error, Firmware Progress, or General Push Alert Message" or the expiration of a watchdog timer after receipt of a "Firmware Watchdog Start Message".

### Specific Trap Field

Reserved	Event Sensor Type	Event Type	Event Offset

### Variable Bindings Fields

GUID	Seq#	Local

Timestamp	UTC Offset	T	E	S	S	S	E	E	Event Data	L	C

Manufacturer ID	System ID	OEM C. F.

### 3.1.5 Recommended PET Frame Values

This section describes the format for various PET frames. A given managed client is not required to support all the listed PET frames, but if a client supports the event described by one of the listed PET frames, the client should format the PET frame as described in this section.

#### 3.1.5.1 Environmental Events

This table describes the *Specific Trap Field* values for common environmental events. An implementation has options as to whether it returns generic information that just indicates the criticality of the event, or whether it returns information also indicating that the event was triggered by a rising or falling condition on the monitored parameter, e.g. whether an over-temperature or under-temperature condition caused the event.

The list is presented as a guide only. It is not intended to represent a complete list of the possible environmental events from a system. Management consoles that interpret events should be prepared to accept any of the possible specified Event Sensor Type, Event Type and Event Offset values documented by [PET].

The Entity for a given event varies according to what system device the environmental sensor is monitoring. For example, a typical managed client can have temperature monitoring associated with its system board and with the main processor. A thermal event associated with the system board will have Entity set to 7 (System Board) and Entity Instance set to 1 (Primary), while a thermal event associated with the processor will have Entity set to 3 (Processor) and Entity Instance set to 1 (Primary).

Description	Event Sensor Type	Event Type	Event Offset
TEMPERATURE PROBLEMS			
Generic Critical Temperature Problem	01h (Temperature)	07h (generic severity)	02h (transition to Critical from less severe)
Generic Temperature Warning			03h (transition to non-critical from less severe)
Over-Temperature Problem		01h (Threshold-based)	09h (Upper Critical - going high)
Over-Temperature Warning			07h (Upper Non-Critical, going high)
Under-Temperature Problem			02h (Lower Critical - going low)
Under-Temperature Warning			00h (Lower Non-Critical, going low)
VOLTAGE PROBLEMS			
Generic Critical Voltage Problem	02h (Voltage)	07h (generic severity)	02h (transition to Critical from less severe)
Over-Voltage Problem		01h (Threshold-based)	09h (Upper Critical - going high)
Under-Voltage Problem			02h (Lower Critical - going low)

Description	Event Sensor Type	Event Type	Event Offset	
FAN PROBLEMS				
Generic Critical Fan failure	04h (Fan)	07h (generic severity)	02h (transition to Critical from less severe)	
Generic predictive Fan failure		03h (generic digital/discrete event)	01h (predictive failure asserted)	
Fan Speed Problem (speed too low to meet chassis cooling spec's)		01h (Threshold-based)		02h (Lower Critical - going low)
Fan Speed Warning (Fan speed below expected speed. Cooling still adequate)				00h (Lower Non-Critical, going low)
Case Intrusion.	05h (Physical Security [Chassis Intrusion])	6Fh (Sensor specific)	00h (General Chassis Intrusion)	

### 3.1.5.2 System Firmware Error Events

This document defines a standard set of system firmware errors that are reported in the *Event Data 2* PET sub-field for Sensor Type 0Fh (System Firmware Error/Progress), Sensor-specific Offset 00h (Standard System Firmware Error):

Descriptor Code	Description
00h	Unspecified.
01h	No system memory is physically installed in the system.
02h	No usable system memory, all installed memory has experienced an unrecoverable failure.
03h	Unrecoverable hard-disk/ATAPI/IDE device failure.
04h	Unrecoverable system-board failure.
05h	Unrecoverable diskette subsystem failure.
06h	Unrecoverable hard-disk controller failure.
07h	Unrecoverable PS/2 or USB keyboard failure.
08h	Removable boot media not found
09h	Unrecoverable video controller failure
0Ah	No video device detected.
0Bh	Firmware ROM corruption detected
0Ch	CPU VID Mismatch. One or more processors sharing the same voltage supply have mismatched voltage requirements.
0Dh	CPU speed-matching failure.
0Eh to FFh	Reserved for future definition by this specification.

This table describes the *Specific Trap Field* and *Entity* values associated with some typical system firmware errors. The *Event Type* sub-field for all these events is set to 6Fh (Sensor specific). See above or section 3.1.5.3 for the Event Data 2 field definitions for Event Offset values 00h and 01h, respectively.

Description	Event Sensor Type	Event Offset	Event Data 1/2	Entity
No system memory; memory missing	0Fh (System Firmware Error or Progress)	00h (System Firmware Error)	40h/01h	32d (Memory Device)

Description	Event Sensor Type	Event Offset	Event Data 1/2	Entity
No system memory; unrecoverable failure	0Fh (System Firmware Error or Progress)	00h (System Firmware Error)	40h/02h	32d (Memory Device)
Unrecoverable hard-disk failure.	0Fh (System Firmware Error or Progress)	00h (System Firmware Error)	40h/03h	4 (Disk or Disk Bay)
Unrecoverable system board failure.	0Fh (System Firmware Error or Progress)	00h (System Firmware Error)	40h/04h	7 (System board)
No bootable media.	1Eh (Boot Error)	00h (no bootable media)	40h/00h	00h (Unspecified)
Hang during option ROM initialization (specified via watchdog set command)	0Fh (System Firmware Error or Progress)	01h (System Firmware Hang)	40h/08h	11d (Add-in board)
Unrecoverable multi-processor configuration mismatch	0Fh (System Firmware Error or Progress)	00h (System Firmware Error)	40h/0Bh	3 (Processor)

### 3.1.5.3 System Firmware Progress Events

This document defines a standard set of firmware progress codes that are reported in the *Event Data 2* PET sub-field via Sensor Type 0Fh (System Firmware Error/Progress), Sensor-specific Offset either 01h (System Firmware Hang) or 02h (System Firmware Progress). Each descriptor is associated with a significant event within a system's firmware bring-up sequence; the ordering of the events implies no specific sequencing.

Descriptor Code	Description
00h	Unspecified.
01h	Memory initialization.
02h	Hard-disk initialization
03h	Secondary processor(s) initialization
04h	User authentication
05h	User-initiated system setup
06h	USB resource configuration
07h	PCI resource configuration
08h	Option ROM initialization
09h	Video initialization
0Ah	Cache initialization
0Bh	SM Bus initialization
0Ch	Keyboard controller initialization
0Dh	Embedded controller/management controller initialization
0Eh	Docking station attachment
0Fh	Enabling docking station
10h	Docking station ejection
11h	Disabling docking station
12h	Calling operating system wake-up vector
13h	Starting operating system boot process, e.g. calling Int 19h
14h	Baseboard or motherboard initialization.

Descriptor Code	Description
15h	Reserved.
16h	Floppy initialization.
17h	Keyboard test.
18h	Pointing device test
19h	Primary processor initialization
1Ah to FFh	Reserved for future definition by this specification.

This table describes the *Specific Trap Field* values for some system firmware progress events. The *Event Type* sub-field for each of these traps is set to 6Fh (Sensor-specific).

Description	Event Sensor Type	Event Offset	Event Data 1/2	Entity
System firmware started. The presence of this progress code indicates that at least one CPU is properly executing.	25h (Entity Presence)	00h (Entity Present)	40h/00h	34d (BIOS)
Starting memory initialization and test.	0Fh (Firmware Error or Progress)	02h (System Firmware Progress, entry)	40h/01h	32d (Memory Device)
Completed memory initialization and test.	0Fh (Firmware Error or Progress)	82h (System Firmware Progress, exit)	40h/01h	32d (Memory Device)
Starting hard-disk initialization and test.	0Fh (Firmware Error or Progress)	02h (System Firmware Progress, entry)	40h/02h	4 (Disk or Disk Bay)
Waiting for user-password entry.	0Fh (Firmware Error or Progress)	02h (System Firmware Progress, entry)	40h/04h	34d (BIOS)
Entering BIOS setup.	0Fh (Firmware Error or Progress)	02h (System Firmware Progress, entry)	40h/05h	34d (BIOS)
Starting system resource configuration, e.g. performing PCI configuration.	0Fh (Firmware Error or Progress)	02h (System Firmware Progress, entry)	40h/07h	34d (BIOS)
Starting option ROM initialization.	0Fh (Firmware Error or Progress)	02h (System Firmware Progress, entry)	40h/08h	11d (Add-in board)
Starting OS boot process (e.g. preparing to issue Int 19h)	0Fh (Firmware Error or Progress)	02h (System Firmware Progress, entry)	40h/13h	0 (Unspecified)
Starting secondary processor(s) initialization	0Fh (Firmware Error or Progress)	02h (System Firmware Progress, entry)	40h/03h	3 (Processor)

### 3.1.5.4 OS Events

This table describes the *Specific Trap Field* values for some OS events. The variable-bindings *Entity* field for each of these traps is 35d (OS) and the *Event Type* field for each is 6Fh (Sensor specific). A timer-expiration event can generally be considered to indicate a hang associated with the software that was running when the expiration occurred.

Description	Event Sensor Type	Event Offset	Event Data Fields
OS Boot Failure	23h (Watchdog 2)	00h (Timer Expired)	ED1 40h to indicate that the ED2 field contains "interesting" data ED2 03h to identify that no interrupt was generated and that the timer was monitoring an OS load process at the time of expiration
OS Hung	20h (OS Critical Stop)	01h (Run-time stop)	N/A

### 3.1.5.5 System Heartbeat

ASF-enabled systems can provide the capability to send a periodic message indicating that the system is still present. A timer present in the alert-sending device controls the frequency of this message, which is referred to as a system heartbeat. The timer's period is typically programmable, but the period must not exceed 10 minutes. The recommended default is one heartbeat per minute, and the alert-sending device's OS-present configuration software provides methods through which to disable the heartbeat transmission and set the enabled heartbeat's frequency timer. *System Heartbeat* messages are sent as single PET frames, and are not re-transmitted.

If an alert-sending device supports this message, the following event-specific PET fields must be used:

Event Sensor Type	Event Type	Event Offset	Entity
25h (Entity presence)	6Fh (Sensor specific)	00h (Device Present)	23d (System Chassis)

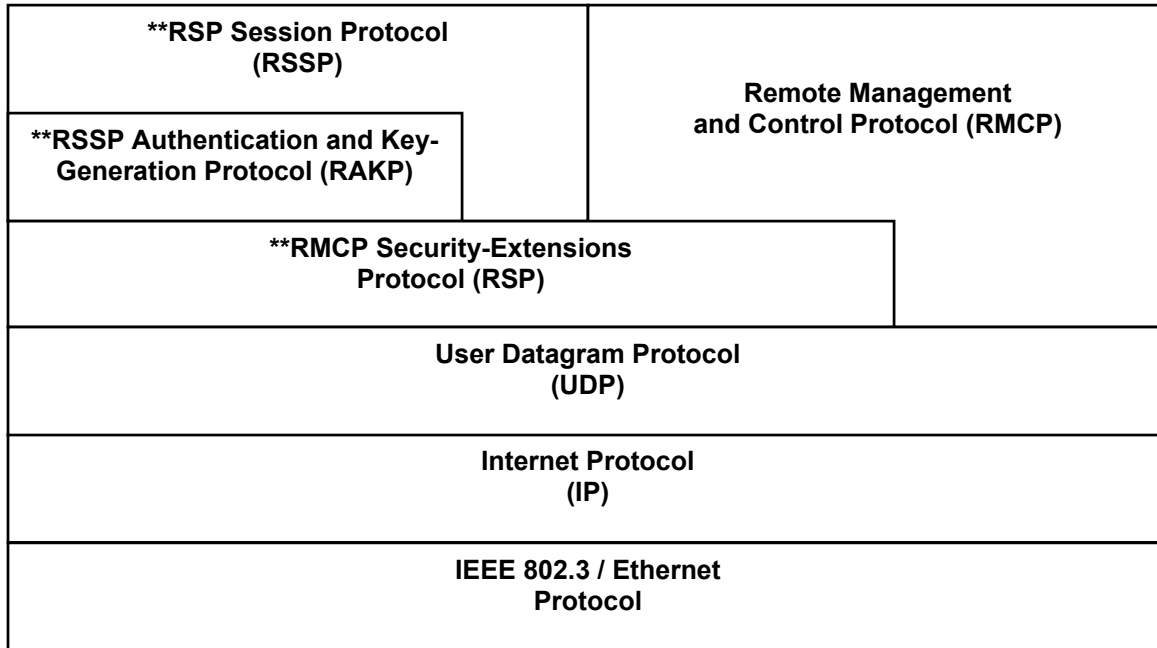
### 3.1.5.6 System Boot Failure

A configured, ASF-enabled alert-sending device transmits a system boot failure alert if the system firmware does not issue an SMBus *Stop Watchdog Timer* command within the client's *Minimum Watchdog Reset Time* (see 4.1.2.1). The alert-sending device starts its timer on the managed client's transition to the point where main PCI power is good. Following are the event-specific PET fields associated with this alert:

PET Field	Value
Event Sensor Type	23h (Watchdog 2)
Event Type	6Fh (Sensor specific)
Event Offset	00h (Timer Expired)
Event Severity	10h (Critical)
Sensor Device	FFh (Unspecified)
Sensor Number	FFh (Unspecified)
Entity	00h (Unspecified). This value is used since the root cause of the failure associated with the timer's expiration is not known at the time of the alert.
Entity Instance	00h (Unspecified)
Event Data	4006h (ED2 valid, system boot failure).

### 3.2 Remote Management and Control Protocol (RMCP)

The Remote Management and Control Protocol (RMCP) and its supporting security-related protocols are used for client control functions when a managed client is in an *OS-absent* state. In this environment, RMCP messages are exchanged between a management console and a managed client. Typical client control functions include operations such as reset, power-up, and power-down. The protocols are intentionally simple, to enable alert-sending devices' firmware to easily parse the information in the absence of OS-present drivers. The protocol stack for RMCP and its supporting security-related protocols\*\* is shown in the figure below.



A management console uses RMCP methods as part of a two-tiered approach to managing client systems. The management console should always use OS-present methods as the primary method to power down or reset a managed client, so that any shutdown operation is handled in an orderly fashion. Management consoles should employ RMCP methods only if the managed client fails to respond to the OS-present methods, since the hardware-based RMCP methods could result in loss of data on the client system.

ASF 2.0 introduces a set of security extensions that provide authentication and integrity services for RMCP messages. While this specification defines the security extension protocols and encapsulation formats, an actual implementation must also deal with a variety of security issues that fall outside of the scope of this specification. For example, local storage and protection of keying material configured and/or generated by the security extension protocols is a vendor-specific implementation issue. While this and other security-related implementation issues are not mandated by this specification, it is expected that vendors will follow security-industry-accepted practices where appropriate.

An RMCP-aware management console determines a managed client's RMCP capabilities by issuing the following messages:

- 1) The management console issues an RMCP *Presence Ping* message directed to the managed client; the RMCP-aware client then ...
  - a) ... acknowledges receipt of the RMCP message, so long as the RMCP version in the message's header is a version supported by the client.
  - b) ... responds with an RMCP *Presence Pong* message, setting the *Supported Entities* field (bits 3:0) to indicate its ASF version.

- 2) The management console issues an RMCP *Capabilities Request* message to the managed client; the client ...
  - a) ... acknowledges receipt of the RMCP message, so long as the RMCP version in the message's header is a version supported by the client.
  - b) ... responds with a RMCP *Capabilities Response* message, returning the system capabilities previously configured into the alert-sending device's non-volatile storage.

At this point, the management console knows that the managed client is RMCP-aware and which of the optional RMCP 'Set' messages are supported by the client — the client will acknowledge receipt of any unsupported message, but will disregard the message contents.

### 3.2.1 RMCP UDP Port Numbers

There are two UDP ports reserved for RMCP. — The port numbers are 026Fh (623 decimal) and 0298h (644 decimal) described as:

<u>Port</u>	<u>Usage</u>
026Fh	The <i>compatibility port</i> is used for all communications for ASF version 1.0. It is defined as the compatibility port beginning with ASF version 2.0.
0298h	The <i>secure port</i> is used for all communications using the RMCP security extensions. Refer to section 3.2.3 <i>RMCP Security-Extensions Protocol (RSP)</i> for the definition of the protocol used on for this port.

### 3.2.2 For network frames sent to the managed client, each of these ports is a destination port. For network frames sent by the managed client, each of these ports is a source port. The source port in the frames sent to the managed client becomes the destination port in the frames sent by the managed client. RMCP Message Format

The following table describes the complete network frame — as sent to the managed client — that includes RMCP, using an 802.3/Ethernet frame as defined by [IEEE 802] as the example. RMCP is media independent and, depending on the medium, the associated header fields will be different. All the data fields specified for RMCP messages are in network byte order. This specification defines the format of the shaded fields in the frame described below.

Within the table that follows, a *Contents* field that has non-blank *Value* field defines the method through which the following frame contents are determined. For example, if the *MAC Header's Frame Type* is set to 0800h then the frame element that follows the *MAC Header* is an *IP Header*.

The RMCP message (the shaded area in the table) is divided into two basic components: its header and its associated data. Interpretation of the *RMCP Data* format depends on the value present in the RMCP header's *Class of Message* field. While the RMCP header is extensible to incorporate any OEM-defined variations, this specification defines only the *RMCP Data* formats for messages with *Class of Message* set to ASF (6).

An RMCP message's *Data* block is also extensible: the IANA Enterprise Number defines the interpretation of the remaining fields within that block. This specification defines messages and data formats when the *RMCP Data* block's *IANA Enterprise Number* is set to 4542 (the IANA-assigned value for ASF). Any other value in that field identifies an OEM-specific RMCP message extension; the *Data* block's *IANA Enterprise Number* is set to the OEM's IANA-assigned value.



Contents	Type	Offset	Value	
Destination Address	6 bytes	00h		MAC Header
Source Address	6 bytes	06h		
Frame Type	2 bytes	0Ch	0800h	
Version and Header Length	1 Byte	0Eh		IP Header
Service Type	1 Byte	0Fh		
Total Length	2 Bytes	10h		
Identification	2 Bytes	12h		
Flags & Fragment Offset	2 Bytes	14h		
Time to Live	1 Byte	16h		
Protocol	1 Byte	17h	11h	
Header Checksum	2 Bytes	18h		
Source IP Address	4 Bytes	1Ah		
Destination IP Address	4 Bytes	1Eh		
Source Port	2 Bytes	22h		
Destination Port	2 Bytes	24h	026Fh or 0298h <sup>1</sup>	
UDP Length	2 Bytes	26h		
UDP Checksum	2 Bytes	28h		
Version	1 Byte	2Ah	06h (ASF)	RMCP Header
Reserved	1 Byte	2Bh		
Sequence Number	1 Byte	2Ch		
Class of Message	1 Byte	2Dh	06h (ASF)	ASF RMCP Data
IANA Enterprise Number	4 bytes	2Eh	4542 (ASF)	
Message Type	1 Byte	32h		
Message Tag	1 Byte	33h		
Reserved	1 Byte	34h		
Data Length	1 Byte	35h		
Data	Variable	36h		
CRC	4 Bytes			

### 3.2.2.1 RMCP Acknowledge

This message is used to acknowledge receipt of an RMCP message only if the recipient supports the specific RMCP version in that message's header, the message is not itself an *RMCP Acknowledge*, and the header's *Sequence Number* is other than FFh (no acknowledge). The acknowledge message indicates *only* that the message has been *received*; it does not indicate that any action has been completed.

In a typical interaction, the recipient of an RMCP message acknowledges the sender by returning the received RMCP header with the MSB (most significant bit) of the "Class of Message" field set to indicate an acknowledgement. Specifically, the recipient returns to the sender the first three bytes from the received RMCP header (version, sequence number and reserved fields) with the fourth byte modified to indicate that the message represents an RMCP Acknowledge. If RMCP security extensions are in use and the message fails its integrity check, an RMCP Acknowledge is not sent. The following table describes the format of an RMCP Acknowledge:

<sup>1</sup> Refer to 3.2.3 *RMCP Security-Extensions Protocol (RSP)* on page 23 for the definition of the protocol used for this port.

Contents	Type	Offset	Value	RMCP Header for Acknowledge					
Version	1 byte	00h	Copied from the received message						
Reserved	1 byte	01h	Copied from the received message.						
Sequence Number	1 byte	02h	Copied from the received message.						
Class of Message	1 byte	03h	<table border="0"> <thead> <tr> <th>Bit(s)</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>7</td> <td>Set to 1 to indicate acknowledgement.</td> </tr> <tr> <td>6:0</td> <td>Copied from the header of the received message.</td> </tr> </tbody> </table>		Bit(s)	Description	7	Set to 1 to indicate acknowledgement.	6:0
Bit(s)	Description								
7	Set to 1 to indicate acknowledgement.								
6:0	Copied from the header of the received message.								

**Notes:**

1. The *RMCP Acknowledge* does not have an *RMCP Data* block; only the *RMCP Header* is returned.
2. An *RMCP Acknowledge* must not, itself, be acknowledged.

**3.2.2.2 RMCP Header**

The RMCP header fields are as specified in the following table. Sequence numbers are used to ensure reliability over an inherently unreliable protocol (like UDP) and facilitate message ordering and recognition of identical messages. The *Sequence Number* is incremented each time a unique message is sent from the same source (e.g. a management console or a client system). When the message initiator retries a message, possibly due to a missing *RMCP Acknowledge*, the initiator sends the exact message of the original transmission with the same *Sequence Number*; this allows the initiator to match an *RMCP* message to its associated acknowledgement.

Contents	Type	Offset	Value	RMCP Header
Version	1 byte	00h	This field identifies the version of the RMCP header. A value of 06h in the field indicates RMCP v1.0. 0-5 Legacy RMCP 6 ASF RMCP Version 1.0 7- 255 Reserved for future definition by this specification.	
Reserved	1 byte	01h	Reserved for future definition by this specification, set to 00h.	
Sequence Number	1 byte	02h	The sequence number associated with the message. Sequence numbers should increase monotonically from each RMCP message source, in the range 0 to 254, and then rollover back to 0. A <i>Sequence Number</i> field of 255 (FFh) has special meaning — it identifies that the receiver of the message <i>must not</i> provide acknowledgement.	
Class of Message	1 byte	03h	This field identifies the format of the messages that follow this header. All messages of class ASF (6) conform to the formats defined in this specification. Bit(s) Description 7 Message Type. Set to 1 to indicate an Acknowledge message (see 3.2.2.1); set to 0 otherwise. 6:4 Reserved for future definition by this specification, set to 000b. 3:0 Message Class. Set to one of the following values: 0-5 Reserved 6 ASF 7 IPMI <sup>2</sup> 8 OEM-defined 9-15 Reserved for future definition by this specification.	

<sup>2</sup> The format and specification of messages under this class will be specified in the IPMI (Intelligent Platform Management Interface) specifications. Information on IPMI can be obtained from the IPMI web site: <http://developer.intel.com/design/servers/ipmi>

### 3.2.2.3 RMCP Data

All RMCP messages have the same *Data* block format, but the interpretation of the fields within the *Data* block is dependent on the value present in the first field: the *IANA Enterprise Number*. OEMs and ISVs can provide extensions to the RMCP message set by setting the field to their IANA-assigned enterprise number.

Contents	Type	Offset	Value	
IANA Enterprise Number	4 bytes	00h	IANA-assigned Enterprise Number associated with the entity that defines the <i>Message Type</i> values and <i>Data</i> field format for the message; set to 4542 for ASF-RMCP messages defined by this specification. The number is transmitted in network byte order.	General RMCP ASF Data
Message Type	1 byte	04h	Defined by the entity associated with the value in the previous field.	
Message Tag	1 byte	05h	This field is used to match request-response pairs.	
Reserved	1 byte	06h	Reserved for future definition by this specification, set to 0.	
Data Length	1 byte	07h	Identifies the number of bytes present in the <i>Data</i> field	
Data	N bytes	08h	Data associated with a particular <i>Enterprise Number</i> and <i>Message Type</i> , number of bytes is specified by <i>Data Length</i> .	

This specification defines the interpretation of the RMCP *Data* block when *IANA Enterprise Number* field is set to 4542 (ASF IANA), see 3.2.4 on page 33 for more information:

Contents	Type	Offset	Value	
IANA Enterprise Number	4 bytes	00	This field contains the 4-byte value 4542 or 11BEh, the number assigned to ASF by the Internet Assigned Numbers Authority (IANA). The number is transmitted in network byte order.	Standard RMCP ASF Data
Message Type	1 byte	04h	<p><b>00h:0Fh Reserved</b></p> <p><b>10h:3Fh "Set" messages</b></p> <p>10h Reset, see page 33</p> <p>11h Power-up, see page 33</p> <p>12h Unconditional Power-down, see page 35</p> <p>13h Power Cycle Reset, see page 33</p> <p><b>40h:7Fh Response or "Get Response" messages</b></p> <p>40h Presence Pong, see page 36</p> <p>41h Capabilities Response, see page 36</p> <p>42h System State Response, see page 38</p> <p>43h Open Session Response, see page 39</p> <p>44h Close Session Response, see page 40</p> <p><b>80h:BFh Request or "Get" messages</b></p> <p>80h Presence Ping</p> <p>81h Capabilities Request</p> <p>82h System State Request</p> <p>83h Open Session Request</p> <p>84h Close Session Request</p> <p><b>C0h:CFh Authentication/Key Generation messages</b></p> <p>C0h RAKP Message 1</p> <p>C1h RAKP Message 2</p> <p>C2h RAKP Message 3</p> <p><b>D0h:FFh Reserved for future definition by this specification</b></p>	

Contents	Type	Offset	Value
Message Tag	1 byte	05h	This 1-byte field is used to match request-response pairs. This value is copied into the response message when one is generated in a request-response interaction, e.g. the <i>Presence Ping/Presence Pong</i> pair. When a duplicate message is received, i.e. one with the same Message Tag, the consumer of the message determines whether the message is accepted or rejected. For example, an alert-sending device might be designed to respond to <u>all</u> Presence Ping messages received, or to keep track of recent Presence Ping messages and only respond to those with unique Message Tag values. See below for more information. <u>Note:</u> A value of 255 (FFh) indicates that the associated message is not a request-response type message.
Reserved	1 byte	06h	Reserved for future definition by this specification, set to 0.
Data Length	1 byte	07h	This 1-byte field contains the byte length of the message's variable-length <i>Data</i> field.
Data	N bytes	08h	Data associated with a particular <i>Message Type</i> , number of bytes is specified by <i>Data Length</i> .

### Using the *Message Tag* Field

Many of the RMCP messages are of the request/response type:

- A Presence Ping sent from a management console requests that the client respond with a Presence Pong
- A Capabilities Request from a management console requests that the client respond with a Capabilities Response
- A System State Request from a management console requests that the client respond with a System State Response.

For each of these message pairs, the RMCP *Data* block's *Message Tag* field provides a method to bind a response to its associated request.

For example, a management console sends a *Presence Ping* with the *Message Tag* field set to 12h to a managed client. The client's alert-sending device copies the *Message Tag* field value from the message received into the associated *Presence Pong* response prior to transmitting that message. When the management console receives the *Presence Pong*, the console can quickly map the message to its associated *Presence Ping* by matching the *Message Tag* fields.

### 3.2.3 RMCP Security-Extensions Protocol (RSP)

RMCP Security-Extensions Protocol (RSP) provides integrity and anti-replay services for RMCP messages. When RSP is used, an entire RMCP message is encapsulated in an RSP header and trailer (shown as the shaded areas in the table below).

- An RSP header is inserted between the UDP header and the RMCP header and its presence is identified by the use of the RMCP security extensions UDP port number (0298h).
- An RSP trailer is located following the end of the RMCP message's *Data* block (i.e., security extensions are applied above the UDP layer).

The table illustrates which fields of the RSP header, RMCP message, and RSP trailer are protected by the integrity service.

Contents	Type	Offset	Value	
Source Port	2 Bytes	22h		UDP Header
Destination Port	2 Bytes	24h	0298h	
UDP Length	2 Bytes	26h		
UDP Checksum	2 Bytes	28h		

Contents	Type	Offset	Value		
Session ID	4 Bytes	2Ah		RSP Hdr	Integrity Protected
Sequence Number	4 Bytes	2Eh			
Version	1 Byte	32h	06h (ASF)	RMCP Header	
Reserved	1 Byte	33h			
Sequence Number	1 Byte	34h			
Class of Message	1 Byte	35h	06h (ASF)	ASF RMCP Data	
IANA Enterprise Number	4 bytes	36h	4542 (ASF)		
Message Type	1 Byte	3Ah			
Message Tag	1 Byte	3Bh			
Reserved	1 Byte	3Ch			
Data Length	1 Byte	3Dh			
Data	Variable	3Eh			
Pad	Variable			RSP Trailer	
Pad Length	1 Byte				
Next Header	1 Byte				
Integrity Data	Variable				

### 3.2.3.1 Header and Trailer Formats

An RSP header contains two fields: *Session ID* and *Sequence Number*. A *Session ID* is an arbitrary number that is selected by each entity and exchanged using the *RSSP Open Session Request/Response* messages (see 3.2.3.4). *Session IDs* are used to identify the particular session state (algorithms, keys, etc) that is used to process a particular message.

*Sequence Numbers* are used along with a *Sliding Receive Window* (see 3.2.3.3) to provide an anti-replay service for messages. For this specification, the size of the *Sliding Receive Window* is 32 messages. A *Sequence Number* is a unique monotonically increasing number inserted into the header by the sender. When a session is created, the *Sequence Number* is initialized to zero and incremented by one at the start of outbound processing for a given message. A new session must be created prior to the *Sequence Number* wrapping around back to zero. These fields are specified in the following table.

Contents	Type	Offset	Value	
Session ID	4 Bytes	00h	The Session ID of the destination entity. 0000 0000h  All others  "Bypass" Session ID. This Session ID is used to indicate that an unprotected RMCP, RSSP, or RAKP message follows the RSP header and <u>no RSP trailer following the message's Data field</u> . When this Session ID is present, the Sequence Number field is ignored. This mechanism allows some messages (e.g., Presence Ping/Pong) to be received over the RMCP security extensions UDP port prior to session establishment. "Set"-type messages (e.g., Reset) shall not be accepted under this Session ID.  Regular Session IDs	RSP Header
Sequence Number	4 Bytes	03h	The sender's Sequence Number for the message.	

An RSP trailer contains four fields:

1. *Pad* provides DWORD alignment for the *Integrity Data* field within a protected RMCP message. Some messages may not require padding if the messages already provide the necessary alignment.
2. The *Pad Length* field defines the number of *Pad* bytes (0 to 3) present in the message. This field is mandatory; if no *Pad* bytes are required, the *Pad Length* field is set to 00h.

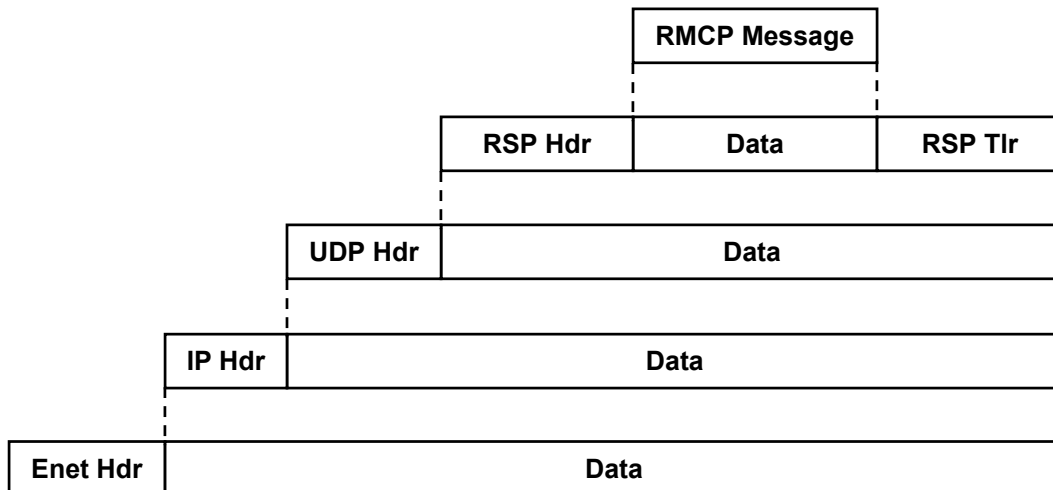
3. The *Next Header* field indicates the type of message that is encapsulated between the RSP header and trailer. For this specification, the value in the *Next Header* field is defined as the value in the *Version* field of the *RMCP Header* of the RMCP message being processed (e.g.; 06h for ASF).
4. The *Integrity Data* field is used to hold the results of an integrity algorithm (e.g., a keyed hash function) performed over the specific fields of the RSP header, RMCP message, and RSP trailer defined earlier. The length of this field depends on the integrity algorithm negotiated during session setup. For this specification, the mandatory-to-implement integrity algorithm is HMAC-SHA1-96 defined in [RFC2404].

These fields are specified in the following table.

Contents	Type	Offset	Value	
Pad	Variable Bytes		Used to provide DWORD-alignment of the Integrity Data field within the message. If present, each Pad byte is set to 00h.	RSP Trailer
Pad Length	1 Byte	4n-2	Defines the number of <i>Pad</i> bytes present in the message, in the range 0 to 3.	
Next Header	1 Byte	4n-1	Indicates the type of message that is encapsulated between the RSP header and trailer. For this specification, the value of this field equals the value in the <i>Version</i> field of the <i>RMCP Header</i> of the message being processed.	
Integrity Data	Variable Bytes	4n	Holds the results of an integrity algorithm negotiated during session setup.	

### 3.2.3.2 Outbound Message Processing

The sections that follow and the figure below outline the processing steps used by an alert-sending device or management console to add security extensions to an outbound RMCP message.



#### 3.2.3.2.1 Device Security Policy and Session State Lookup

When an RMCP request initiator creates a message, its RMCP protocol engine accesses the *Device Security Policy* to determine whether RMCP security extensions functionality is enabled. If the functionality is enabled, RMCP determines if an appropriate RSP session exists for the message.

If an appropriate session does not exist, RMCP uses the *RSP Session Protocol* (RSSP) to create a session (see 3.2.3.4). If a session exists but the session is not in the *Message Transfer* phase (the phase that allows RMCP messages to be exchanged), RMCP must wait until the session reaches that phase before the RMCP message can be sent.

If a session exists and the session is in the *Message Transfer* phase, RMCP passes the *Session ID*, the RMCP message, and RMCP message *Length*, to the next lower-layer protocol (RSP) for additional processing.

### 3.2.3.2.2 Message Encapsulation

When the sending device's RSP protocol engine receives a message from RMCP, RSP inserts an *RSP Header* (see 3.2.3.1) at the beginning of the message and copies the *Session ID* value into the header's *Session ID* field. RSP uses the *Session ID* to access the session state and increments the session's *Sequence Number* and then inserts that value into the *Sequence Number* field of the RSP Header.

Next, RSP creates an *RSP Trailer* (see 3.2.3.1) at the end of the message's *Data* block. RSP computes the amount of padding required (if any) to align the protected message's *Integrity Data* field on a DWORD boundary. RSP then uses the RMCP message *Length* (passed from the RMCP engine) to locate the end of the message's *Data* block, and inserts the correct number of pad bytes and the values for the RSP Trailer's *Pad Length* and *Next Header* fields.

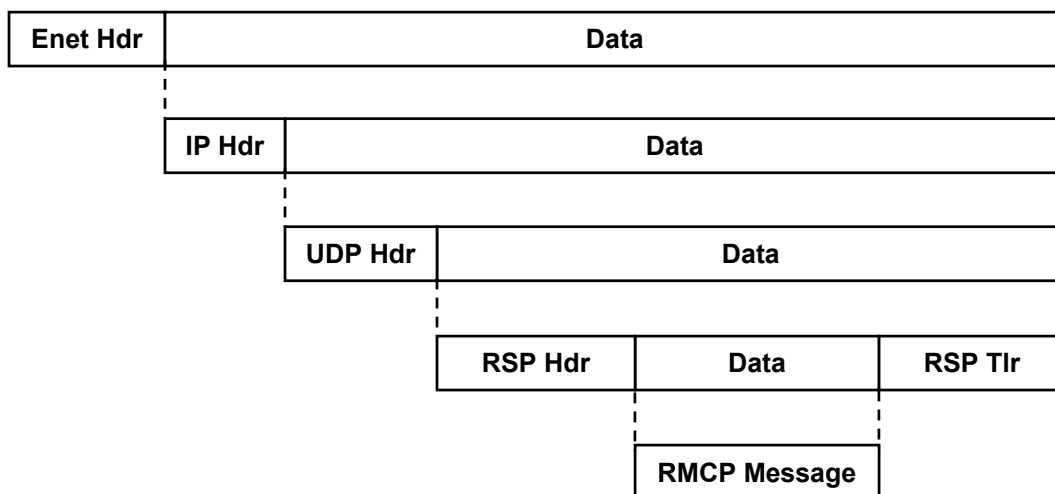
Finally, RSP uses the *Session ID* to access the session state and determine which integrity algorithm to use with the message, and computes the *Integrity Data* over the encapsulated message (from *Session ID* to *Next Header* fields, inclusive). The calculated value is inserted into the *Integrity Data* field of the RSP Trailer creating a protected RMCP message. Finally, RSP updates the message *Length* to account for the addition of the RSP Header and Trailer, and passes the *UDP Source* and *Destination Port* values (from the session state), the protected RMCP message *Length*, and the protected RMCP message to the next lower-layer protocol (UDP) for additional processing.

### 3.2.3.2.3 Lower-Layer Protocol Processing

When it receives a message from RSP, the sending device's UDP protocol engine inserts its header at the beginning of the protected RMCP message. UDP copies the port values passed from RSP into the UDP Header's *Source Port* and *Destination Port* fields, and then computes the UDP packet length and checksum and inserts these values into the UDP *Length* and *Checksum* fields. The resulting UDP packet is then passed to other lower-layer protocols (e.g. IP and 802.3/Ethernet) for additional processing and eventual transmission to its destination.

### 3.2.3.3 Inbound Message Processing

The follow sections and the figure below outline the processing steps used by an alert-sending device or management console to remove security extensions from an inbound RMCP message.



### 3.2.3.3.1 Lower-Layer Protocol Processing

When a frame containing a protected RMCP message reaches its destination, the lower-layer protocols (e.g. 802.3/Ethernet and IP) in the receiving device perform their processing and pass the resulting packet to the next higher-layer protocol (UDP) for additional processing. UDP in the receiving device then validates the *Checksum* field in the UDP header. If the *Checksum* field is invalid, UDP silently discards the packet.

If the *Checksum* field is valid, UDP verifies that it supports the upper-layer protocol specified by the value in the *Destination Port* field. If the upper-layer protocol is not supported, UDP silently discards the packet. If the upper-layer protocol is supported, UDP strips off its header, updates the protected RMCP message *Length*, and passes the protected RMCP message and its *Length* to the next higher-layer protocol (RSP) for additional processing.

### 3.2.3.3.2 Device Security Policy and Session State Lookup

When RSP receives a protected RMCP message from UDP, RSP accesses the *Device Security Policy* to determine whether RMCP security extensions functionality is enabled. If the functionality is disabled, RSP silently discards the message.

If the functionality is enabled, RSP uses the RSP Header's *Session ID* value to locate the session state for this message. If no session state can be located for this message, RSP silently discards the message. If a session exists but the session is in a phase does not that allows this protected RMCP message to be accepted (e.g. "Set" RMCP messages received prior to reaching the *Message Transfer* session phase), RSP silently discards the message.

### 3.2.3.3.3 Message De-Encapsulation

If a session exists and the session is in a phase that allows this protected RMCP message to be accepted, RSP uses the integrity algorithm specified in the session state and the protected RMCP message *Length* passed up from UDP to locate and validate the *Integrity Data* field in the RSP Trailer. If the *Integrity Data* field is invalid, RSP silently discards the message.

If the *Integrity Data* field is valid, RSP uses the RSP Header's *Sequence Number* field and the *Sliding Receive Window* information in the session state and determines if this message is "new" (i.e. it is not a duplicate of a previously received message) and where the message falls with respect to the *Sliding Receive Window*.

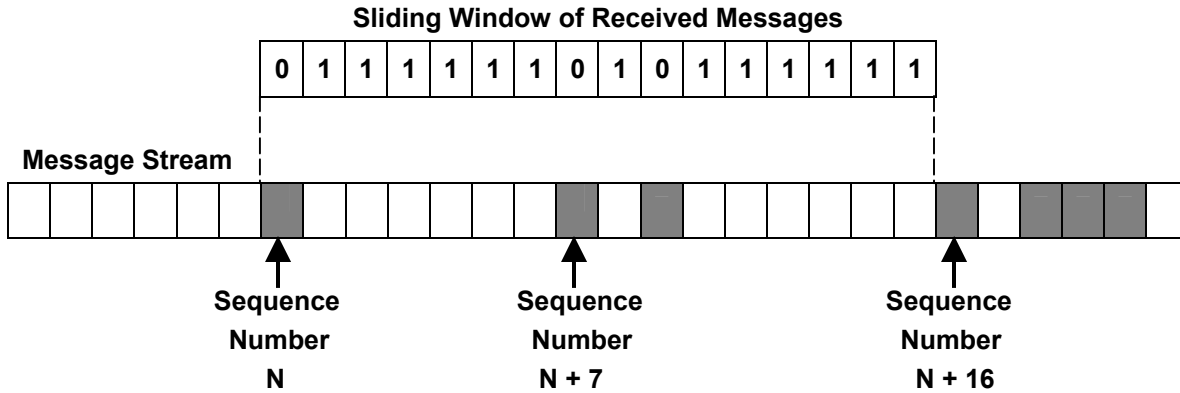
As shown in the figure below, the left-end of the *Sliding Receive Window* represents the *Sequence Number* of the beginning of the window and the right-end of the *Sliding Receive Window* is "window size" messages into the future. For v2.0 of this specification, the "window size" is 32 messages; the figure below uses a 16-message size for illustration purposes only.

The received message must be "new" and must fall either inside the window or to the right of the window or RSP silently discards the message. If the received message falls to the right of the window, the window is advanced to the right to encompass the message. A message may be received out-of-order and still be properly processed.

**Important Note:** The window must not be advanced until the *Integrity Data* of the message that would cause the advancement has been validated. Doing otherwise would allow an attacker to generate bogus messages with large sequence numbers that would move the window outside the range of valid sequence numbers and cause RSP in the receiving device to drop valid messages.

If the *Sequence Number* processing completes successfully, RSP saves the value in the *Next Header* field and uses the value in the *Pad Length* field to compute the number of *Pad* bytes that need to be removed from the end of the message. RSP then strips off the RSP Header and Trailer and updates the *Length* value for the RMCP message. RSP then passes the RMCP message and its *Length* to the next higher-layer protocol (RMCP) for additional processing.





**0 = Message Not Yet Received**

### 3.2.3.4 RSP Session Protocol (RSSP)

To make use of RSP, an association must be established between a management console and the clients that it wishes to manage. An association keeps track of the “state” information that defines the relationship, including which algorithms to use, keying material, and sequence numbers. An association is established via a session protocol with a set of messages that can be used to setup and teardown an association.

For this specification, an *RSP Session Protocol* (RSSP) is defined (see the diagram that follows) that divides a session into four (4) phases: *Discovery*, *Creation*, *Message Transfer*, and *Termination*. A session is further divided into one of two types based on the management console user “role” that is used to create the session: operator sessions and administrator sessions. A managed client must support at least two sessions simultaneously, one of each type.

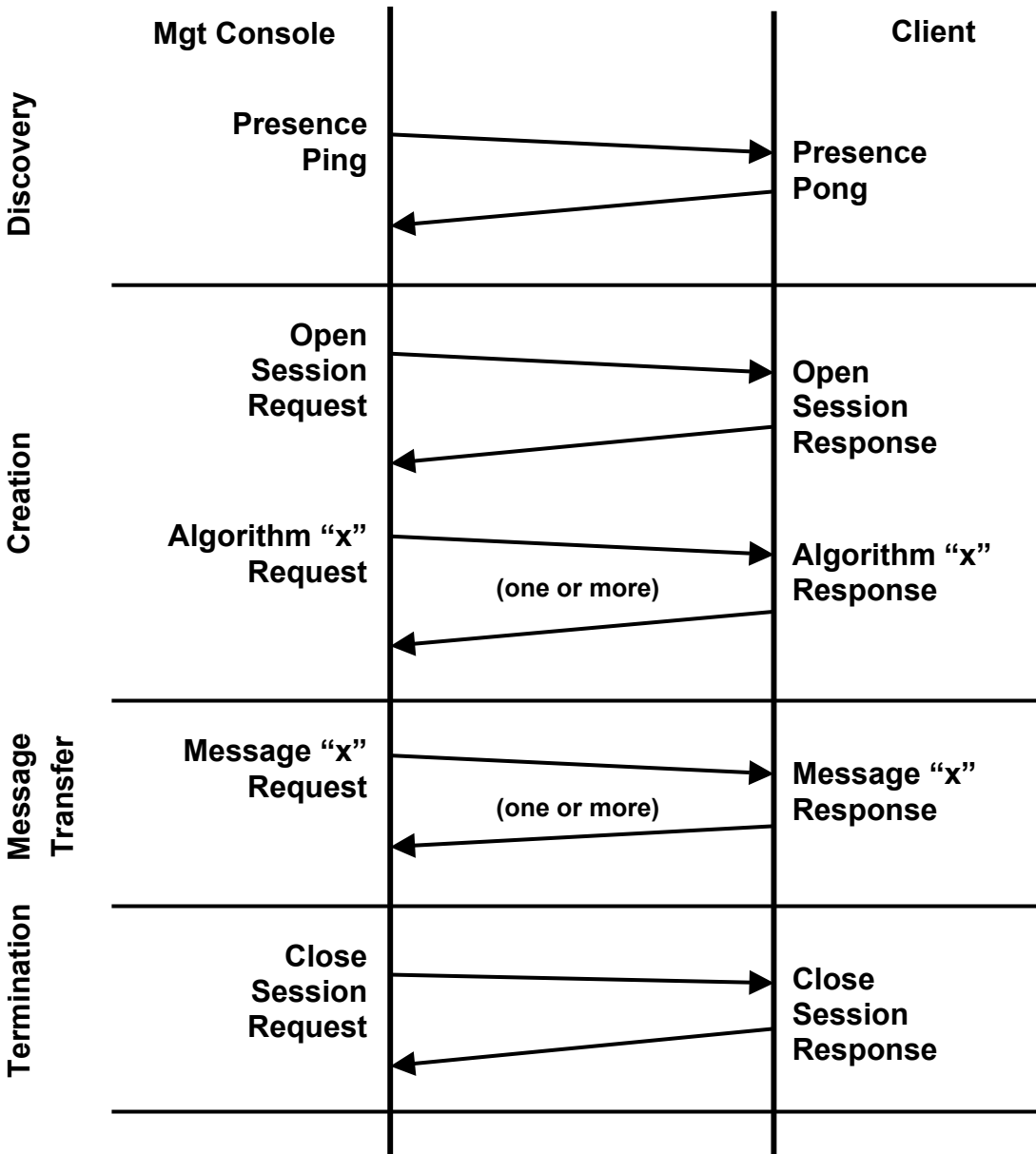
During the *Discovery* phase, the management console and the managed client use the RMCP Presence Ping/Pong messages (see 3.2.4.8 and 3.2.4.3) to determine if a particular managed client supports the RMCP security extensions. If the managed client supports the RMCP security extensions and the management console wishes to establish an association with that managed client, the management console transitions to the *Creation* phase of the session protocol for that managed client.

During the *Creation* phase, the management console and the managed client use the RSSP Open Session Request/Response messages (see 3.2.4.11 and 3.2.4.6) to exchange Session IDs, and negotiate the RSSP authentication and key generation protocol (with its associated algorithms) and the RSP integrity algorithm for the session. Next the management console initiates the selected authentication and key generation protocol (which might involve one or more message exchanges) and generates the necessary keying material required for the RSP integrity algorithm.

If the protocol is successful, an association is now in place between the management console and the managed client and they each transition to the *Message Transfer* phase of the session protocol. If the protocol is not successful because of a lost message (e.g. a reply timer expires for either entity), both entities re-initialize their protocol state. If the management console detects the lost message, it restarts the protocol at the beginning.

During the *Message Transfer* phase, the management console and the managed client exchange the desired messages necessary to manage the client. Each of these messages is encapsulated with an RSP Header and Trailer with integrity protection provided by the RSP integrity algorithm negotiated during the *Creation* phase. If the management console wishes to close a session, it transitions to the *Termination* phase. During the *Termination* phase, the management console and managed client exchange the RSSP Close Session Request/Response messages (see 3.2.4.12 and 3.2.4.7) to end the session.

All messages that are sent to the RMCP security extensions UDP port prior to the establishment of a session (at the end of the *Creation* phase) must be encapsulated within an RSP Header that uses the "Bypass" Session ID (see 3.2.3.1). This also means that no integrity protection is provided to messages by RSP until the *Creation* phase is complete. As a result, all protocols that run prior to the end of the *Creation* phase (RSSP and RAKP) must provide their own security mechanisms (if required).



### 3.2.3.5 RSSP Authenticated Key-Exchange Protocol (RAKP)

RSSP can support a number of different authentication and key exchange protocols during its *Creation* phase. For this specification, the mandatory-to-implement authentication and key exchange protocol is the RSSP Authenticated Key-Exchange Protocol (RAKP). RAKP (defined below) was developed based on the Authenticated Key Exchange Protocol (AKEP) defined by Bellare and Rogaway in [BR1].

RAKP uses pre-shared symmetric keys and HMAC-based integrity algorithms to mutually authenticate a management console to a given managed client and to generate pair-wise unique symmetric keying material that can be used with a number of integrity algorithms to provide protection for RMCP messages. For this specification, RAKP shall use the HMAC-SHA1 integrity algorithm defined in [RFC2104] and the HMAC-SHA1-96 integrity algorithm defined in [RFC2404].

RAKP also supports the concept of management console user “roles” and optionally “names” (e.g. operator “x” or administrator “y”), which are established by RAKP when a session is created. This feature combined with a management console defined *Device Security Policy* allows a managed client to control its behavior.

Examples of behavior that can be controlled include the roles that the managed client can use to establish sessions (e.g. operator-only sessions), the roles and names (optional) allowed to execute each RMCP message the managed client might receive during a given session, and whether the managed client will accept messages over the compatibility RMCP port (026Fh).

Before a given managed client’s RMCP implementation can become operational, it must be configured with various RMCP-related parameters. At installation time for RAKP, a management console user uses an out-of-band mechanism (e.g. local physical access or remote access via a secured connection) to record the Globally Unique ID (GUID) for a managed client and install in the managed client a *Device Security Policy* and three (3) 160-bit random symmetric keys.

The first two keys are used for authentication operations based on the “role” being requested by the user at the management console during session setup. The first key, **K<sub>O</sub>**, is used for operator authentication and the second key, **K<sub>A</sub>**, is used for administrator authentication. The third key, **K<sub>G</sub>**, is used for key generation operations. The scope of these keys (shared by multiple managed clients and the management console or pair-wise unique for each managed client and the management console) is a local policy issue that is determined by the equipment owner at the time of installation.

Once this and other necessary RMCP-related data is installed in the managed client and the managed client is initialized, the management console can initiate sessions with the managed client. Following the exchange of RMCP Presence Ping/Pong and RSSP Open Session Request/Response messages (exchanging session IDs and selecting RAKP for use), the management console starts the RAKP protocol. First, the management console selects a random number, **R<sub>M</sub>**, a requested role, **Role<sub>M</sub>**, a user name length, **ULength<sub>M</sub>**, a user name (optional – denoted by < > below), **UName<sub>M</sub>**, and the managed client’s session ID, **SID<sub>C</sub>**, and sends them to the managed client as Message 1.

### Message 1: Mgt Console —► Managed Client

**SID<sub>C</sub>, R<sub>M</sub>, Role<sub>M</sub>, ULength<sub>M</sub>, < UName<sub>M</sub> >**

After receiving Message 1, the managed client verifies that the value **SID<sub>C</sub>** is active and that a session can be created using **Role<sub>M</sub>**, **ULength<sub>M</sub>**, and (optional), **UName<sub>M</sub>**, by evaluation of the *Device Security Policy*. If the request is valid, the managed client then selects a random number, **R<sub>C</sub>**, and sends to the management console as Message 2 the values **SID<sub>M</sub>**, **R<sub>C</sub>**, and **GUID<sub>C</sub>** as well as the HMAC per [RFC2404] of the values (**SID<sub>M</sub>**, **SID<sub>C</sub>**, **R<sub>M</sub>**, **R<sub>C</sub>**, **GUID<sub>C</sub>**, **Role<sub>M</sub>**, **ULength<sub>M</sub>**, < **UName<sub>M</sub>** >) generated using key **K<sub>O</sub>** or **K<sub>A</sub>** selected by the requested role, **Role<sub>M</sub>**.

### Message 2: Managed Client —► Mgt Console

**SID<sub>M</sub>, R<sub>C</sub>, GUID<sub>C</sub>,  
HMAC<sub>K<sub>O</sub> or K<sub>A</sub></sub>(SID<sub>M</sub>, SID<sub>C</sub>, R<sub>M</sub>, R<sub>C</sub>, GUID<sub>C</sub>, Role<sub>M</sub>, ULength<sub>M</sub>, < UName<sub>M</sub> >)**

After receiving Message 2, the management console verifies that the value **SID<sub>M</sub>** is active and that **GUID<sub>C</sub>** matches the managed client that the management console is expecting to communicate with. The management console then validates the HMAC. If the HMAC is valid, the management console creates the Session Integrity Key (SIK) by generating an HMAC per [RFC2104] of the concatenation of **R<sub>M</sub>**, **R<sub>C</sub>**, **Role<sub>M</sub>**, **ULength<sub>M</sub>**, and (optional) **UName<sub>M</sub>** using key **K<sub>G</sub>** (note – no truncation).

$$\mathbf{SIK = HMAC_{K_G} (R_M | R_C | Role_M | ULength_M | < UName_M >)}$$

Then the management console sends to the managed client as Message 3 the value **SID<sub>C</sub>** and the HMAC per [RFC2404] of the values (**R<sub>C</sub>**, **SID<sub>M</sub>**, **Role<sub>M</sub>**, **ULength<sub>M</sub>**, **< UName<sub>M</sub> >**) generated using key **K<sub>O</sub>** or **K<sub>A</sub>** selected by the requested role, **Role<sub>M</sub>**.

### Message 3: Mgt Console —► Managed Client

$$\mathbf{SID_C, HMAC_{K_O \text{ or } K_A} (R_C, SID_M, Role_M, ULength_M, < UName_M >)}$$

After receiving Message 3, the managed client verifies that the value **SID<sub>C</sub>** is active and then validates the HMAC. If the HMAC is valid, the managed client creates the SIK by generating an HMAC per [RFC2104] of the concatenation of **R<sub>M</sub>**, **R<sub>C</sub>**, **Role<sub>M</sub>**, **ULength<sub>M</sub>**, and (optional) **UName<sub>M</sub>** using key **K<sub>G</sub>** (note – no truncation).

$$\mathbf{SIK = HMAC_{K_G} (R_M | R_C | Role_M | ULength_M | < UName_M >)}$$

If the specific session integrity algorithm negotiated between the management console and the managed client requires more keying material than that provided by SIK, additional keying material can be derived by using an HMAC per [RFC2104], keyed by SIK, to process a pre-defined set of constants.

$$\mathbf{K_1 = HMAC_{SIK} (\text{const 1})}$$

$$\mathbf{K_2 = HMAC_{SIK} (\text{const 2})}$$

$$\mathbf{K_3 = HMAC_{SIK} (\text{const 3})}$$

These constants are constructed using a hexadecimal octet value repeated up to the HMAC block size in length starting with the constant 01h. This mechanism can be used to derive up to 255 HMAC-block-length pieces of keying material from a single SIK.

<b>Const 1</b>	<b>=</b>	<b>0x01010101010101010101010101010101</b>	<b>01010101010101010101010101010101</b>
<b>Const 2</b>	<b>=</b>	<b>0x02020202020202020202020202020202</b>	<b>02020202020202020202020202020202</b>
<b>Const 3</b>	<b>=</b>	<b>0x03030303030303030303030303030303</b>	<b>03030303030303030303030303030303</b>
			.
			.
			.
<b>Const 255</b>	<b>=</b>	<b>0xFFFFFFFFFFFFFFFFFFFFFFFF</b>	<b>FFFFFFFFFFFFFFFFFFFFFFFF</b>

Algorithms such as RAKP depend on "quality" random numbers for their security. Quality in this context means that the numbers must be random in a cryptographic sense (i.e., they must be genuinely unpredictable). To ensure that a baseline-level of quality random numbers are provided for management consoles and managed clients, this specification defines the following algorithm that RAKP implementations must use if no other higher-quality source of random numbers is available (e.g., a hardware random number generator).

In addition to the three previously defined RAKP keys (i.e.,  $K_A$ ,  $K_O$ , and  $K_G$ ), a Management Console generates an additional 160-bit key,  $K_R$ , which is unique for each managed client. The value of this key cannot be reused during the lifetime of  $K_A$ ,  $K_O$ , and  $K_G$ . During installation after all of the RAKP keys have been loaded into non-volatile storage, the managed client creates two (2) 32 bit counters,  $C_P$  and  $C_Q$  and sets the value of each counter to zero (0).

$C_P$  is used to count the number of device power cycles and its value is saved in non-volatile storage. Once initialized,  $C_P$  is incremented by one (1) after each power cycle and its new value is again saved in non-volatile storage.  $C_Q$  is used to count the number of random number generation requests per power cycle. Once initialized,  $C_Q$  is incremented by one (1) after each random number generation request. After each power cycle, the value of  $C_Q$  is set to zero (0) (i.e., its value is not saved across power cycles). If during a given power cycle,  $C_Q$  rolls-over back to zero, the managed client must increment  $C_P$  by one (1) and save its new value back into non volatile storage.

The managed client creates a random number by generating an HMAC per [RFC2104] of the concatenation of  $C_P$  and  $C_Q$  using key  $K_R$ .

$$\text{Random Number} = \text{HMAC}_{K_R}(C_P | C_Q)$$

### 3.2.3.5.1 RSSP and RAKP Message Status Codes

The table below lists the status codes for specific RSSP and RAKP messages.

Status Code	Description	Message			
		43h	44h	C1h	C2h
00h	No errors	X	X	X	X
01h	Insufficient resources to create a session	X			
02h	Invalid session ID	X	X	X	X
03h	Invalid payload type	X			
04h	Invalid authentication algorithm	X			
05h	Invalid integrity algorithm	X			
06h	No matching authentication payload	X			
07h	No matching integrity payload	X			
08h	Inactive session ID		X	X	X
09h	Invalid role			X	
0Ah	Unauthorized role			X	
0Bh	Insufficient resources to create a session at the requested role			X	
0Ch	Invalid name length			X	
0Dh	Unauthorized name			X	
0Eh	Unauthorized GUID				X
0Fh	Invalid integrity check value				X

Status Code	Description	Message			
		43h	44h	C1h	C2h
10h-FFh	Reserved for future definition by this specification				

### 3.2.4 RMCP “ASF” Message Types

This section defines message data formats for the *standard* RMCP “ASF” class (i.e. the IANA Enterprise Number in the RMCP Data section is 4542). This specification defines the *Data* portion of each message; OEMs and ISVs can provide extensions using the *general* RMCP “ASF” class, but cannot extend the standard messages’ packet size.

#### 3.2.4.1 Reset (10h), Power-up (11h), and Power Cycle Reset (13h)

A management console can send these RMCP messages to cause a managed client to perform a hard-reset, power up, or power cycle reset. See section 6.3.3 for detailed descriptions and definitions of these remote control functions.

Each of these message types can optionally include *Boot Options* in its variable data; the options define operations a managed client performs with the boot initiated by the RMCP message. The RMCP message’s *Data Length* value indicates the presence (0Bh or greater) or absence (00h) of the options. The *Boot Options* contain a bit-mask of standard options and a Special Command with an optional parameter.

If a managed client doesn’t support the message (as indicated on the presumed, previous response to the console’s *Capabilities Request* message), the alert-sending device issues an RMCP *Acknowledge* and otherwise disregards the message. Any message disregarded in this fashion has no effect on the alert-sending device’s response to a subsequently issued SMBus *Get Boot Options* message (see 5.2 for these messages’ definitions). Otherwise, the alert-sending device records the *Boot Options* and *Special Command* values and reports those values in response to subsequently issued SMBus *Get Boot Options* messages until either

1. The alert-sending device receives another RMCP message, supported by the system. This message’s *Boot Options* and *Special Command* values replace the previously recorded values.
2. The alert-sending device receives an SMBus *Boot Options Clear* message (see 5.2.2 for details). Until the alert-sending device receives another RMCP message with *Boot Options* values, the device responds with the No Boot Options response to any SMBus *Get Boot Options* messages.

When the *Boot Options* are present, the message’s *Data* field is organized as follows:

Boot Options Data Byte	Field	Description
1-4	IANA Enterprise Number	IANA-assigned Enterprise Number — ASF (4542) or OEM specific — that defines the interpretation of the OEM <i>Special Command</i> values and their associated <i>Special Command Parameters</i> , and the <i>OEM Parameters</i> fields.
5	Special Command	<b>Note:</b> This specification defines the interpretation of the <i>Boot Options Bit Mask</i> field, regardless of the <i>Enterprise Number</i> value. Defines commands to be processed by the managed client on the boot initiated by the ASF-RMCP message. See <i>Special Command Definitions</i> below for more detail.

Boot Options Data Byte	Field	Description
6-7	Special Command Parameter	Defines a command parameter to augment the <i>Special Command</i> . See <i>Special Command Definitions</i> below for more detail. Parameter byte 1 is present in Data Byte 6; parameter byte 2 is present in Data Byte 7.
8-9	Boot Options Bit Mask	Defines a standard set of firmware operations. See <i>Boot Options Bit Mask</i> below for more detail. Boot Options Bit Mask Byte 1 is present in Data Byte 8, Boot Options Bit Mask Byte 2 is present in Data Byte 9, and so on.
10-11	OEM Parameters	Defines parameters that further augment the Special Command definition; the entity associated with the Enterprise Number defines the interpretation of these fields. These fields have no meaning when the Enterprise Number is 4542 (ASF).

### Special Command Definitions

ASF defines the following *Special Command* values, present as byte 5 of the *Boot Options*; the meaning applies only when the IANA Enterprise Number specified in the *Boot Options* is the value 4542 (ASF).

Value	Description
00h	<b>NOP.</b> No additional special command is included; the <i>Special Command Parameter</i> has no meaning.
01h	<b>Force PXE Boot.</b> The <i>Special Command Parameter</i> can be used to specify a PXE parameter. When the parameter value is 0, the system default PXE device is booted. All other values for the PXE parameter are reserved for future definition by this specification.
02h	<b>Force Hard-drive Boot.</b> The <i>Special Command Parameter</i> identifies the boot-media index for the managed client. When the parameter value is 0, the default hard-drive is booted, when the parameter value is 1, the primary hard-drive is booted; when the value is 2, the secondary hard-drive is booted – and so on.
03h	<b>Force Hard-drive Safe Mode Boot.</b> The <i>Special Command Parameter</i> identifies the boot-media index for the managed client. When the parameter value is 0, the default hard-drive is booted, when the parameter value is 1, the primary hard-drive is booted; when the value is 2, the secondary hard-drive is booted – and so on.
04h	<b>Force Diagnostic Boot.</b> The <i>Special Command Parameter</i> can be used to specify a diagnostic parameter. When the parameter value is 0, the default diagnostic media is booted. All other values for the diagnostic parameter are reserved for future definition by this specification.
05h	<b>Force CD/DVD Boot.</b> The <i>Special Command Parameter</i> identifies the boot-media index for the managed client. When the parameter value is 0, the default CD/DVD is booted, when the parameter value is 1, the primary CD/DVD is booted; when the value is 2, the secondary CD/DVD is booted – and so on.
06h to 0BFh	Reserved for future definition by this specification.
0C0h to 0FFh	OEM command values; the interpretation of the <i>Special Command</i> and associated <i>Special Command Parameters</i> is defined by the entity associated with the Enterprise ID.

### Boot Options Bit Mask

The following table identifies the bits in the Boot Options Bit Mask, present in the Boot Options as Data Bytes 8 through 11.

Boot Options Data Byte	Boot Options Bit Mask Byte	Bit Number	Boot Options Bit Mask Description
8	1	7	Reserved for future definition by this specification, set to 0b.
		6	<u>Lock Sleep Button</u> . When set to 1b, the managed client's firmware disables the sleep button operation for the system, normally until the next boot cycle. Client instrumentation might provide the capability to re-enable the button functionality without rebooting.
		5	<u>Lock Keyboard</u> . When set to 1b, the managed client's firmware disallows keyboard activity during its boot process. Client instrumentation or OS drivers might provide the capability to re-enable the keyboard functionality without rebooting.
		4:3	Reserved for future definition by this specification, set to 00b.
		2	<u>Lock Reset Buttons</u> . When set to 1b, the managed client's firmware disables the reset button operation for the system, normally until the next boot cycle. Client instrumentation might provide the capability to re-enable the button functionality without rebooting.
		1	<u>Lock Power Button</u> . When set to 1b, the managed client's firmware disables the power button operation for the system, normally until the next boot cycle. Client instrumentation might provide the capability to re-enable the button functionality without rebooting.
		0	Reserved for future definition by this specification, set to 0b.
		9	2
6:5	<u>Firmware Verbosity</u> . When set to a non-zero value, controls the amount of information the managed client writes to its local display: 00b System default 01b Quiet, minimal screen activity 10b Verbose, all messages appear on the screen 11b Screen blank, no messages appear on the screen.		
4	<u>Force Progress Events</u> . When set to 1b, the managed client's firmware transmits all progress PET events to the alert-sending device. This option is usually used to aid in fail-to-boot problem determination.		
3	<u>User Password Bypass</u> . When set to 1b, the managed client's firmware boots the system and bypasses any user or boot password that might be set in the system. This option allows a system administrator to, for example, force a system boot via PXE in an unattended manner.		
2:0	Reserved for future definition by this specification, set to 000b.		

### 3.2.4.2 Unconditional Power-Down (12h)

A management console sends this RMCP message to a managed client to cause the client to perform an unconditional power-down. See section 6.3.3 for a detailed description and definition of this remote control function.

*Data Length* for the sent message is set to 00h, no additional data is sent.

**Note:** Since this message does not result in an RMCP-initiated managed client boot, no *Boot Options* specification is supported.



### 3.2.4.3 Presence Pong (40h)

A managed client sends this RMCP message — in response to a management console's *Presence Ping (80h)* RMCP message — to identify the presence of an ASF-RMCP-aware managed client on the network. The *Message Tag* value of the *Presence Ping*'s RMCP Header is copied to this message's *Message Tag* field. The format of this message's *Data* section is as follows:

Data Byte(s)	Field	Description
1-4	IANA Enterprise Number <sup>3</sup>	If no OEM-specific capabilities exist, this field contains the ASF IANA (4542) and the OEM-defined field is set to all zeroes (00000000h). Otherwise, this field contains the OEM's IANA Enterprise Number and the OEM-defined field contains the OEM-specific capabilities. <b>Note:</b> Regardless of the value in the IANA Enterprise Number, this specification defines the interpretation of data bytes 9 and higher.
5-8	OEM-defined <sup>3</sup>	OEM defined fields.
9	Supported entities	<b>Bit(s)</b> <b>Value/Meaning</b> 7 Set to 1b if IPMI is supported. 6:4 Reserved for future definition by this specification, set to 000b. 3:0 0000b Reserved 0001b ASF, Version 1.0 Others Reserved for future definition by this specification.
10	Supported interactions	<b>Bit(s)</b> <b>Value/Meaning</b> 7 Set to 1b if RMCP security extensions are supported 6:0 Reserved for future definition by this specification, set to 0000000b
11-16	Reserved	Reserved for future definition by this specification, set to all zeros.

### 3.2.4.4 Capabilities Response (41h)

A managed client sends this RMCP message — in response to a management console's *Capabilities Request (81h)* RMCP message — to describe the client system's ASF capabilities. The *Message Tag* value of the *Capability Request*'s RMCP Header is copied to this message's *Message Tag* field. The capabilities described are the least common denominator of the capabilities of the alert-sending device, the motherboard, the firmware, and the supporting software. This message's *Data* section is formatted as follows:

Data Byte(s)	Field	Description
1-4	IANA Enterprise Number <sup>3</sup>	If no OEM-specific capabilities exist, this field contains the ASF IANA (4542) and the <i>OEM-defined</i> field is set to all zeroes (00000000h). Otherwise, this field contains the OEM's IANA Enterprise Number and the <i>OEM-defined</i> field contains the OEM-specific capabilities. <b>Note:</b> Regardless of the value in the IANA Enterprise Number, this specification defines the interpretation of data bytes 9 and higher.
5-8	OEM defined <sup>3</sup>	OEM defined fields.
9-10	Special Commands Supported	Defines the standard set of ASF-RMCP Special Commands supported by the managed client. See <a href="#">Special Commands Bit Mask</a> for detailed information.
11	System Capabilities Supported	Defines the standard set of system capabilities supported by the managed client system. See <a href="#">System Capabilities Bit Mask</a> for detailed information.

<sup>3</sup> This field can contain OEM-defined values; the method through which these values are communicated to an alert-sending device is left to the device manufacturer.

Data Byte(s)	Field	Description
12-15	System Firmware Capabilities Supported	Defines the standard set of firmware capabilities supported by the managed client. See <i>System Firmware Capabilities Bit Mask</i> for detailed information.
16	Reserved	Reserved for future definition by this specification; set to 00h

### Special Commands Bit Mask

The following table describes the special commands bit mask.

Response Data Byte	Bit Mask Byte	Bit(s)	Meaning
9	1	7:0	Reserved to identify support for ASF-RMCP <i>Special Commands</i> defined by a future version of this specification, set to 00h.
10	2	7:5	Reserved to identify support for ASF-RMCP <i>Special Commands</i> defined by a future version of this specification, set to 000b.
		4	Supports Force CD/DVD Boot command, if set to 1b. <sup>4</sup>
		3	Supports Force Diagnostic Boot command, if set to 1b. <sup>4</sup>
		2	Supports Force Hard-drive Safe-mode Boot command, if set to 1b. <sup>4</sup>
		1	Supports Force Hard-drive Boot command, if set to 1b. <sup>4</sup>
		0	Supports Force PXE Boot command, if set to 1b.

### System Capabilities Bit Mask

The following table describes the system capabilities bit mask.

**Note:** If a bit in the range 3:0 is set to 1b, the corresponding bit in the range 7:4 must be set to 0b.

Response Data Byte	Bit Mask Byte	Bit	Meaning
11	1	7	Supports Reset on either the compatibility or secure port, if 1b
		6	Supports Power-Up on either the compatibility or secure port, if 1b
		5	Supports Power-Down on either the compatibility or secure port, if 1b
		4	Supports Power Cycle Reset on either the compatibility or secure port, if 1b
		3	Supports Reset only on the secure port, if 1b.
		2	Supports Power-Up only on the secure port, if 1b.
		1	Supports Power-Down only on the secure port, if 1b.
		0	Supports Power Cycle Reset only on the secure port, if 1b.

<sup>4</sup> Many of these choices are outside the realm of the managed client's firmware and require support from either the OS or boot-manager to accomplish.

### System Firmware Capabilities Bit Mask

These bit-flags identify the remote-control features supported by the system firmware. These bits are very similar to the *Boot Options* bit mask in the RMCP boot-related messages; see 3.2.4.1. They are slightly different because this format uses a capability bit for each encoding in the RMCP command to identify individual option support.

**Note:** These capabilities reflect the RMCP support provided by the managed client (as identified via its ACPI implementation, see 4.1.2.6 *ASF\_RMCP*), and its alert-sending device.

Response Data Byte	Bit Mask Byte	Bit(s)	Meaning
12	1	7	Reserved for future definition by this specification, set to 0b.
		6	Supports Sleep Button Lock, if 1b
		5	Supports Lock Keyboard, if 1b
		4:3	Reserved for future definition by this specification, set to 00b.
		2	Supports Reset Button Lock, if 1b
		1	Supports Power Button Lock, if 1b
		0	Supports Firmware Verbosity/Screen Blank, if 1b
		13	2
6	Supports Firmware Verbosity/Quiet, if 1b		
5	Supports Firmware Verbosity/Verbose, if 1b		
4	Supports Forced Progress Events, if 1b		
3	Supports User Password Bypass, if 1b		
2:0	Reserved for future definition by this specification, set to 000b.		
14	3	7:0	Reserved for future definition by this specification, set to 00h.
15	4	7:0	Reserved for future definition by this specification, set to 00h.

### 3.2.4.5 System State Response (42h)

A managed client sends this RMCP message in response to a management console's *System State Request (82h)* RMCP message to identify the state of the managed client. The *Message Tag* value of the *System State Request's* RMCP Header is copied to this message's *Message Tag* field. The format of the message's *Data* section is as follows:

Data Bytes	Field	Description
1	System State <sup>5</sup>	<p>Bit(s) Value/Meaning</p> <p>7:4 Reserved for future definition by this specification, set to 000b.</p> <p>3:0 0000b S0 / G0 "working"</p> <p>0001b S1 "sleeping with system h/w &amp; processor context maintained"</p> <p>0010b S2 "sleeping, processor context lost"</p> <p>0011b S3 "sleeping, processor &amp; h/w context lost, memory retained."</p> <p>0100b S4 "non-volatile sleep / suspend-to disk"</p> <p>0101b S5 / G2 "soft-off"</p> <p>0110b S4 / S5 soft-off, particular S4/S5 state cannot be determined</p> <p>0111b<sup>6</sup> G3 / Mechanical Off</p> <p>1000b Sleeping in an S1, S2, or S3 states (used when particular S1, S2, S3 state cannot be determined), or Legacy SLEEP state.</p> <p>1001b G1 sleeping (S1-S4 state cannot be determined)</p> <p>1010b<sup>6</sup> S5 entered by override (e.g. 4-second power button override)</p> <p>1011b Legacy ON state (e.g. non-ACPI OS working state)</p> <p>1100b Legacy OFF state (e.g. non-ACPI OS off state)</p> <p>1110b Unknown</p> <p>1111b Reserved for future definition</p>
2	Watchdog State	<p><b>Bit(s) Value/Meaning</b></p> <p>7:1 Reserved for future definition by this specification, set to 0000000b</p> <p>0 WDE (Watchdog Timer Expired)</p> <p>1b = Indicates that the ASF alert-sending device's Watchdog Timer has expired and has not been stopped by a Stop Watchdog Timer command.</p> <p>0b = Indicates that the ASF alert-sending device's Watchdog Timer is currently <u>not</u> expired because (a) it has not yet been started since power-on reset, (b) it has been started and is running but not expired yet, or (c) it has been stopped.</p> <p>This bit becomes set when the Watchdog Timer expires after a Start Watchdog Timer command is issued and the timer expires. Subsequent Start Watchdog Timer or Stop Watchdog Timer commands or an alert-sending device power-on reset will clear this status to 0b.</p>
3-4	Reserved	Reserved for future definition by this specification, set to 0000h.

<sup>5</sup> In some implementations, the system state reported by the alert-sending device may be a "best guess" or a "last known" reporting mechanism, because the managed client's firmware might not be able to program the system state for various reasons. For example, it is conceivable that the state was entered by hardware and software did not have a chance to program the state information, or software pre-programs the system state, but the next state was not reached.

<sup>6</sup> This state might not be reported either because (a) a software entity (e.g. BIOS) is responsible for programming the device and the state is initiated by hardware, or (b) the hardware is not powered in the state and incapable of responding.

### 3.2.4.6 Open Session Response (43h)

A managed client sends this RSSP message to the management console in response to an *Open Session Request (83h)* message. Following the *Status Code*, *Mgt Console and Managed Client Session ID* fields, this message contains a single *Authentication* payload and a single *Integrity* payload. These payloads represent the proposals that the managed client selected from the list offered by the management console. The format of this message's *Data* section is as follows:

Data Byte(s)	Field	Description
1	Status Code	Identifies the status of the previous message. If the previous message generated an error, then only the Status Code, Reserved, and Mgt Console Session ID fields are returned. See 3.2.3.5.1 for the status codes defined for this message.
2-4	Reserved	Reserved for future definition by this specification, set to 000000h
5-8	Mgt Console Session ID	The Mgt Console Session ID specified by RSSP <i>Open Session Request (83h)</i> message associated with this response.
9-12	Managed Client Session ID	The Session ID selected by the Managed Client for this new session. The Bypass Session ID (see 3.2.3.1) is not valid in this context.
13-20	Authentication Payload	This payload defines the authentication algorithm proposal selected by the client to be used for this session (see 3.2.4.11 for the definition of this payload).
21-28	Integrity Payload	This payload defines the integrity algorithm proposal selected by the client to be used for this session (see 3.2.4.11 for the definition of this payload).

### 3.2.4.7 Close Session Response (44h)

A managed client sends this RSSP message to the management console in response to a *Close Session Request (84h)* message. The format of this message's *Data* section is as follows:

Data Byte(s)	Field	Description
1	Status Code	Identifies the status of the previous message. See 3.2.3.5.1 for the status codes defined for this message.

### 3.2.4.8 Presence Ping (80h)

A management console sends this RMCP message to the managed client to request a client to respond with a *Presence Pong (40h)* message — if the client so responds, it signifies that it is ASF-aware. *Data Length* for the sent message is set to 00h, no additional data is sent.

### 3.2.4.9 Capabilities Request (81h)

A management console sends this RMCP message to the managed client to request the client to respond with a *Capabilities Response (41h)* message. *Data Length* for the sent message is set to 00h, no additional data is sent.

### 3.2.4.10 System State Request (82h)

A management console sends this RMCP message to the managed client to request the client to respond with a *System State Response (42h)* message. *Data Length* for the sent message is set to 00h, no additional data is sent.

### 3.2.4.11 Open Session Request (83h)

A management console sends this RSSP message to the managed client to open a protected session. The client responds with an *Open Session Response (43h)* message. Following the *Mgt Console Session ID* field, this message contains one or more *Authentication Payload* proposals and one or more *Integrity Payload* proposals. The format of this message's *Data* section is as follows:

Data Byte(s)	Field	Description
1-4	Mgt Console Session ID	The Session ID selected by the Mgt Console for this new session. The Bypass Session ID (see 3.2.3.1) is not valid in this context.
5-variable	Authentication Payload(s)	These payloads define the authentication algorithm proposals to be used to establish a session
variable	Integrity Payload(s)	These payloads define the integrity algorithm proposals to be used to establish a session

A *Payload* is made up of two parts: a *Payload Header* and *Payload Data*. Two *Payload Data* types are defined in this specification: *Authentication Algorithm* and *Integrity Algorithm*. The *Payload Header* is defined in the following table.

Data Byte(s)	Field	Description
1	Payload Type	Identifies the type of payload that follows. 00h No payload present (end of list) 01h Authentication algorithm payload 02h Integrity algorithm payload 03h-FFh Reserved for future definition by this specification
2	Reserved	Reserved for future definition by this specification, set to 00h
3-4	Payload Length	The total length in bytes of the payload including the header

The *Authentication Algorithm* payload data type is defined in the following table.

Data Byte(s)	Field	Description
1	Authentication Algorithm	Defined authentication algorithms are: 00h Reserved for future definition by this specification 01h RAKP-HMAC-SHA1 02h-FFh Reserved for future definition by this specification
2-4	Reserved	Reserved for future definition by this specification, set to 000000h.

The *Integrity Algorithm* payload data type is defined in the following table.

Data Byte(s)	Field	Description
1	Integrity Algorithm	Defined integrity algorithms are: 00h Reserved for future definition by this specification 01h HMAC-SHA1-96 02h-FFh Reserved for future definition by this specification
2-4	Reserved	Reserved for future definition by this specification, set to 000000h.

### 3.2.4.12 Close Session Request (84h)

A management console sends this RSSP message to the managed client to close a protected session. The client responds with a *Close Session Response (44h)* message. *Data Length* for the sent message is set to 00h, no additional data is sent.

### 3.2.4.13 RAKP Message 1 (C0h)

A management console sends this RAKP message to the managed client to begin the session authentication process. The management console selects a *Mgt Console Random Number*, a *Mgt Console User Role*, and an optional *Mgt Console User Name* and sends them to the managed client along with the *Managed Client Session ID* specified by the client on the previous *Open Session Response (44h)*.

Upon receiving *RAKP Message 1*, the managed client verifies that the message contains an active *Managed Client Session ID* and that a session can be created using the requested user information by evaluating of the *Device Security Policy*. The managed client responds with an *RAKP Message 2 (C1h)*.

The format of an *RAKP Message 1* message's *Data* section is as follows:

Data Byte(s)	Field	Description
1-4	Managed Client Session ID	The Managed Client's Session ID for this session, returned by the client on the previous RASP <i>Open Session Response (44h)</i> message.
5-20	Mgt Console Random Number	Random number selected by the Mgt Console
21	Mgt Console User Role	The Role that the user at the Mgt Console wishes to assume for this session. Defined Roles are: <b>Bit(s) Value/Meaning</b> 7:4 Reserved for future definition by this specification, set to 0000b 3:0 0000b Operator 0001b Administrator 0010b-1111b Reserved for future definition by this specification
22-23	Reserved	Reserved for future definition by this specification, set to 0000h
24	Mgt Console User Name Length	The length in bytes of the Mgt Console user name 00h No name present 01h-10h Name length 11h-FFh Reserved for future definition by this specification
25-40	Mgt Console User Name (optional)	A non-NULL terminated ASCII character Name that the user at the Mgt Console wishes to assume for this session. No NULL characters (00h) are allowed in the name.

### 3.2.4.14 RAKP Message 2 (C1h)

A managed client sends this RAKP message to a management console in response to the receipt of an *RAKP Message 1 (C0h)*. Once *RAKP Message 1* has been validated (see page 30), the managed client selects a *Managed Client Random Number* and computes an *Integrity Check Value* over the values specified by the RAKP algorithm. The managed client sends those values along with the *Managed Client Globally Unique ID (GUID)* and the *Mgt Console Session ID* (sent by the console on the previous *Open Session Request*) to the management console.

Upon receiving *RAKP Message 2*, the management console verifies that the *Mgt Console Session ID* is active and that the *Managed Client GUID* matches the managed client that the management console has associated with the session. The management console then validates the *Integrity Check Value* and responds with an *RAKP Message 3 (C2h)*.

The format of an *RAKP Message 2* message's *Data* section is as follows:

Data Byte(s)	Field	Description
1	Status Code	Identifies the status of the previous message. If the previous message generated an error, then only the Status Code, Reserved, and Mgt Console Session ID fields are returned. See 3.2.3.5.1 for the status codes defined for this message.
2-4	Reserved	Reserved for future definition by this specification, set to 000000h
5-8	Mgt Console Session ID	The Mgt Console Session ID specified by the RSSP <i>Open Session Request (83h)</i> message associated with this response.
9-24	Managed Client Random Number	Random number selected by the managed client.
25-40	Managed Client GUID	The Globally Unique ID (GUID) of the Managed Client. This value is specified by the client system's SMBIOS implementation. See 4.2.1 <i>System Information (Type 1)</i> for details.
41-variable	Integrity Check Value	An integrity check value over the relevant items specified by the RAKP algorithm for Message 2 (see page 30). The size of this field depends on the specific algorithm that was selected when the session was created.

### 3.2.4.15 RAKP Message 3 (C2h)

A management console sends this RAKP message to a managed client in response to the receipt of an *RAKP Message 2 (C1h)*. Once it validates *RAKP Message 2*, the management console creates a *Session Integrity Key* using the values specified by the RAKP algorithm (see page 31). The management console then computes an *Integrity Check Value* over the values specified by the RAKP algorithm, and sends that along with the *Managed Client Session ID* (sent by the managed client on the previous RMCP *Open Session Response* message) to the managed client.

After receiving *RAKP Message 3*, the managed client verifies that the *Managed Client Session ID* is active and then validates the *Integrity Check Value*. If the *Integrity Check Value* is valid, the managed client creates a *Session Integrity Key* using the values specified by the RAKP algorithm (see page 31). With the shared *Session Integrity Key* in place, integrity protected messages can now be exchanged between the management console and the managed client.

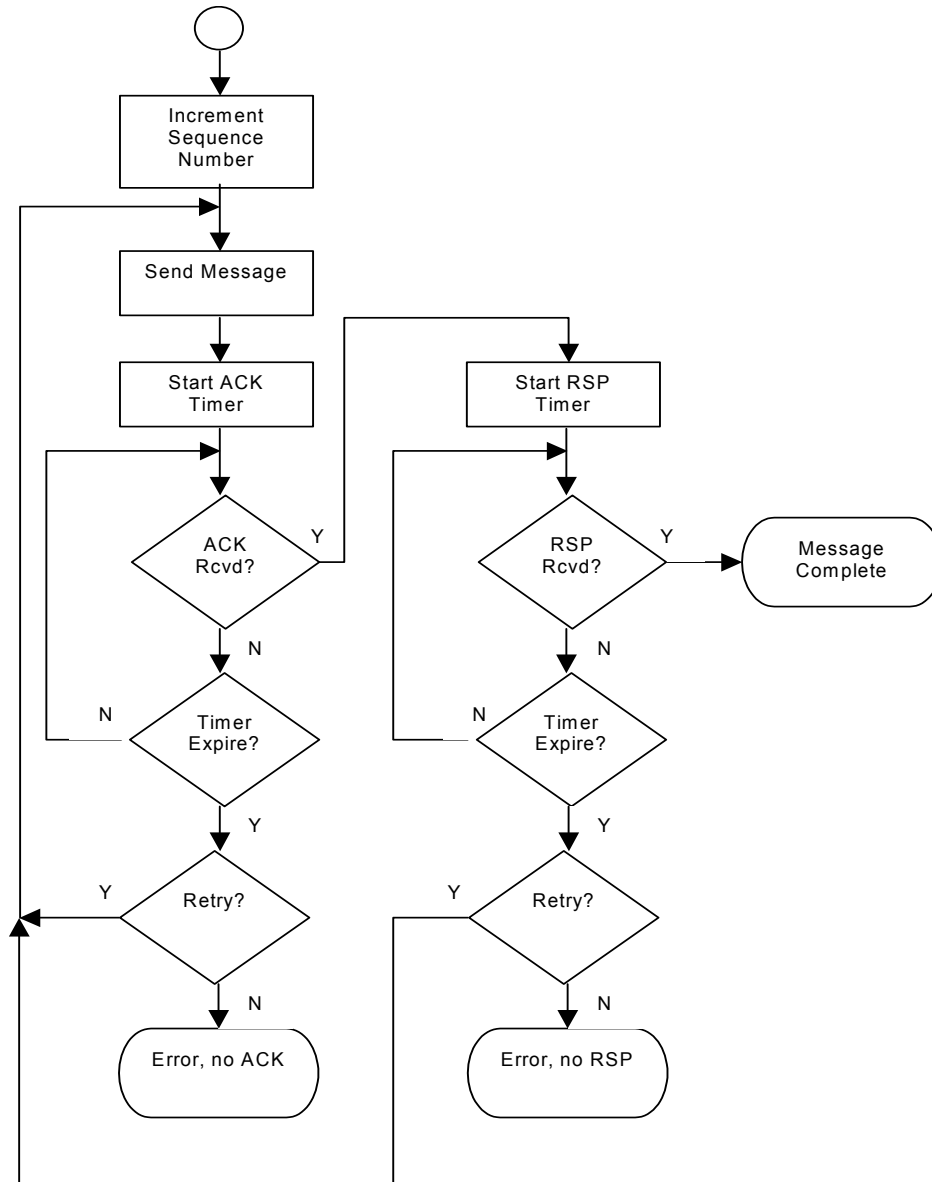
The format of an *RAKP Message 3* message's *Data* section is as follows:

Data Byte(s)	Field	Description
1	Status Code	Identifies the status of the previous message. If the previous message generated an error, then only the Status Code, Reserved, and Managed Client Session ID fields are returned. See 3.2.3.5.1 for the status codes defined for this message.
2-4	Reserved	Reserved for future definition by this specification, set to 000000h
5-8	Managed Client Session ID	The Managed Client's Session ID for this session, returned by the client on the previous RSSP <i>Open Session Response (44h)</i> message.
9-variable	Integrity Check Value	An integrity check value over the relevant items specified by the RAKP algorithm for Message 3. The size of this field depends on the specific algorithm that was selected when the session was created.



### 3.2.5 RMCP Usage Scenarios

The following flowchart describes the process used by the RMCP command initiator, e.g. the management console for the *Capabilities Request* message, to determine whether a response to a previously sent message was received.



#### Interactions with no packet loss

The description that follows illustrates the RMCP Header and RMCP Data fields in a console-to-client communication where no messages are lost.

1. The management console sends an ASF-RMCP "Request" message

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number			Type	Tag	Rsvd	Len	
06h	00h	05h	06h	00h	00h	11h	BEh	xx	08h	xx	xx

2. The managed client responds with an RMCP ACK, returning only an RMCP Header. Note that all values are copied from the “Request” message’s RMCP header, with the “ACK” bit set in the fourth byte.

RMCP Header			
Vers	Rsvd	Seq#	Class
06h	00h	05h	86h

3. The managed client responds with the associated ASF-RMCP “Response”. Note that the Message Tag value (08h) is copied from the “Request” message.

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number				Type	Tag	Rsvd	Len
06h	00h	09h	06h	00h	00h	11h	BEh	xx	08h	xx	xx

4. The management console, having received both the ACK and “Response” sends an RMCP ACK, completing the request/response cycle. The RMCP Header is copied from the managed client’s “Response” message, with the “ACK” bit set in byte 4.

RMCP Header			
Vers	Rsvd	Seq#	Class
06h	00h	09h	86h

### Interactions with some packet loss

1. The description that follows illustrates the RMCP Header and RMCP Data fields in a console-to-client communication where some of the messages are lost. The management console sends an ASF-RMCP “Request” message

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number				Type	Tag	Rsvd	Len
06h	00h	05h	06h	00h	00h	11h	BEh	xx	08h	xx	xx

2. The management console waits to receive an ACK from the client, but the client never received that “Request” message; the console re-transmits the “Request” message with the same Sequence Number as the original message in step 1.

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number				Type	Tag	Rsvd	Len
06h	00h	05h	06h	00h	00h	11h	BEh	xx	08h	xx	xx

3. The managed client responds with an RMCP ACK, returning only an RMCP Header. Note that all values are copied from the “Request” message’s RMCP header, with the “ACK” bit set in the fourth byte. The console never receives this ACK.

RMCP Header			
Vers	Rsvd	Seq#	Class
06h	00h	05h	86h

4. The managed client responds with the associated ASF-RMCP “Response”. Note that the Message Tag value (08h) is copied from the “Request” message.

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number				Type	Tag	Rsvd	Len
06h	00h	09h	06h	00h	00h	11h	BEh	xx	08h	xx	xx

5. The management console waits to receive an ACK from the client, but the ACK is lost; the console (again) re-transmits the "Request" message with the same Sequence Number as the original message in step 1.

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number				Type	Tag	Rsvd	Len
06h	00h	05h	06h	00h	00h	11h	BEh	xx	08h	xx	xx

6. The managed client responds with an RMCP ACK, returning only an RMCP Header. Note that all values are copied from the "Request" message's RMCP header, with the "ACK" bit set in the fourth byte.

RMCP Header			
Vers	Rsvd	Seq#	Class
06h	00h	05h	86h

7. The managed client responds with the associated ASF-RMCP "Response", possibly with a new Sequence Number. Note that the Message Tag value (08h) is copied from the "Request" message.

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number				Type	Tag	Rsvd	Len
06h	00h	0Ah	06h	00h	00h	11h	BEh	xx	08h	xx	xx

8. The management console receives the ACK and waits for the "Response" from the client, but the "Response" is lost; the console (again) re-transmits the "Request" message with the same Sequence Number as the original message in step 1.

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number				Type	Tag	Rsvd	Len
06h	00h	05h	06h	00h	00h	11h	BEh	xx	08h	xx	xx

9. The managed client responds with an RMCP ACK, returning only an RMCP Header. Note that all values are copied from the "Request" message's RMCP header, with the "ACK" bit set in the fourth byte.

RMCP Header			
Vers	Rsvd	Seq#	Class
06h	00h	05h	86h

10. The managed client responds with the associated ASF-RMCP "Response", possibly with a new Sequence Number. Note that the Message Tag value (08h) is copied from the "Request" message.

RMCP Header				ASF-RMCP Data							
Vers	Rsvd	Seq#	Class	IANA Enterprise Number				Type	Tag	Rsvd	Len
06h	00h	0Bh	06h	00h	00h	11h	BEh	xx	08h	xx	xx

11. The management console, having received both the ACK and "Response" sends an RMCP ACK, completing the request/response cycle. The RMCP Header is copied from the managed client's "Response" message, with the "ACK" bit set in byte 4.

RMCP Header			
Vers	Rsvd	Seq#	Class
06h	00h	0Bh	86h

### 3.2.6 *RMCP Considerations for LAN Alert-sending Devices*

For the RMCP protocol to function in an OS-absent state, the alert-sending device must be capable of reporting its “network address / IP address” association to the local router. The ARP (Address Resolution Protocol), defined in [RFC1188], is typically used to accomplish this task.

To guarantee inter-operability, the alert-sending device must:

- Receive ARP Requests and reply with ARP Replies in an OS-absent state.
- Allow ARP Request packets to go to the network software stack in OS-present states.
- Allow ARP Request packets and RMCP packets to cause wake-ups if configured for wake-up in the default configuration.

For Ethernet and Token-ring devices, wake-ups from ARP Requests and RMCP packets are not desired in a managed client because these operations are expected to function in low power sleep states. However, the [NDCPM] requires that wake-up devices support detection of any software programmed packets as well as subsequent wake-up generation. Alert-sending devices that support wake-up generation are expected to meet the [NDCPM] in their default configuration. However, it is recommended that these alert-sending devices and their software provide configuration mechanisms to allow ARP Request and RMCP packets to be blocked from wake-up.

**Note:** ARP Request packets and IP Directed packets (which include RMCP packets) are standard packet configurations for wake-up in ACPI systems.

## 4 Firmware Interfaces

A managed client's ASF configuration and capabilities are reported by the system firmware (or BIOS) via ACPI description tables and control methods and, optionally, as static information stored within an SEEPROM. OS-present software uses this information to customize the system's ASF-aware alert-sending device(s).

An ASF-enabled system firmware provides:

1. An ACPI description table that identifies
  - If present, undiscoverable fixed-address sensor devices in the system and their characteristics
  - The system's ASF capabilities, and system type for use in the Platform Event Trap messages
  - Any legacy sensor-access information, if present in the system.
  - Any remote-control actions supported by the system hardware, and the associated device information.
  - The system's (optional) support for RMCP, and the last RMCP command completed by the system firmware.
2. ACPI Control Methods that identify
  - The methods that provide configuration of the system's power-on wait time.
3. SMBIOS structures that identify
  - The UUID/GUID for use in the Platform Event Trap messages

A managed client might also provide SEEPROM devices that duplicate the static information provided by the ACPI description table.

### 4.1 ACPI Definitions

System firmware identifies the system's ASF support and configuration requirements via ACPI interfaces.

#### 4.1.1 Control Methods

An ASF managed client's firmware uses ACPI control methods (required elements are marked in **bold**) to provide OS-present access to ASF configuration information that is dynamically updateable:

```

Scope(_SB) {
    Device(ASF) {
        Name(_HID, "ASF0001")           // Hardware ID (PnP ID)
        Method(GPWT, 0) {...}         // ASF Get Power-on Wait Time
        Method(SPWT, 1) {...}         // ASF Set Power-on Wait Time
    }
}

```

ASF-defined object names must follow the ASL naming convention defined in section 16.1.2 of [ACPI]. The *recommended* format for specifying the name of the ASF object is **Device (ASF)**

The ASF object utilizes the **\_HID** device identification object, providing 'automatic' enumeration by the ACPI-aware operating system:

**Name**(\_HID, "ASF0001")

Used to specify the Plug and Play hardware ID for the ASF object

#### 4.1.1.1 Get Power-on Wait Time (GPWT)

<b>Description:</b>	Returns the current value of the system's power-on wait time, in seconds.	
<b>Input Argument(s):</b>	<none>	
<b>Output Argument(s):</b>	Power-on Wait Time (Integer)	The current system power-on wait time, in seconds.
<b>Notes:</b>	The system firmware has a single, maximum amount of time that it might wait for an ASF alert-sending device to establish connection with its transport media. For example, an Ethernet device might require additional time from a cold power-on to establish a network connection. Since there might be multiple ASF alert-sending devices in the system, a device's configuration software should first get the current wait time and set a new time only if the device requires more time. See 5.1.5 for more information.	

#### 4.1.1.2 Set Power-on Wait Time (SPWT)

<b>Description:</b>	Sets the system's power-on wait time, in seconds.	
<b>Input Argument(s):</b>	Power-on Wait Time (Integer)	The value, in seconds, that an ASF alert-sending device requires to establish connection with its transport media.
<b>Output Argument(s):</b>	<none>	
<b>Notes:</b>	The system firmware has a single, maximum amount of time that it might wait for an ASF alert-sending device to establish connection with its transport media. For example, an Ethernet device might require additional time from a cold power-on to establish a network connection. Since there might be multiple ASF alert-sending devices in the system, a device's configuration software should first get the current wait time and set a new time only if the device requires more time. See 5.1.5 for more information.	

### 4.1.2 ASF! Description Table

System firmware identifies the system's ASF support and static configuration using the ACPI System Description Table architecture. A pointer to the ASF-defined ASF! description table appears as one of the entries in the firmware's RSDT (for IA-32 systems) or XSDT (for IA-64 systems). The information presented in this table is static from the reference of the client's last boot.

This table is formatted as a standard ACPI System Description Table Header followed by one or more ASF information records.

Field	Byte Length	Byte Offset	Description
<i>ACPI System Description Table Header</i>			
Signature	4	0	'ASF!'. Signature for the ASF Description Table.
Length	4	4	The length of the table, in bytes, starting at offset 0.
Revision	1	8	The revision of the structure, and the version of the ASF specification supported by the system. The value is stored as a BCD (binary coded decimal) value. For ASF version 1.0, the value is 10h.
Checksum	1	9	The entire table, including the checksum field, must sum to zero to be considered valid by the information consumer.
OEMID	6	10	An OEM-supplied string that identifies the OEM.
OEM Table ID	8	16	For this table, the value is the manufacturer model ID.
OEM Revision	4	24	An OEM-supplied revision number of this table. Larger numbers are assumed to be newer revisions.

Field	Byte Length	Byte Offset	Description
Creator ID	4	28	Vendor ID of the utility that created this table. For tables containing Definition Blocks, this is the ID for the ASL Compiler.
Creator Revision	4	32	Revision of the utility that created the table. For tables containing Definition Blocks, this is the revision for the ASL Compiler.
<i>ASF-specified data fields</i>			
Information Record #1	Varies	36	Contains the first (or only) ASF Information record for the managed client's implementation.
...			
Information Record #n	Varies	Varies	Contains the last ASF Information Record for the managed client's implementation, with the <i>Last Record</i> bit of the <i>Type</i> field set to 1b.

An information record contains a chained header and its associated data as follows:

Field	Byte Length	Byte Offset	Description
Type	1	0	This field identifies the type of data present in the record's <i>Variable Data</i> field, as well as an indication as to whether this is the last record in the table: Bit(s) Description 7 <i>Last Record</i> . If this bit is set to 1b, then the record is the last one present in the ASF! table. 6:0 <i>Record Type</i> . Identifies the format of the record's <i>Variable Data</i> field, one of: 00h ASF_INFO. See section 4.1.2.1. 01h ASF_ALERT. See section 4.1.2.2. 02h ASF_RCTL. See section 4.1.2.4. 03h ASF_RMCP. See section 4.1.2.6. 04h ASF_ADDR. See section 4.1.2.7. 05h-7Fh Reserved for future definition by this specification
Reserved	1	1	Reserved for future definition by this specification, set to 00h.
Record Length	2	2	Identifies the length of the information record, starting at offset 0.
Variable Data	Varies	4	Contains the data specified by the <i>Type</i> field.

**Notes:**

1. *Record Type* values of 00h are required for a managed client's ASF configuration.
2. The consumer of this information should make no assumption regarding the order in which the records are specified, i.e. a *Record Type* of 02h could appear in the table prior to a *Record Type* of 00h.

#### 4.1.2.1 ASF\_INFO

This structure contains information that identifies the system's type and configuration requirements for ASF alerts, and is associated with an ASF Information Record Header of the following format:

Type = 00h or 80h

Reserved = 00h

Length = 0010h (16) for ASF v1.0. The length might increase for future specification versions.

Offset	Name	Length	Value	Description
00h	Minimum Watchdog Reset Value	BYTE	Varies	Identifies the minimum value (in the range 1 to 256) to which an alert-sending device's watchdog timer should be set at power-on reset, in seconds. This value also reflects the maximum amount of time the system firmware requires to reset the initial system boot-failure watchdog timer.
01h	Minimum ASF Sensor Inter-poll Wait Time	BYTE	Varies	Identifies the minimum time, in 5 millisecond units, that an alert sending device should wait between the end of one ASF Sensor Poll Alert Message to the start of the next. The value ranges from 2 to 255. See below for more information.
02h	System ID	WORD	Varies	Contains the manufacturer-assigned ID for this system type. Each Platform Event Trap issued by a managed client's alert-sending device(s) will contain this value in its System ID field.
04h	IANA Manufacturer ID	4 BYTES	Varies	Contains the Private Enterprise Number assigned to the system manufacturer by the Internet Assigned Numbers Authority (IANA). Refer to the Enterprise Numbers section found at <a href="http://www.iana.org/numbers.html#E">http://www.iana.org/numbers.html#E</a> for current assignments. Each Platform Event Trap issued by a managed client's alert-sending device(s) will contain this value in its Manufacturer ID field. <b>Note:</b> The value is specified in network byte order (MSB first) within the field.
08h	Feature Flags	BYTE	Varies	Identifies platform support for various, optional features: <b><u>Bit(s)</u></b> <b><u>Meaning</u></b> 7:1        Reserved for future definition by this specification; set to all 0's. 0         Set to 1b to indicate that the platform supports ASF SMBus protocols to add-in alert-sending devices. The configuration software associated with an alert-sending device must be able to differentiate add-in from onboard implementations using that device, and only configures an add-in device's ASF capabilities on supporting platforms.
09h	Reserved	3 BYTES	00h, 00h, 00h	Reserved for future definition by this specification, set to all zeros.

The following is a 'C'-style definition of the ASF\_INFO structure.

```
struct ASF_INFO {
    BYTE   MinWatchdogResetValue;
    BYTE   MinPollingInterval;
    WORD   SystemID;
    BYTE   IANAManufacturerID[4];
    BYTE   Reserved[4];
};
```

### Minimum ASF Sensor Inter-poll Wait Time

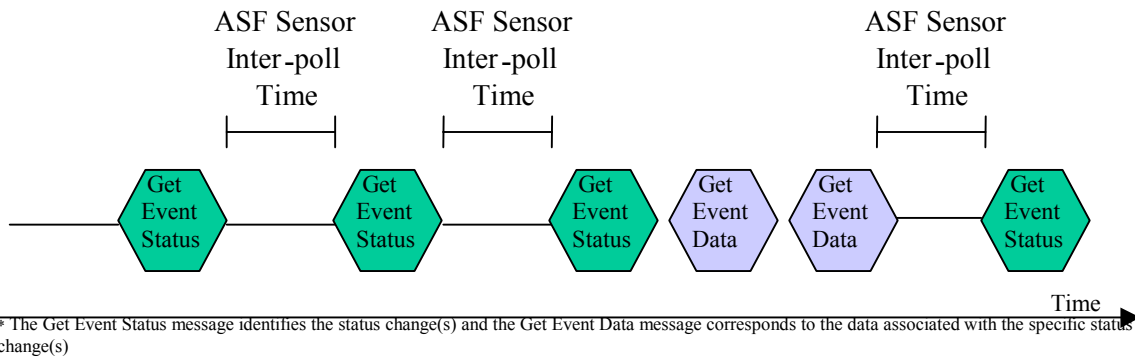
The system firmware specifies the minimum inter-poll wait time to achieve two goals:



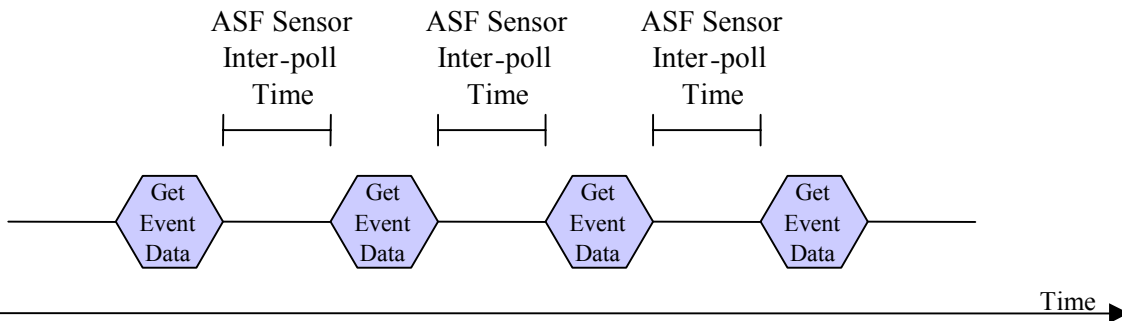
1. to ensure that an alert sending device does not dominate the SMBus
2. to ensure that every sensor in the system is polled within a reasonable time.

To achieve these goals the *Minimum Inter-poll Wait Time* specifies the amount of time, in 5 millisecond units, an alert-sending device must wait between the completion of one event-polling cycle to the start of the next. If the device uses the SMBus *Get Event Status* message to start its polling cycle, the cycle includes any *Get Event Data* messages necessary to retrieve event-specific data. If the device uses only SMBus *Get Event Data* messages, each cycle is limited to a single message. The following diagram illustrates these two conditions.

### Polling with the *Get Event Status* Message for ASF Sensors



### Polling with the *Get Event Data* Message for ASF Sensors



System implementers should set this time so that no one alert-sending device uses more than 25% of the SMBus bandwidth for the [Poll Alert Messages](#), and so that each sensor is polled at least once a second. Following are guidelines for choosing this system-specific value:

- If all the sensors can run at 100KHz without doing any SMBus clock stretching, then the alert-sending device's poll with alert data for a single sensor will take about 1.2 milliseconds. If the wait time is 10 milliseconds (a field value of 2), then the alert-sending device will use less than 25% of the SMBus bandwidth (about 12%) and 83 sensors can be polled in one (1) second.
- If, on the other hand, the sensors run at the slowest SMBus speed (10KHz) an alert-sending device will require about 12 milliseconds to poll a single sensor. The wait time should be set to 35 milliseconds (a field value of 7) to keep the bandwidth below 25%. This still allows 21 sensors to be polled in one (1) second.

### 4.1.2.2 ASF\_ALERT

Legacy sensor devices might be used in a system to provide ASF-compatible alert capabilities. This (optional) data block provides the alert-sending device's OS-present configuration software with the information needed to poll those devices over the SMBus to determine if an alert-condition has been met. This structure is associated with an ASF Information Record Header of the following format:

Type = 01h or 81h

Reserved = 00h

Length = 4 + 4 + n\*m for ASF Version 1.0. Note that this length might increase for future versions of this specification

Offset	Name	Length	Value	Description
00h	Assertion Event Bit Mask	BYTE	Varies	A series of bit flags that are set to 0b to identify that the associated legacy-device alert's assertion event is to be transmitted. Bit 0 is set to 0b to indicate that the first (at offset 04h) device's assertion event is to be sent, bit 1 is set to 0b to indicate that the second device's assertion event is to be sent, and so on. If a bit is set to 1b, then the assertion event for the associated legacy-device alert is ignored and not transmitted by the alert-sending device.
01h	De-assertion Event Bit Mask	BYTE	Varies	A series of bit flags that are set to 0b to identify that the associated legacy-device alert's de-assertion event is to be transmitted. Bit 0 is set to 0b to indicate that the first (at offset 04h) device's de-assertion event is to be sent, bit 1 is set to 0b to indicate that the second device's de-assertion event is to be sent, and so on. If a bit is set to 1b, then the de-assertion event for the associated legacy-device alert is ignored and not transmitted by the alert-sending device.
02h	Number of Alerts (n)	BYTE	Varies	Identifies the number of m-byte legacy-device alert entries that follow, in the range 1 to 8.
03h	Array Element Length (m)	BYTE	0Ch	Identifies the size (in bytes) of each Device Array element. For ASF version 1.0 and later, this field is set to a minimum of 0Ch (12).
04h+ m*(n-1)	Device Array	Varies	Varies	An array of m-byte ASF_ALERTDATA structures describing the alert-capable legacy devices present on the system's SMBus.

The following is a 'C'-style definition of the ASF\_ALERT structure.

```
#define ANYSIZE_ARRAY 1
struct ASF_ALERT {
    BYTE AssertionEventMask;
    BYTE DeassertionEventMask;
    BYTE NumberOfAlerts;
    BYTE ArrayElementLength;
    struct ASF_ALERTDATA[ANSIZE_ARRAY];
};
```

The use of the ANYSIZE\_ARRAY is simply for 'C' syntactical correctness.

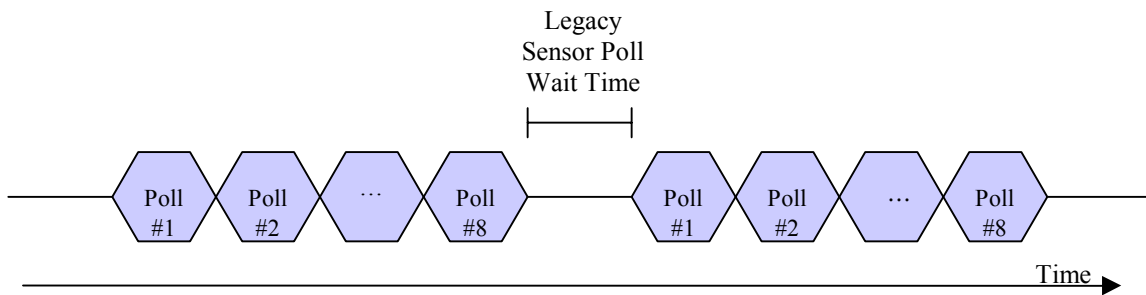
For example, a system implementation might include a case intrusion sensor (first event), a processor presence sensor (second event), and an over-temperature sensor (third event). If that system's ASF\_ALRT structure's *Assertion Event Mask* is set to 02h and the *De-assertion Event Mask* is set to 01h, then an alert-sending device transmits only the assertion of a case intrusion event, only the de-assertion of a processor presence event (i.e. processor missing), and both the assertion and de-assertion of an over-temperature event.

### Minimum Legacy Sensor Poll Time

The *Minimum Legacy Sensor Poll Time* is a fixed value of 4 seconds. Typical Legacy Sensor devices require approximately one second to refresh read data before accessing it again.

This value specifies the amount of time that an alert-sending device must wait between the completion of one series of Legacy Sensor Device Alert Poll Messages and the start of the next. This is shown in the following diagram:

### Polling for ASF Legacy Sensor Events



#### 4.1.2.3 ASF\_ALERTDATA

Legacy sensor devices listed in this table must comply with the requirements described in section 6.1.

**Note:** The events described in this data structure by the firmware are always associated with the *assertion* of a condition. Refer to *6.1.2 Usage of Firmware Legacy Sensor Device Alert Information* on page 78 for additional information.

Offset	Name	Length	Value	Description
00h	Alert <sub>n</sub> , Device Address (n ranges from 1 to Number of Alerts)	BYTE	Varies	Contains the SMBus address of a legacy sensor device to which the SMBus command is written, in the following format: <b>Bit(s)</b> <b>Description</b> 7:1        Contains the SMBus address of the legacy sensor device. 0           Identifies whether (1) or not (0) the alerting condition (event) is based on an exact match. Refer to 6.1.2 for details.
01h	Alert <sub>n</sub> , Command	BYTE	Varies	Contains the SMBus Command or register value used to obtain the data associated with the event.
02h	Alert <sub>n</sub> , Data Mask	BYTE	Varies	The data-mask to be logically AND'd with the returned sensor data to isolate significant bits associated with this event.
03h	Alert <sub>n</sub> , Compare Value	BYTE	Varies	The value to compare to the masked sensor data, if an exact match is specified in the <i>Device Address</i> field. If a match results, the alert associated with this event should be sent by the alert-sending device.

Offset	Name	Length	Value	Description
04h	Alert <sub>n</sub> , Event Sensor Type	BYTE	Varies	The value to be set <sup>7</sup> into the alert's Event Sensor Type field (see [PET] for definitions.)
05h	Alert <sub>n</sub> , Event Type	BYTE	Varies	The value to be set <sup>7</sup> into the alert's Event Type field (see [PET] for definitions.)
06h	Alert <sub>n</sub> , Event Offset	BYTE	Varies	The value to be set <sup>7</sup> into the alert's Event Offset field (see [PET] for definitions.) <b>Note:</b> The value specified here by the system's firmware always has bit 7 set to 0b to indicate that the alert information is associated with an event <u>assertion</u> .
07h	Alert <sub>n</sub> , Event Source Type	BYTE	Varies	The value to be set <sup>7</sup> into the alert's Event Source Type field (see [PET] for definitions.)
08h	Alert <sub>n</sub> , Event Severity	BYTE	Varies	The value to be set <sup>7</sup> into the alert's Event Severity field (see [PET] for definitions.)
09h	Alert <sub>n</sub> , Sensor Number	BYTE	Varies	The value to be set <sup>7</sup> into the alert's Sensor Number field (see [PET] for definitions.)
0Ah	Alert <sub>n</sub> , Entity	BYTE	Varies	The value to be set <sup>7</sup> into the alert's Entity field (see [PET] for definitions.)
0Bh	Alert <sub>n</sub> , Entity Instance	BYTE	Varies	The value to be set <sup>7</sup> into the alert's Entity Instance field (see [PET] for definitions.)

The following is a 'C'-style definition of the ASF\_ALERTDATA structure.

```

struct ASF_ALERTDATA {
    BYTE DeviceAddress;
    BYTE Command;
    BYTE DataMask;
    BYTE CompareValue;
    BYTE EventSensorType;
    BYTE EventType;
    BYTE EventOffset;
    BYTE EventSourceType;
    BYTE EventSeverity;
    BYTE SensorNumber;
    BYTE Entity;
    BYTE EntityInstance;
};

```

#### 4.1.2.4 ASF\_RCTL

Devices might be used in a system to provide ASF-compatible remote-control system actions. This (optional) information provides an alert-sending device's OS-present configuration software with the information needed to command those devices to perform remote-control functions over the SMBus. This structure is associated with an ASF Information Record Header of the following format:

Type = 02h or 82h

Reserved = 00h

Length = 4 + 4 + n\*m for ASF Version 1.0. Note that this length might increase for future versions of this specification

<sup>7</sup> The alert-sending device sets these values into the PET frame it transmits if the associated alerting condition is active.

Offset	Name	Length	Value	Description
00h	Number of Controls (n)	BYTE	Varies	Identifies the number of <i>m</i> -byte remote-control entries that follow.
01h	Array Element Length (m)	BYTE	05h	Identifies the size (in bytes) of each Control Array element. For ASF version 1.0 and later, this field is set to a minimum of 04h (4).
02h	Reserved	WORD	0000h	Reserved for future definition by this specification.
04h + m*(n-1)	Control Array	Varies	Varies	An array of <i>m</i> -byte ASF_CONTROLDATA structures describing the remote-control devices present on the system's SMBus.

The following is a 'C'-style definition of the ASF\_RCTL structure.

```
#define ANYSIZE_ARRAY 1
struct ASF_RCTL {
    BYTE    NumberOfAlerts;
    BYTE    ArrayElementLength;
    struct ASF_CONTROLDATA[ANSIZE_ARRAY];
};
```

The use of the ANYSIZE\_ARRAY is simply for 'C' syntactical correctness.

#### 4.1.2.5 ASF\_CONTROLDATA

**Note:** Devices listed in this table must comply with the requirements described in section 6.3.

Offset	Name	Length	Value	Description
00h	Control <sub>n</sub> , Function (n ranges from 1 to Number of Controls)	BYTE	Varies	Identifies the action taken by the system upon issuing the associated SMBus write operation. Refer to section 6.3.3 for more details on the remote control actions.  <b>Value</b> <b>Meaning</b> 00h        The system performs a reset. 01h        The system unconditionally powers off. 02h        The system powers on. 03h        The system performs a power cycle reset. Other      Reserved for future assignment by this specification.
01h	Control <sub>n</sub> , Device Address	BYTE	Varies	Contains the SMBus address to which the SMBus command is written by the alert-sending device.  <b>Bit(s)</b> <b>Description</b> 7:1        Contains the SMBus address of the remote-control device. 0          Identifies whether (1) or not (0) the remote control command must include a PEC.
02h	Control <sub>n</sub> , Command	BYTE	Varies	Contains the SMBus Command or register offset to be written with the specified data to perform the specified ASF function.
03h	Control <sub>n</sub> , Data Value	BYTE	Varies	Contains the data value to be written to the SMBus device to cause the associated function to be performed.

The following is a 'C'-style definition of the ASF\_CONTROLDATA structure.

```
struct ASF_CONTROLDATA {
    BYTE    Function;
    BYTE    DeviceAddress;
    BYTE    Command;
    BYTE    DataValue;
};
```

#### 4.1.2.6 ASF\_RMCP

This information record's presence within a managed client's ACPI implementation implies that the client supports ASF-RMCP remote-control capabilities and captures the RMCP boot options processed by the system firmware on its most recent boot of the system. The firmware provides the captured boot options to allow other system boot agents (e.g. PXE images, hard-disk boot managers) to also make use of the data.

**Note:** An implementation might choose to ignore any RMCP boot options if the system boot cause was other than ASF alert-sending device initiated. In that case, the system firmware reports the boot options as all zeros within this structure.

This structure is associated with an ASF Information Record Header of the following format:

Type = 03h or 83h

Reserved = 00h

Length = 4 + 13h (23) for ASF Version 1.0. Note that this length might increase for future versions of this specification

Offset	Name	Length	Value	Description
00h	Remote Control Capabilities	7 BYTEs	Bit-field	Identifies the remote control capabilities of the system's ASF implementation, refer to the text following this table for more information.
07h	RMCP Boot Options Completion Code	BYTE	Varies	Identifies the completion code associated with the RMCP boot options processed by the system firmware on its most recent boot of the system, one of: 00h <i>Successful.</i> Boot options were present and successfully processed by the firmware. The boot options processed are identified in the next three fields of this structure. 01h <i>No options to process.</i> No boot options were available for the firmware to process for the most recent boot of the system. 80h <i>Options mismatch.</i> Multiple ASF alerting devices are present, and more than one set of unique boot options was presented to the firmware. The firmware cleared all boot options and booted the system using the system's current defaults.
<i>The values in the next four fields have meaning only if the completion code at offset 07h of this structure contains 00h (successful).</i>				
08h	RMCP IANA Enterprise ID	4 BYTEs	Varies	Contains the IANA Enterprise ID value present in the RMCP Boot Options Data processed by the system firmware on its most recent boot of the system; see <i>Reset (10h)</i> , <i>Power-up (11h)</i> , and <i>Power Cycle Reset (13h)</i> on page 33 for details.
0Ch	RMCP Special Command	BYTE	Varies	Contains the RMCP Special Command processed by the system firmware on its most recent boot of the system; see <i>Reset (10h)</i> , <i>Power-up (11h)</i> , and <i>Power Cycle Reset (13h)</i> on page 33 for command details.

Offset	Name	Length	Value	Description
0Dh	RMCP Special Command Parameter	2 BYTEs	Varies	Contains the two-byte <i>Special Command Parameter</i> value present in the RMCP command processed by the system firmware on its most recent boot of the system. Command parameter data byte 1 is present at offset 0Dh; data byte 2 is present at offset 0Eh.
0Fh	RMCP Boot Options	2 BYTEs	Varies	Contains the RMCP boot options processed by the system firmware on its most recent boot of the system; see <i>Boot Options Bit Mask</i> on page 34 for command details.
11h	RMCP OEM Parameters	2 BYTEs	Varies	Contains the RMCP OEM Parameters processed by the system firmware on its most recent boot of the system; see <i>Reset (10h)</i> , <i>Power-up (11h)</i> , and <i>Power Cycle Reset (13h)</i> on page 33 for command details.

The following is a 'C'-style definition of the `ASF_RMCP` structure.

```

struct ASF_RMCP {
    BYTE RemoteControlCapabilities[7];
    BYTE RMCPCompletionCode;
    BYTE RMCPIANA[4];
    BYTE RMCPSpecialCommand;
    BYTE RMCPSpecialCommandParameter[2];
    BYTE RMCPSBootOptions[2];
    BYTE RMCPOEMParameters[2];
};

```

The *Remote Control Capabilities* bit-flags identify the remote-control features supported by the system firmware, and mimic the RMCP command format. An Alert-Sending Device will be enabled with remote control capabilities consistent with the bits in this field. If a bit is set, the corresponding `ASF_CONTROLDATA` structure must exist in the `ASF_RCTL` table. Refer to *System Firmware Capabilities Bit Mask* on page 38, *Special Commands Bit Mask* on page 37, and *System Capabilities Bit Mask* on page 37 for details.

**Note:** These capabilities reflect the RMCP support provided by the base system and its associated firmware, and might be limited by the alerting device's RMCP support.

Offset	Description
00h	Contains the system's RMCP Boot Options Capabilities Bit Mask, byte 1.
01h	Contains the system's RMCP Boot Options Capabilities Bit Mask, byte 2
02h	Contains the system's RMCP Boot Options Capabilities Bit Mask, byte 3
03h	Contains the system's RMCP Boot Options Capabilities Bit Mask, byte 4.
04h	Contains the system's RMCP Special Commands Bit Mask, byte 1
05h	Contains the system's RMCP Special Commands Bit Mask, byte 2
06h	Contains the system's RMCP System Capabilities Bit Mask.

#### 4.1.2.7 ASF\_ADDR

This information record's presence within a managed client's ACPI implementation implies that the client includes SMBus devices with fixed addresses.

Fixed SMBus addresses (including those for legacy devices) must be identified to the SMBus Address Resolution Protocol (ARP) agent to guarantee that the agent will not assign these addresses to dynamic-address devices. Software agents that perform SMBus address assignment should note that fixed-address devices listed in this structure *might also be discoverable* via SMBus ARP methods.

This structure is associated with an ASF Information Record Header of the following format:

Type = 04h or 84h

Reserved = 00h

Length =  $4 + 2 + n$ , where  $n$  is the number of fixed-address devices, for ASF Version 1.0.

Note that this length might increase for future versions of this specification

Offset	Name	Length	Value	Description
00h	SEEPROM Address	BYTE	Varies	Identifies the fixed SMBus address of an SEEPROM device that contains additional fixed-address and legacy-device information, as described in <i>SMBus Serial EEPROM</i> . This field is formatted as: <b>Bit(s)</b> <b>Description</b> 7:1        Contains the SMBus address of the SEEPROM device. 0            Identifies whether (1) or not (0) the SEEPROM resides on a removable device. <b>Note:</b> If no fixed-address SEEPROM device is supported by the base system, this field is set to 0.
01h	Number of Devices ( $n$ )	BYTE	Varies	Identifies the number of device-address entries that follow. <b>Note:</b> If the system supplies the fixed addresses solely via SEEPROM implementation, this field contains 0.
02h	Fixed SMBus Address	$n$ BYTES	Varies	Each field contains a fixed SMBus address for the system, in the following format: <b>Bit(s)</b> <b>Description</b> 7:1        Contains the SMBus address of the fixed-address device. 0            Identifies whether (1b) or not (0b) the address is associated with a non-legacy, ASF-compliant device. When set to 1b, the SMBus device at this address supports the SMBus 2.0 <u>directed</u> <i>Get UDID</i> command.

The following is a 'C'-style definition of the `ASF_ADDR` structure.

```
#define ANYSIZE_ARRAY 1
struct ASF_ADDR {
    BYTE    SEEPROMAddress;
    BYTE    NumberOfDevices;
    BYTE    FixedSMBusAddresses[ANSIZE_ARRAY];
};
```

The use of the `ANSIZE_ARRAY` is simply for 'C' syntactical correctness.

## 4.2 SMBIOS Structures

### 4.2.1 System Information (Type 1)

The SMBIOS *System Information* structure contains the system's UUID (also referred to as the GUID, Globally Unique ID) of the system. An alerting device's OS-present configuration software records the system's UUID in the device's non-volatile storage; any Platform Event Traps issued by the alerting device will then be associated with the system. Refer to [SMBIOS] for this table's format.



### 4.3 SMBus Serial EEPROM (SEEPROM)

An implementation might choose to identify all or part of the managed client's fixed SMBus addresses and legacy access-methods using data structures present in an SMBus-attached SEEPROM whose address is specified in the *SEEPROM Address* field of the *ASF\_ADDR* structure (see 4.1.2.7). This method lends itself to a notebook/docking-station system implementation, with the notebook firmware providing the fixed address of the docking station's SEEPROM and that SEEPROM providing the fixed addresses present on the docking station itself. Alternatively, the notebook firmware would need to provide the fixed address and legacy-device information for all its supported docking stations.

The SEEPROM referenced by the *SEEPROM Address* conforms to [FRU], and all ASF-related data are stored in the *MultiRecord* area of the device.

#### 4.3.1 Fixed SMBus Addresses (SEEPROM Record Type 06h)

A record header of the following format (as defined by [FRU]) identifies a *Fixed SMBus Addresses* record:

Offset	Format	Description								
00h	BYTE	<i>Record Type ID</i> . Set to 06h to identify a <i>Fixed SMBus Addresses</i> record.								
01h	BYTE	<table border="0"> <tr> <td><b>Bit(s)</b></td> <td><b>Meaning</b></td> </tr> <tr> <td>7</td> <td>End of List</td> </tr> <tr> <td>6:4</td> <td>Reserved, set to 000b</td> </tr> <tr> <td>3:0</td> <td>Record Format version</td> </tr> </table>	<b>Bit(s)</b>	<b>Meaning</b>	7	End of List	6:4	Reserved, set to 000b	3:0	Record Format version
<b>Bit(s)</b>	<b>Meaning</b>									
7	End of List									
6:4	Reserved, set to 000b									
3:0	Record Format version									
02h	BYTE	<i>Record Length</i> . Identifies the length of the <i>Fixed SMBus Addresses</i> record that follows, not including this record header.								
03h	BYTE	<i>Record Checksum</i> . This value, when added to the byte-wise checksum of the record, produces a result of 00h.								
04h	BYTE	<i>Header Checksum</i> . This value causes the byte-wise checksum of the record header to result in 00h.								

The *Fixed SMBus Addresses* record directly follows its record header in the SEEPROM and is specified as an *ASF\_ADDR* structure, starting at offset 01h (i.e. the structure's data, not including the *SEEPROM Address* field). Software agents that perform SMBus address assignment should note that fixed-address devices listed in this record *might also be discoverable* via SMBus ARP methods.

#### 4.3.2 ASF Legacy-Device Alerts (SEEPROM Record Type 07h)

A record header of the following format (as defined by [FRU]) identifies an *ASF Legacy-Device Alerts* record:

Offset	Format	Description								
00h	BYTE	<i>Record Type ID</i> . Set to 07h to identify an <i>ASF Legacy-Device Alerts</i> record.								
01h	BYTE	<table border="0"> <tr> <td><b>Bit(s)</b></td> <td><b>Meaning</b></td> </tr> <tr> <td>7</td> <td>End of List</td> </tr> <tr> <td>6:4</td> <td>Reserved, set to 000b</td> </tr> <tr> <td>3:0</td> <td>Record Format version</td> </tr> </table>	<b>Bit(s)</b>	<b>Meaning</b>	7	End of List	6:4	Reserved, set to 000b	3:0	Record Format version
<b>Bit(s)</b>	<b>Meaning</b>									
7	End of List									
6:4	Reserved, set to 000b									
3:0	Record Format version									
02h	BYTE	<i>Record Length</i> . Identifies the length of the <i>ASF Legacy-Device Alerts</i> record that follows, not including this record header.								
03h	BYTE	<i>Record Checksum</i> . This value, when added to the byte-wise checksum of the record, produces a result of 00h.								
04h	BYTE	<i>Header Checksum</i> . This value causes the byte-wise checksum of the record header to result in 00h.								

The *ASF Legacy-Device Alerts* record directly follows its record header in the SEEPROM, and is specified as an *ASF\_ALERT* structure (see 4.1.2.2 for details).

### 4.3.3 ASF Remote Control (SEEPROM Record Type 08h)

A record header of the following format (as defined by [FRU]) identifies an *ASF Remote Control* record:

Offset	Format	Description								
00h	BYTE	<i>Record Type ID</i> . Set to 08h to identify an <i>ASF Remote Control</i> record.								
01h	BYTE	<table border="0"> <thead> <tr> <th><b>Bit(s)</b></th> <th><b>Meaning</b></th> </tr> </thead> <tbody> <tr> <td>7</td> <td>End of List</td> </tr> <tr> <td>6:4</td> <td>Reserved, set to 000b</td> </tr> <tr> <td>3:0</td> <td>Record Format version</td> </tr> </tbody> </table>	<b>Bit(s)</b>	<b>Meaning</b>	7	End of List	6:4	Reserved, set to 000b	3:0	Record Format version
<b>Bit(s)</b>	<b>Meaning</b>									
7	End of List									
6:4	Reserved, set to 000b									
3:0	Record Format version									
02h	BYTE	<i>Record Length</i> . Identifies the length of the <i>ASF Remote Control</i> record that follows, not including this record header.								
03h	BYTE	<i>Record Checksum</i> . This value, when added to the byte-wise checksum of the record, produces a result of 00h.								
04h	BYTE	<i>Header Checksum</i> . This value causes the byte-wise checksum of the record header to result in 00h.								

The *ASF Remote Control* record directly follows its record header in the SEEPROM, and is specified as an `ASF_RCTL` structure (see 4.1.2.4 for details).

## 5 ASF SMBus Messages

This section describes the SMBus messages used to support ASF-defined communications between

- The managed client's SMBus host controller and an alert-sending device
- An alert-sending device and an ASF sensor

These messages provide the framework within which

- Event conditions and data are communicated to the alert-sending device; the data to be subsequently transmitted as a PET
- The system firmware can control an alert-sending device's watchdog timer.
- The system firmware can retrieve and manage RMCP Boot Option information previously received by the alert-sending device

The SMBus messages defined by this specification contain an ASF *Version Number*, identifying the ASF specification version number to which the message complies. This number is made up of two values: a major version number and a minor version number. The *Version Number* is encoded as a one-byte BCD (binary-coded decimal) value, e.g. 0001 0000b for ASF Specification v1.0.

This specification reserves values in the range 0 to 15 (0000 0000b to 0000 1111b) as ASF-defined SMBus command field values; all other SMBus command values are available for definition by the specific device supplier. These definitions apply for all SMBus 2.0-compliant devices that report that they support ASF via the *Interface* field of their UDID, see 6.2.1 for additional information.

Command Value	Sub-command Value	Command Name
0000 0001b		<b><i>Sensor Device &amp; System State</i></b>
	0001 0001b	Get Event Data
	0001 0010b	Get Event Status
	0001 0011b	Device Type Poll
	0001 1000b	Set System State
0000 0010b		<b><i>Management Control</i></b>
	0001 0011b	Start Watchdog Timer
	0001 0100b	Stop Watchdog Timer
0000 0011b		<b><i>ASF Configuration</i></b>
	0001 0101b	Clear Boot Options
	0001 0110b	Return Boot Options
	0001 0111b	No Boot Options
0000 0100b		<b><i>Messaging</i></b>
	0001 0101b	Push Message with Retransmission
	0001 0110b	Push Message without Retransmission
	0001 0001b	Set Alert Configuration
	0001 0010b	Get Alert Configuration
0000 0101b to 0000 1111b		Reserved for future definition by this specification

**Note:** The values associated with the Wr, Command, Byte Count, Sub-command, Version Number, A, and ~A fields for each of the messages in this section are each specified in binary format with no trailing letter 'b'.

## 5.1 Alert-related Messages

SMBus messages defined in this sub-section convey alert-related information to the alert-sending device, which usually results in a PET frame being transmitted. The *Get Event Data*, *Start Watchdog Timer*, and *Push Alert* messages include fields that the alert-sending device copies to a subsequently transmitted PET frame. The fields are Event Sensor Type, Event Type, Event Offset, Event Source Type, Event Severity, Sensor Device, Sensor Number, Entity, Entity Instance, and Event Data; values for these fields are described in sections 3.1.3 and 3.1.4.

### 5.1.1 ASF-Sensor Poll Messages

ASF-sensors support messages defined in this section; those sensors also comply with the device requirements described in section 6.2.

An ASF-sensor has a single SMBus address, but monitors one or more events. Within the messages defined in this section, the *Event Status Index* field identifies a unique event monitored by the ASF-sensor; once the ASF-sensor has completed its power-up initialization, the index-to-event relationship remains constant independent of the system sleep-state. *Event Status Index* values are zero-based, sequential, and contiguous within an ASF-sensor. When a sensor monitors N events, the sensor responds to *Event Status Index* values in the range 0 to N-1; any other *Event Status Index* value is defined as out-of-range for that ASF-sensor. For example:

- An ASF-sensor that monitors a single event provides support for index value 0 only. If the device receives a *Get Event Data* command with an *Event Status Index* greater than 0, the device responds with the *Status* field set to "Event Status End".
- An ASF-sensor that monitors seven (7) events provides support for index values in the range 0 to 6. If the device receives a *Get Event Data* command with an *Event Status Index* greater than or equal to 7, the device responds with the *Status* field set to "Event Status End".

The *Event Status Index* field value ranges from 0 to 37h<sup>8</sup>, so each ASF-sensor can monitor at most 56 events.

Messages defined in this section provide a method to retrieve the event state associated with each device monitored by an ASF-sensor; that state is returned by the ASF-sensor as an enumerated value in the *Status* field of the message. The *Status* field is an 8-bit value:

#### **Bit(s)** **Description**

- |     |   |
|-----|---|
| 7:4 | Reserved for future definition by this specification, set to 0000b.   |
| 3:0 | <u>Status Value</u> . This enumerated value indicates the event state of the ASF-sensor device associated with the message's <i>Event Status Index</i> . Those values are described in the following table. |

<sup>8</sup> This value was chosen due to the 32 data byte limitation of the SMBus 2.0 Block Write-Block Read Process Call protocol that is used by the *Get Event Status* message. That message includes 3 bytes for the write portion of the message, and one byte of the read portion defines the number of events returned: leaving 28 bytes in which to return the status. Each read data byte returns two events' Status Value, allowing at most 56 events to be monitored by any one ASF-sensor.

Status Value	“Get Event Status” Rd Byte Count	Status Type	Status Description
0000b	0Bh to 10h <sup>9</sup>	Deasserted (no send)	The event is in the deasserted state, but the ASF-sensor does not want a PET to be sent on this condition. This will typically be used when a device sets its initial status upon initialization. The alert-sending device uses this state information to update its state tracking information, but does not generate a PET on a change.
0001b	0Bh to 10h <sup>9</sup>	Asserted (no send)	The event is in the asserted state, but the ASF-sensor does not want a PET to be sent on this condition. This will typically be used when a device sets its initial status upon initialization. The alert-sending device uses this state information to update its state tracking information, but does not generate a PET on a change.
0010b	0Bh to 10h	Deasserted (send)	A deassertion event should be sent when the alert-sending device either first accesses this device, or detects a change to this state during operation.
0011b	0Bh to 10h	Asserted (send)	An assertion event should be sent when the alert-sending device either first accesses this device, or detects a change to this state during operation.
0100b	02h (or 0Bh to 10h <sup>10</sup> )	Disabled	The device is present within the ASF-sensor, but its associated event has been disabled via hardware or software methods.
0101b	02h (or 0Bh to 10h <sup>10</sup> )	Undetermined	The sensor device has not yet assessed the present event state, possibly because the device is initializing.
0110b	—	Reserved	Reserved for future definition by this specification.
0111b	02h	Event Status End	The ASF-sensor has no device associated with either the value specified in <i>Event Status Index</i> or any higher value.
1000b to 1111b	—	Reserved	Reserved for future definition by this specification.

<sup>9</sup> The ASF-sensor transmits the PET fields for these *Status Value* codes to enable implementations to optionally support start-up state logging.

<sup>10</sup> Any data that an ASF-sensor might return with this *Status Type* is undefined and should not be interpreted by the message initiator. This function is provided to allow possible simplification of an ASF-sensor device’s state-machine implementation.

### 5.1.1.1 Get Event Data

The Get Event Data message is used to poll ASF-sensors for individual event (specified by *Event Status Index*) status and associated PET field values.

**Note:** If an ASF-sensor receives a *Get Event Data* message with an *Event Status Index* that is out of range (see 5.1.1), the sensor responds with the *Status* field value set to *Event Status End*.

This message uses the SMBus 2.0 *Block Write-Block Read Process Call* protocol and has two return-data formats.

The format that returns PET field values is:

1	7	1	1	8	1	8	1	
S	Slave Address	Wr	A	Command	A	Wr Byte Count	A	...
	ASF-sensor Address	0	0	Sensor Device 0000 0001	0	0000 0100	0	

8	1	8	1	8	1	8	1	
Wr Data 1	A	Wr Data 2	A	Wr Data 3	A	Wr Data 4	A	...
Sub Command Get Event Data 0001 0001	0	Version Number 0001 0000	0	Event Status Index 00bb bbbb <sup>11</sup>	0	Reserved 0000 0000	0	

1	7	1	1	8	1	
Sr	Slave Address	Rd	A	Rd Byte Count	A	...
	ASF-sensor Address	1	0	0000 1010 to 0000 1111 <sup>12</sup>	0	

1	8	1	8	1	8	1	
A	Rd Data1	A	Rd Data 2	A	Rd Data3	A	...
0	Status	0	Event Sensor Type	0	Event Type	0	

8	1	8	1	8	1	8	1	
Rd Data4	A	Rd Data5	A	Rd Data6	A	Rd Data7	A	...
Event Offset <sup>13</sup>	0	Event Source Type	0	Event Severity	0	Sensor Device	0	

8	1	8	1	8	1	
Rd Data8	A	Rd Data9	A	Rd Data10	A	...
Sensor Number	0	Entity	0	Entity Instance	0	

	8	1	1
...	PEC	-A	P
From zero (0) to five (5) bytes of Event Data	[data dependent]	1	

The format that does not return PET field values is:

1	7	1	1	8	1	8	1	
S	Slave Address	Wr	A	Command	A	Wr Byte Count	A	...
	ASF-sensor Address	0	0	Sensor Device 0000 0001	0	0000 0100	0	

<sup>11</sup> *Event Status Index* values range from 00h to 37h.

<sup>12</sup> The *Rd Byte Count* ranges from 10 to 15, depending on the number of *Event Data Bytes* (0 to 5).

<sup>13</sup> Bit 7 of this field is set when the PET event indicates a "Deassertion Event". The ASF Sensor provides the appropriate value for this bit. Typically, the bit value will reflect the assert or deassert status as defined in section 5.1.1.

8	1	8	1	8	1	8	1	...
Wr Data 1	A	Wr Data 2	A	Wr Data 3	A	Wr Data 4	A	...
Sub Command Get Event Data 0001 0001	0	Version Number 0001 0000	0	Event Status Index 00bb bbbb <sup>11</sup>	0	Reserved 0000 0000	0	

1	7	1	1	8	1	...
Sr	Slave Address	Rd	A	Rd Byte Count	A	...
	ASF-sensor Address	1	0	0000 0001	0	

1	8	1	8	1	1
A	Rd Data1	A	PEC	A	P
0	Status	0	[data dependent]	1	

### 5.1.1.2 Get Event Status

This message returns the present event status for all events monitored by an ASF-sensor (a maximum of 56 events<sup>8</sup>). An alert-sending device can quickly determine event status changes by comparing the returned *Status* values with the values from a previously issued *Get Event Status* message.

The *Event Status Count* field identifies the zero-based number of events that the ASF-sensor monitors, and the number of *Status* values it returns on this message. If the ASF-sensor includes 5 events, for example, it returns an *Event Status Count* field value of 04h.

**Note:** The value the ASF-sensor returns in the *Rd Byte Count* field is at least to  $1 + \text{roundup}(\text{Event Status Count} / 2)$ . The *Rd Byte Count* value can range from 2 (one monitored event) to 29 (56 monitored events).

An ASF-sensor's response to this message returns the *Status Value* (see 5.1.1) for each event the sensor monitors. The 4-bit *Status Value* fields are packed two-to-a-byte in the response return value; the following table illustrates the values returned by an ASF sensor that monitors five (5) events.

Rd Data Byte Bit Position								Rd Data Byte Number
7	6	5	4	3	2	1	0	
Event Status Count (0000 0100b)								1
Event Status Index 1 (bbbb)				Event Status Index 0 (bbbb)				2
Event Status Index 3 (bbbb)				Event Status Index 2 (bbbb)				3
Unused (0111b)				Event Status Index 4 (bbbb)				4

The *Get Event Status* message format is:

1	7	1	1	8	1	8	1	...
S	Slave Address	Wr	A	Command	A	Wr Byte Count	A	...
	ASF-sensor Address	0	0	Sensor Device 0000 0001	0	0000 0011	0	

8	1	8	1	8	1	...
Wr Data 1	A	Wr Data 2	A	Wr Data 3	A	...
Sub Command Get Event Status 0001 0010	0	Version Number 0001 0000	0	Reserved 0000 0000	0	

1	7	1	1	8	1	...
Sr	Slave Address	Rd	A	Rd Byte Count	A	...
	ASF-sensor Address	1	0	0000 0010 to 0000 1101 <sup>14</sup>	0	

<sup>14</sup> *Rd Byte Count* ranges from 2 to 29, depending on the number of devices monitored by the ASF-sensor.

8	1	8	1	
Rd Data1	A	Rd Data2	A	...
Event Status Count	0	Status 1/0	0	

8	1	8	1	1
Rd Data N	A	PEC	~A	P
Status $2^*(N-2)-1/2^*(N-2)$	0	[data dependent]	1	

### 5.1.2 Asynchronous Alert Notification to SMBus Host

This message notifies the SMBus host or an Auxiliary Management Device of a pending alert, and provides a common context that conforms to the strict rules for communicating with the system SMBus host. This asynchronous notification includes an *Event Status Index* (see 5.1.1) so that the alert receiver can quickly determine the type of event and its location within the transmitting ASF-sensor when multiple events are monitored by the ASF-sensor address.

These are behavior rules governing when the ASF-sensor sends notifications:

1. The Asynchronous Alert Notification message must not be sent to an alert-sending device, the Push Alert message (see 5.1.5) must be used instead.
2. Stop sending a notification once the message has been completely sent with ACKs to all bytes according to [SMBus 2.0] definitions, or if the event causing the alert notification is cleared.
3. Retry any notification that is NACKed by the target device at a minimum retry interval of 4 seconds until (a) the event is cleared, (b) the notification function is masked by a re-configuration of the notifying device or (c) the notification retry limit is exceeded.
4. Asynchronous notifications are disabled globally by default or on power-on-reset of a notifying device, and can be globally disabled at any time.

The notifying device includes the following fields as part of the asynchronous notification:

Field Name	Bit(s)	Meaning
Interface	7	Set to 1b. This identifies that the message is an asynchronous notification and prevents the write-word from being interpreted as a 1-byte write-block SMBus command.
	6:4	<i>Interface Class</i> for the asynchronous notification, one of: 000b ASF 001b IPMI 010b Vendor (OEM) Others Reserved for future definition by this specification



Field Name	Bit(s)	Meaning
	3:0	<p>Specified by the <i>Interface Class</i>. The combination of the <i>Interface Class</i> value and this field's value defines the interpretation of the <i>Alert Value</i> field.</p> <ul style="list-style-type: none"> <li>For <i>Interface Class</i> = 000b (ASF), the field contains the <i>Notification Type</i>, one of: <ul style="list-style-type: none"> <li>0h Reserved for future Alert-sending Device Receive Message Notification</li> <li>1h Reserved for future Alert-sending Device Transmit Message Notification.</li> <li>2h ASF-sensor Device Event</li> <li>3h Alert-Sending Device Event</li> <li>Others Reserved for future definition by this specification.</li> </ul> </li> <li>For <i>Interface Class</i> = 001b (IPMI), the values are specified by [IPMI].</li> <li>For <i>Interface Class</i> = 010b (OEM), the manufacturer identified by the notifying device's SMBus 2.0 UID specifies the value.</li> </ul>
Alert Value	7:0	<p>For <i>Interface Class</i> = 000b (ASF), the interpretation of this field is specified by the <i>Notification Type</i> value:</p> <ul style="list-style-type: none"> <li>When <i>Notification Type</i> = 2h (ASF-sensor Device Event), the Alert Value contains an Event Status Index of the form 00bb_bbbb.</li> <li>When <i>Notification Type</i> = 3h (Alert-Sending Device Event), the Alert Value contains an Event Type of the form 0000_bbbb where 'bbbb' is the Event Type value and the remaining bits of the field are reserved for future definition by this specification. Event Type values are: <ul style="list-style-type: none"> <li>0000b The alert-sending device has completed its boot-up processing and is ready to accept a command.</li> <li>0001b The alert-sending device's media transport has transitioned from invalid/down to valid/up.</li> <li>0010b The alert-sending device's media transport has transitioned from valid/up to invalid/down</li> </ul> </li> </ul> <p>Remaining enumerations are reserved for future definition by this specification.</p> <ul style="list-style-type: none"> <li>This field's value is undefined for all other <i>Notification Type</i> values.</li> </ul>

This following format of the asynchronous notification does not include a PEC byte. The notifying device uses this format when it sends a notification to the SMBus host.

1	7	1	1	7	1	1	8	1	8	1	1
S	Target Address	Wr	A	Sending Device Address		A	Data Byte Low	A	Data Byte High	A	P
	SMBus Host (0x10)	0	0	ASF-Sensor Address	0	0	Interface	0	Alert Value	0	

- This following format of the asynchronous notification includes a PEC byte. The notifying device uses this format when the device sends a notification to an Auxiliary Management Device.

1	7	1	1	7	1	1	8	1	8	1	8	1	1
S	Target Address	Wr	A	Sending Device Address		A	Data Byte Low	A	Data Byte High	A	PEC Byte	A	P
	Auxiliary Management Device Address	0	0	ASF-Sensor Address	0	0	Interface	0	Alert Value	0	[data dependent]	0	

### 5.1.3 Alert Configuration Message

Any ASF-sensor capable of issuing either *Push Alert* or *Asynchronous Alert Notification* messages must also support this standard message that sets the event targets, the retry limits and the notification masks. The effect of the Alert Configuration is immediate so that re-configuration may cause a pushed alert or alert notification to be masked and disabled.

When an SMBus master device issues this message via an [SMBus 2.0] write-block format, the master device specifies the configuration to be set into the ASF-sensor; when the master device issues the message via an [SMBus 2.0] block-read format, the ASF-sensor responds to the message with its current configuration.

The following fields are specified within the Alert Configuration Message:

Field Name	Bit(s)	Meaning
Interface Class	7:4	<b>Major class:</b> 1000b ASF Others Reserved for future definition by this specification.
	3:0	<b>Minor Class.</b> These definitions apply when the Major Class is ASF (1000b). 1000b Push alert/notification control register Others Reserved for future definition by this specification.
Push Configuration	7	<b>Global Message Enable.</b> Set to 1b to enable the device to issue Push Alert and Asynchronous Alert Notification messages. The device clears this bit to 0b as a default and on each power-on reset.
	6	<b>Host Message Enable.</b> Set to 1b to enable the device to send its alerts to the SMBus host address (0x10). The device clears this bit to 0b as a default and on each power-on reset.
	5	<b>Host PEC Enable.</b> Set to 1b to enable the device to send its alerts to the SMBus host address (0x10) with PEC. The device clears this bit to 0b as a default and on each power-on reset.
	4	Reserved for future definition by this specification, set to 0b.
	3:0	<b>Retry Limit.</b> This value defines the number of times the device will retry an SMBus message that is NACKed by the message target. If the value is 0h, the device sends each message only once with no retries; if the value is Fh, the retry limit is unlimited and the device sends each message until the target successfully receives it or the associated event clears.
Auxiliary Management Device Address 1	7:1	<b>SMBus Address.</b> Identifies the SMBus address of a target Auxiliary Management Device other than the SMBus host. If the value is 0000 000b, no device address is specified.

Field Name	Bit(s)	Meaning
	0	<i>Address Write Enable</i> . If set to 1b, the device records the SMBus Address supplied on an SMBus write message as a target of <i>Push Alert</i> or <i>Asynchronous Event Notification</i> messages; otherwise (0b), the device preserves any previously set address.
Auxiliary Management Device Address 2	7:0	This field uses the same format as <i>Auxiliary Management Device Address 1</i> , defined above.

An SMBus master device uses an SMBus write block format to set the ASF sensor's configuration:

1	7	1	1	8	1	8	1	8	1	
S	Slave Address	Wr	A	Command	A	Byte Count	A	Data1	A	...
	ASF-Sensor Address	0	0	Messaging 0000 0100	0	0000 0110	0	Sub Command Set Alert Configuration 0001 0001	0	

7	1	8	1	
Data2	A	Data3	A	...
Version Number 0001 0000	0	Interface Class	0	

8	1	8	1	8	1	8	1	1
Data4	A	Data4	A	Data6	A	PEC	A	P
Push Configuration	0	Auxiliary Management Device Address 1	0	Auxiliary Management Device Address 2	0	[data dependent]	0	

An SMBus master device uses an SMBus block-read format to read the ASF sensor's current configuration settings:

1	7	1	1	8	1	1	7	1	1	
S	Slave Address	Wr	A	Command	A	S	Slave Address	Rd	A	...
	ASF-Sensor Address	0	0	Messaging 0000 0100	0		ASF-Sensor Address	1	0	

8	1	8	1	8	1	8	1	
Byte Count	A	Data1	A	Data2	A	Data3	A	...
0000 0110	0	Sub Command Get Alert Configuration 0001 0010	0	Version Number 0001 0000	0	Interface Class	0	

8	1	8	1	8	1	8	1	1
Data4	A	Data5	A	Data6	A	PEC	~A	P
Push Configuration	0	Auxiliary Management Device Address 1	0	Auxiliary Management Device Address 2	0	[data dependent]	1	

### 5.1.4 Watchdog Timer Support

An ASF alert-sending device's implementation includes a watchdog timer to enable the managed client to send a PET frames if a timed period expires. The messages defined in this section enable the client system's firmware to start a timer at the beginning of a task that will possibly hang the system, with the intent that the firmware will stop that timer when the task is completed. If the firmware does not stop the timer within the time period defined on the start message, the alert-sending device uses the information present in the start message to build and transmit a PET frame — notifying the management console of the problem.

### 5.1.4.1 Start Watchdog Timer

This message starts the watchdog timer in the alert-sending device, sets the timer's expiration time, and contains the information needed for the alert-sending device to form the PET frame if the timer expires. An alert-sending device performs the following steps when it receives a *Start Watchdog Timer* message:

1. Stop the watchdog timer, if it is currently running. The new PET frame information will overwrite the information associated with the previous *Start Watchdog Timer* message.
2. Save the PET frame information from the current message.
3. Set the timeout value to the number of seconds specified by the Timeout value in the message.
4. Start the watchdog timer.

If the timer expires, the alert-sending device builds and then sends a PET frame using the event information supplied on the most recently received *Start Watchdog Timer* message; that frame's transmission must follow the retransmission rules outlined in 3.1.1.1.

The *Timeout Value* is a two-byte field that specified the number of seconds that the alert-sending device waits before transmitting the associated PET frame. A *Start Watchdog Timer* message sender expects to send a *Stop Watchdog Timer* message within that amount of time, thus canceling the PET frame transmission. The message sender indicates a *Timeout Value* of 5 minutes (300, or 012Ch, seconds) by setting the Timeout Value Low field to 2Ch (0010 1100b) and the Timeout Value High field to 01h (0000 0001b).

1	7	1	1	8	1	8	1	
S	Slave Address	Wr	A	Command	A	Byte Count	A	...
	Alert-sending Device Address	0	0	Management Control 0000 0010	0	0000 1101 to 0001 0010 <sup>15</sup>	0	

8	1	8	1	8	1	8	1	8	1	
Data1	A	Data2	A	Data3	A	Data4	A	Data5	A	...
Sub Command Start Watchdog Timer 0001 0011	0	Version Number 0001 0000	0	Timeout Value Low	0	Timeout Value High	0	Event Sensor Type	0	

8	1	8	1	8	1	8	1	8	1	8	1	
Data6	A	Data7	A	Data8	A	Data9	A	Data10	A	Data11	A	...
Event Type	0	Event Offset	0	Event Source Type	0	Event Severity	0	Sensor Device	0	Sensor number	0	

8	1	8	1		8	1	1
Data12	A	Data13	A	...	PEC	A	P
Entity	0	Entity Instance	0	From zero (0) to five (5) bytes of Event Data	[data dependent]	0	

### 5.1.4.2 Stop Watchdog Timer

This message stops the watchdog timer contained within the alert-sending device. If the managed client's firmware supports a system boot-failure watchdog timer (see 4.1.2.1 *ASF\_INFO* on page 50), the firmware issues the *Stop Watchdog Timer* command to stop the timer that is automatically started by the alert-sending device at power-on reset.

<sup>15</sup> *Byte Count* ranges from 13 to 18, depending on the number of *Event Data* bytes included (0 to 5).

1	7	1	1	8	1	8	1	
S	Slave Address	Wr	A	Command	A	Byte Count	A	...
	Alert-sending Device Address	0	0	Management Control 0000 0010	0	0000 0010	0	

8	1	8	1	8	1	1
Data1	A	Data2	A	PEC	A	P
Sub Command Stop Watchdog Timer 0001 0100	0	Version Number 0001 0000	0	[data dependent]	0	

### 5.1.5 Push Alert Messages

These messages enable other SMBus masters to “push” a message containing PET frame information to an alert-sending device; the alert-sending device builds and transmits the message. Each message is variable in length to enable the initiator’s use of the optional Event Data fields — up to five (5) bytes.

If the alert-sending device is either temporarily unable to handle the message or unable to send the requested PET frame because the device’s transport media is down, the device must NACK the message according to [SMBUS\_2.0] definitions. The managed client’s firmware might choose to wait for the ASF alert-sending device to establish connection with its transport media. For example, an Ethernet device might require additional time from a cold power-on to establish a network connection. See *Get Power-on Wait Time (GPWT)* and *Set Power-on Wait Time (SPWT)* for the system methods through which the alert-sending device’s OS-present configuration software records its required values.

#### 5.1.5.1 Message with Retransmission

If the alert-sending device is either temporarily unable to handle the message or unable to send the requested PET frame because the device’s transport media is down, the device must NACK the message according to [SMBUS\_2.0] definitions. Otherwise, the PET frame’s transmission follows the retransmission rules outlined in section 3.1.1.1.

1	7	1	1	8	1	
S	Slave Address	Wr	A	Command	A	...
	Alert-sending Device Address	0	0	Messaging 0000 0100	0	

8	1
Byte Count	A
0000 1011 to 0001 0000 <sup>16</sup>	0

8	1	8	1	8	1	8	1	
Data1	A	Data2	A	Data3	A	Data4	A	...
Sub Command Retransmit 0001 0101	0	Version Number 0001 0000	0	Event Sensor Type	0	Event Type	0	

8	1	8	1	8	1	8	1	
Data5	A	Data6	A	Data7	A	Data8	A	...
Event Offset	0	Event Source Type	0	Event Severity	0	Sensor Device	0	

<sup>16</sup> *Byte Count* ranges from 11 to 16, depending on the number of *Event Data* bytes included (0 to 5).

8	1	8	1	8	1		8	1	1
Data9	A	Data10	A	Data11	A	...	PEC	A	P
Sensor Number	0	Entity	0	Entity Instance	0	From zero (0) to five (5) bytes of Event Data	[data dependent]	0	

### 5.1.5.2 Message without Retransmission

This message causes the alert-sending device to transmit a single, un-retransmitted PET frame. If the alert-sending device is either temporarily unable to handle the message or unable to send the requested PET frame because the device's transport media is down, the device must NACK the message according to [SMBUS\_2.0] definitions; otherwise, the device sends the single-frame transmission.

1	7	1	1	8	1	8	1	
S	Slave Address	Wr	A	Command	A	Byte Count	A	...
	Alert-sending Device Address	0	0	Messaging 0000 0100	0	0000 1011 to 0001 0000 <sup>16</sup>	0	

8	1	8	1	8	1	8	1	
Data1	A	Data2	A	Data3	A	Data4	A	...
Sub Command No Retransmit 0001 0110	0	Version Number 0001 0000	0	Event Sensor Type	0	Event Type	0	

8	1	8	1	8	1	8	1	
Data5	A	Data6	A	Data7	A	Data8	A	...
Event Offset	0	Event Source Type	0	Event Severity	0	Sensor Device	0	

8	1	8	1	8	1		8	1	1
Data9	A	Data10	A	Data11	A	...	PEC	A	P
Sensor Number	0	Entity	0	Entity Instance	0	From zero (0) to five (5) bytes of Event Data	[data dependent]	0	

## 5.2 Boot Option Messages

### 5.2.1 Get Boot Options

This message, which uses the SMBus Block Read Protocol with PEC, is used by the managed client's firmware to retrieve the options sent over the network to the alert-sending device via the RMCP commands *Reset (10h)*, *Power-up (11h)*, and *Power Cycle Reset (13h)*. A managed client that supports ASF-RMCP commands includes firmware that reports these results in an ASF\_RMCP ACPI structure.

The alert-sending device responds with one of two sub-commands to the *Get Boot Options* message, depending on whether the device has received one of the ASF-RMCP Boot Options commands (*Reset (10h)*, *Power-up (11h)*, and *Power Cycle Reset (13h)*) since the device last received an SMBus Clear Boot Options message. If so, the device returns the *Return Boot Options* sub-command along with the boot options values included in the ASF-RMCP command; otherwise, the device returns the *No Boot Options* sub-command.

**Note:** Managed client firmware that supports ASF-RMCP should

1. Get the boot options as soon as practical during the boot process and subsequently clear the options. This ensures that the boot options have a *single-boot lifetime*.
2. Verify that the system boot was initiated by an ASF-RMCP command prior to taking any action indicated by the Boot Options returned by this SMBus command.

### 5.2.1.1 Return Boot Options Response

Refer to section 5.2.1 for a full description of the conditions under which this *Get Boot Options* response is returned by an alert-sending device.

1	7	1	1	8	1	1	7	1	1	
S	Slave Address	Wr	A	Command	A	S	Slave Address	Rd	A	...
	Alert-sending Device Address	0	0	ASF Configuration 0000 0011	0		Alert-sending Device Address	1	0	

8	1	8	1	8	1	8	1	
Byte Count	A	Data1	A	Data2	A	Data3	A	...
0000 1101	0	Sub Command Return Boot Options 0001 0110	0	Version Number 0001 0000	0	IANA ID Byte 1	0	

8	1	8	1	8	1	8	1	8	
Data4	A	Data5	A	Data6	A	Data7	A	Data8	...
IANA ID Byte 2	0	IANA ID Byte 3	0	IANA ID Byte 4	0	Special Command	0	Special Command Parameter, High Byte	

1	8	1	8	1	8	1	8	1	
A	Data9	A	Data10	A	Data11	A	Data12	A	...
0	Special Command Parameter, Low Byte	0	Boot Options Bit Mask Byte 1	0	Boot Options Bit Mask Byte 2	0	OEM Parameter Byte 1	0	

8	1	8	1	1
Data13	A	PEC	~A	P
OEM Parameter Byte 2	0	[data dependent]	1	

### 5.2.1.2 No Boot Options Response

Refer to section 5.2.1 for a full description of the conditions under which this *Get Boot Options* response is returned by an alert-sending device.

1	7	1	1	8	1	1	7	1	1	
S	Slave Address	Wr	A	Command	A	S	Slave Address	Rd	A	...
	Alert-sending Device Address	0	0	ASF Configuration 0000 0011	0		Alert-sending Device Address	1	0	

8	1	8	1	8	1	8	1	1
Byte Count	A	Data1	A	Data2	A	PEC	~A	P
0000 0010	0	Sub Command No Boot Options 0001 0111	0	Version Number 0001 0000	0	[data dependent]	1	

### 5.2.2 Boot Options Clear

This message is used by the system firmware to clear the boot options held by the alert-sending device. Managed clients that support ASF-RMCP Boot Options commands include firmware that issues this message to an alert-sending device after retrieving any boot options from the device using the *Get Boot Options* message.

1	7	1	1	8	1	8	1	
S	Slave Address	Wr	A	Command	A	Byte Count	A	...
	Alert-sending Device Address	0	0	ASF Configuration 0000 0011	0	0000 0010	0	

8	1	8	1	8	1	1
Data1	A	Data2	A	PEC	A	P
Sub Command Clear Boot Options 0001 0101	0	Version Number 0001 0000	0	[data dependent]	0	

## 5.3 Discovery and Status Messages

### 5.3.1 Device Type Poll Message

The Device Type Poll message allows an SMBus master to further determine the characteristics of an SMBus 2.0 device that responds to an ARP cycle with the ASF bit of its Interface byte set to 1. The device, currently either an ASF-sensor or an alert-sending device, returns its ASF Function Bits:

#### Bit(s) Meaning

- 7:5 Reserved for future assignment by this specification, set to 000b.
- 4 Set to 1b if the alert-sending device supports the ASF security extensions.
- 3 Set to 1b if the alert-sending device's transport media is valid, or up. This bit is always 0b for ASF-sensor devices.
- 2 Set to 1b if the alert-sending device has been configured with the information required to send PET frames. This bit is always 0b for ASF-sensor devices.
- 1:0 **ASF Device Type**, set to one of the following enumerated values:
- 11b Reserved for future definition by this specification.
  - 10b The device is an ASF-sensor.
  - 01b The device is an alert-sending device.
  - 00h Reserved for future definition by this specification.

1	7	1	1	8	1	1	7	1	1	8	1	...
S	Slave Address	Wr	A	Command	A	S	Slave Address	Rd	A	Byte Count	A	...
	ASF Device Address	0	0	Sensor Device 0000 0001	0		ASF Device Address	1	0	0000 0011	0	

8	1	8	1	8	1	8	1	1
Data1	A	Data2	A	Data3	A	PEC	~A	P
Sub Command Device Type Poll 0001 0011	0	Version Number 0001 0000	0	ASF Function Bits	0	[data dependent]	1	

### 5.3.2 Set System State Message

This message is used by the managed client's firmware to record the client's current *System State* into an alert-sending device. The alert-sending device reports the *System State* written by this message in subsequently issued ASF-RMCP *System State Response (42h)* messages; section 3.2.4.5 defines the format of the *System State* byte.

**Note:** The alert-sending device is responsible for maintaining the *System State* information. After a reset, the alert-sending device reports the value as *Unknown* (1110b) until the device receives the first *Set System State* message.

The *Set System State* message format is as follows:

1	7	1	1	8	1	8	1	8	1	...
S	Slave Address	Wr	A	Command	A	Byte Count	A	Data1	A	...
	Alert-sending Device Address	0	0	System State 0000 0001	0	0000 0011	0	Sub Command Set System State 0001 1000	0	



8	1	8	1	8	1	1
Data 2	A	Data3	A	PEC	A	P
Version Number 0001 0000	0	System State	0	[data dependent]	0	

## 5.4 Remote-Control Device Action Message

An alert-sending device forces a remote control action to the managed client via a Remote-Control Device Action message. If the managed client supports ASF-RMCP remote-control actions, the client's firmware publishes the remote-control device types and addresses via an ASF-defined ACPI data structure (see 4.1.2.4 ASF\_RCTL). That data structure contains the *Control Device Address*, *Control Command*, and *Control Data Value* fields that the alert-sending device uses in the SMBus message to force the device action.

The data returned by that ACPI control method indicates whether or not a remote-control device's command must include a PEC. An alert-sending device uses the SMBus Byte Write command to initiate the remote-control action, either

... without a PEC:

1	7	1	1	8	1	8	1	1
S	Slave Address	Wr	A	Command	A	Write Data	A	P
	Control Device Address	0	0	Control Command	0	Control Data Value	0	

... or with a PEC:

1	7	1	1	8	1	8	1	8	1	1
S	Slave Address	Wr	A	Command	A	Write Data	A	PEC	A	P
	Control Device Address	0	0	Control Command	0	Control Data Value	0	[data dependent]	0	

## 5.5 Legacy Sensor Device Alert Poll Message

An alert-sending device polls legacy sensors to determine if status bits are set, indicating that an alert should be sent. If the managed client supports ASF legacy-device alerts, the client's firmware publishes the legacy-device configuration and access information via an ASF-defined ACPI data structure (see 4.1.2.2 ASF\_ALRT). That structure contains the *Alert Device Address* and *Alert Command* fields that the alert-sending device uses in the SMBus message to read the legacy-device's current status. The control method's returned data also identifies compare conditions as well as PET field data that the alert-sending device uses to format a PET frame if the specified condition is active. See section 6.1.2 for additional information regarding the methods an alert-sending device uses in the polling of legacy-device sensors.

The format of the message is an SMBus Byte Read transaction:

**Caution:** This command is a Byte Read — an atomic write/read command. This is different than a combination of 2 separate commands: Send Byte and Receive Byte. To avoid multi-master problems, the atomic Byte Read must be used instead of the 2 individual commands.

1	7	1	1	8	1	1	7	1	1	8	1	1
S	Slave Address	Wr	A	Command	A	S	Slave Address	Rd	A	Read Result	~A	P
	Legacy Sensor Device Address	0	0	Alert Command	0		Legacy Sensor Device Address	1	0		1	

## 6 SMBus Device Characteristics

This section describes the behavior and requirements for SMBus devices that are defined by this specification, ASF-sensor, legacy-sensor, and remote-control devices. The primary differences between these device types are summarized below.

### Legacy-sensor devices

- No well-defined or commonly accepted hardware interface for providing device information (e.g. manufacturer, class, etc.)
- Primitive mechanisms for generating and clearing alert events
- No standard alert information associated with hardware events
- Only supports fixed slave addresses

### ASF-sensor devices

- Well-defined hardware interface for providing device information
- A standard and consistent method for generating alert events regardless of sensor type
- A standard SMBus packet format for processing alert events
- Support for fixed address devices (i.e. system-resident) and dynamically addressed devices (i.e. ARP-capable; PCI add-in card-resident)

### Remote Control devices

- A defined SMBus transaction type (Byte Write).
- System defined values for various remote control actions.

### 6.1 Legacy Sensor Devices

If legacy sensor devices are included in an ASF managed client's implementation, the client's firmware identifies the characteristics and access methods for the devices via the *ASF\_ALERT* ACPI data structure.

#### 6.1.1 *Sensor Requirements*

To limit the scope of sensors supported by an alert-sending device, the following behavior must be apply for a legacy-sensor device to be used in an ASF environment:

1. The alerts must be poll-able by doing an SMBus Byte Read message.
2. Individual status bits in the Byte Read's Read Result value must identify the alerts.
3. The alert status bits must be either:
  - A. Level (always indicating the current status), or
  - B. Automatically cleared after the Byte Read is done, but set again before the next Byte Read if the event is still active. The legacy-sensor device must clear the status within the Minimum Legacy Sensor Poll Time specified by the managed client's firmware, see 4.1.2.2 for more information.

4. All status bits returned by the device (in the *Read Result* data byte response to an SMBus Byte Read message) that are set to 1b within the device's ASF\_ALERTDATA *Alert Data Mask* must always be valid, regardless of the power state of the function monitored by that status bit. For example, if a bit indicates a CPU voltage low error, that bit must return 0b when the system is in a sleep state and the CPU is not powered or a false error will result. Alternatively, the implementation might cause the device to not respond to polling (by responding with a NACK to its SMBus address) during a low-power, sleep, or standby state. In this case, the alert-sending device will skip updating its event state information for this device until the device again responds to polling.

It is the managed client's responsibility to guarantee that the sensor reports valid data for each supported power state or that the sensor does not respond to polling cycles in the unsupported power states. This may be accomplished by hardware or software mechanisms.

**Note:** If the implementation elects to have a device stop responding, it is the system's responsibility to ensure that the alert-sending device does not get corrupted data if a power transition occurs during a legacy sensor polling cycle.

### 6.1.2 Usage of Firmware Legacy Sensor Device Alert Information

An alert-sending device, acting as an SMBus master, periodically polls the legacy sensor device associated with each entry in the data structure described in section 4.1.2.3. Each entry contains the *Alert Device Address* and *Alert Command* values that an alert-sending device sets in an SMBus *Legacy Sensor Device Alert Poll Message* as defined in section 5.5. These poll cycles are used to see whether the condition specified by the entry has been asserted.

**Note:** ASF-Sensor devices, described in 6.2, are the preferred hardware implementation for sensors. Only legacy sensor devices that meet the criteria specified in appendix C.3 are supported by this specification.

This process assumes two variables, **current** and **past**. The **past** variable must be set to 00h by the alert-sending device when the device is reset.

The alert-sending device uses the procedure described below for each entry in the ASF\_ALERTDATA structure:

1. Use the SMBus Read Byte protocol with the SMBus *Slave Address* field set to the entry's *Alert Device Address* value and the SMBus *Command Code* field set to the entry's *Alert Command* value.
2. Perform a bit-wise AND of the SMBus message's *Read Result* with the entry's *Alert Data Mask* value. The result of this operation is the **current** status.
3. If assertion events for the entry are enabled (as specified in the ASF\_ALERT structure's *Assertion Event Mask*), determine whether an assertion event has occurred or not. If so, the alert-sending device sends a PET frame that includes the Alert PET-related information in the entry. An assertion event has occurred if either of the following cases (A or B) are true:
  - A. Bit Mask Assertion Event – true if all of the following are true:
    - i) Bit 0 of the entry's *Alert Device Address* field is cleared (0b).
    - ii) A bit in the **current** status is set and the entire **past** status is cleared.
  - B. Compare Byte Assertion Event – true if all of the following are true:
    - i) Bit 0 of the entry's *Alert Device Address* field is set (1b).
    - ii) A bit in the **current** status is different than the corresponding bit in the **past** status.
    - iii) The **current** value matches the entry's *Alert Compare Value*.
4. If de-assertion events for the entry are enabled (as specified in the ASF\_ALERT structure's *De-AssertionEventMask*), determine whether a de-assertion event has occurred or not. If so, the alert-sending device sends a PET frame that includes the PET-related information in the entry and sets the de-assertion bit (bit 7) within the PET frame's *Event Offset* field.. A de-assertion event has occurred if either of the following cases (A or B) are true:

- A. Bit Mask De-assertion Event – true if all of the following are true:
    - i) Bit 0 of the entry's *Alert Device Address* field is cleared (0b).
    - ii) The entire **current** status is cleared and any bit in the **past** status is set.
  - B. Compare Byte De-assertion Event – true if all of the following are true:
    - i) Bit 0 of the entry's *Alert Device Address* field is set (1b).
    - ii) A bit in the **current** status is different than the corresponding bit in the **past** status.
    - iii) The **current** value does **not** match the entry's *Alert Compare Value* and the **past** value does match the entry's *Alert Compare Value*.
5. The **current** status is copied into the **past** status.

## 6.2 ASF-Sensor Devices

An ASF-Sensor device must meet the requirements detailed in this section and implement the following ASF SMBus commands:

- Get Event Data message for ASF Sensors (section 5.1.1.1)
- Get Event Status message for ASF Sensors (section 5.1.1.2)
- Device Type Poll message (section 5.3.1)

This specification recommends that an ASF-sensor support all SMBus 2.0 protocols, including those required for discovery via ARP. Lower-cost, fixed-address ASF-sensor devices can be “discovered” by an alert-sending device via the managed client’s firmware methods, see section 4 for more information.

**Note:** Some SMBus 1.x and 2.0 host controllers do not support the SMBus 2.0 "Block Write-Block Read Process Call" transaction necessary to issue the *Get Event Data* and *Get Event Status* messages. An ASF-sensor designer might want to take this into consideration and design an alternate interface to enable the host controller to access the device’s event status and data.

### 6.2.1 Device Identification

New sensor devices must implement the 128-bit Unique Device Identifier (UDID) as defined by [SMBUS\_2.0]. New sensor devices that have fixed addresses are not required to support the full ARP command set; support for the *directed Get UDID* command is the only requirement. If a fixed-address device is not discoverable, the managed client’s firmware publishes the device’s fixed address in the *ASF\_ADDR* information record.

**Recommended:** New fixed-address ASF-sensor devices support the ARP commands necessary to support device discovery.

The UDID content is summarized below, but the format and content is controlled by [SMBUS\_2.0].

8 bits	8 bits	16 bits	16 bits	16 bits	16 bits	16 bits	32 bits
Device Capabilities	Version / Revision	Vendor ID	Device ID	Interface	Subsystem Vendor ID	Subsystem Device ID	Vendor Specific ID
<b>MSB</b>				<b>LSB</b>			

<b>Device Capabilities</b>	Describes the device’s capabilities, including the device’s address type and PEC support indications.
<b>Version / Revision</b>	UDID version number, and silicon revision identification.
<b>Vendor ID</b>	The device manufacturer’s ID as assigned by the SBS Implementers’ Forum or the PCI SIG.
<b>Device ID</b>	The device ID as assigned by the device manufacturer (identified by the Vendor ID field).
<b>Interface</b>	Identifies the protocol layer interfaces supported over the SMBus

	connection by the device. For example, ASF (bit 5) and IPMI.
<b>Subsystem Vendor ID</b>	This field may hold a value derived from any of several sources: <ul style="list-style-type: none"> <li>• The device manufacturer's ID as assigned by the SBS Implementers' Forum or the PCI SIG.</li> <li>• The device OEM's ID as assigned by the SBS Implementers' Forum or the PCI SIG.</li> <li>• A value that, in combination with the Subsystem Device ID, can be used to identify an organization or industry group that has defined a particular common device interface specification.</li> </ul>
<b>Subsystem Device ID</b>	The subsystem ID identifies a specific interface, implementation, or device. The party identified by the Subsystem Vendor ID field defines the Subsystem ID.
<b>Vendor-specific ID</b>	A unique number per device.

### 6.2.2 Event Generation and Clearing

Events reported by an ASF sensor must be level events from the alert-sending device perspective. This behavior allows the Alert Sending Device to detect the state transitions and send assertion and de-assertion alerts, and allows a managed client to include multiple alert-sending devices.

An implementer may choose to design a "sticky" event that requires a mechanism to be cleared. In these cases, the clear mechanism is outside the scope of the ASF specification. An example of a desired "sticky" event is a "chassis intrusion" detection circuit.

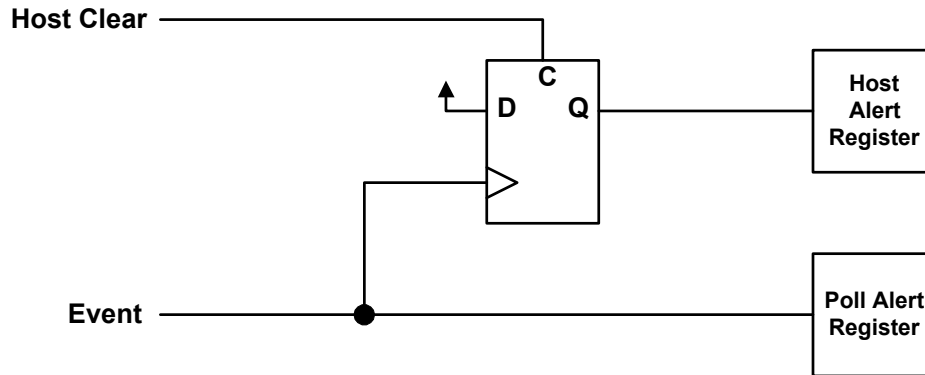
**Note:** Events monitored under the ASF context are intended to be low frequency and generally stable and monotonic. This is a general requirement due to the uncertain speed of the SMBus. This specification does not limit the event transition frequency of a sensor's event. Instead, implementers are recommended to implement "sticky" events where critical events may have a transition frequency that may allow the event to go undetected.

### 6.2.3 Alert Status

ASF-sensor devices will implement event generation and clearing with the following behavior:

- Sensor must contain an active-high, level-sensitive status register bit for each event; the sensor may also optionally implement an edge-triggered status bit for host system notification and event processing.
- The alert-sending device (e.g. NIC) is responsible for edge-detection of an event (i.e. the alert-sending device must recognize when the alert event is first generated).
- "Sticky" event status bits are cleared by the mechanisms unique to the managed client system (e.g. BIOS, DMI, CIM). The alert-sending device is not required to clear sticky event status.

Illustrated below is a conceptual example of an alert event logic structure with the optional edge-triggered bit for the host. For one event there are two register bits within the sensor device. The bit in the Poll Alert Register is simply the level-sensitive event. The bit in the Host Alert Register is implemented as a "sticky" bit; this bit is set when the event transitions from inactive to active and can only be cleared by some action taken by the host. This bit could also feed additional logic to generate a host system interrupt.



### 6.2.4 Device Power On Reset Time

ASF-sensor and remote-control devices are allowed up to 500ms to complete their Power On Reset once they have detected that their supply power is stable. These devices don't appear on the SMBus (i.e. a NACK is generated for the associated slave address) before the device is prepared to communicate.

**Note:** Alert-sending devices that begin communicating over the SMBus after auxiliary power has stabilized but before main power has stabilized must tolerate a change in available devices; there may be a mix of auxiliary powered and main powered types.

## 6.3 Remote Control Device

If remote-control devices are included in an ASF managed client's implementation, the client's firmware identifies the characteristics and access methods for the devices via the ASF\_RCTL ACPI data structure.

### 6.3.1 Device Requirements

Beyond the SMBus transaction requirements in section 5.4, remote-control devices must meet the following requirements:

1. The remote control actions must be write-able by doing an SMBus Byte Write transaction.
2. The remote control action must be initiated immediately by the managed client system's hardware after the *Remote-Control Device Action Message* is issued by the alert-sending device.
3. The remote control actions must work independent of local client software support.

### 6.3.2 Usage of Firmware Remote Control Device Information

An alert-sending device, acting as an SMBus master, will issue SMBus Remote Control actions when the appropriate RMCP remote control packet is received (see 3.2.4.1 and 3.2.4.2 for more information). For the appropriate remote control action, the ASF\_RCTL fields *Control Device Address*, *Control Command*, and *Control Data Value* are used for the SMBus *Device Address*, *Command*, and *Data Write*, respectively. Additionally, bit 0 of the ASF\_RCTL *Control Device Address* field determines whether (1) or not (0) a PEC is appended to the Byte Write command by the alert-sending device.

### 6.3.3 Remote Control Functions

The remote control functions supported by this specification are defined here; the duration of each of these operations is managed client system-specific.

### **Reset**

The reset function causes a low latency reset of the system. This reset must, at a minimum, reset the host processor(s) and cause PCI Reset# to be asserted so that all devices on the PCI bus are initialized.

If supported, the reset function is required to produce the reset in these system states:

- *S0/G0 “working”*
- *S1*
- *S2*
- *S3*
- *Legacy ON state.*
- *Sleeping in an S1, S2, or S3 state, or Legacy SLEEP*
- *G1 sleeping*

Operation in all other system states is undefined by this specification.

### **Power-Up**

The power-up function brings a sleeping system into the *S0/G0 “working”* state or into the *Legacy ON state*.

If supported, the power-up function is required to produce the power-up in any of these sleeping states:

- *S4*
- *S5/G2*
- *S4/S5 soft-off*
- *S5 entered by override*
- *Legacy OFF*

Operation in all other system states is undefined by this specification.

### **Unconditional Power-Down**

The unconditional power-down function forces the system into an *S5 entered by override* or a *Legacy OFF* powered off state. This power-down occurs without any blocking from software or the system. Because of this, ACPI and system state context is not guaranteed to be preserved.

The unconditional power-down function is required to produce the power-down in these system states:

- *S0/G0 “working”*
- *S1*
- *S2*
- *S3*
- *Legacy ON state*
- *Sleeping in an S1, S2, or S3 state, or Legacy SLEEP*
- *G1 sleeping*

Operation in all other system states is undefined by this specification.

### **Power Cycle Reset**

The power cycle reset function causes a hard reset of the system. This reset must be functionally equivalent to an Unconditional Power-Down operation, followed by a Power Up operation.

If supported, the power cycle reset function is required to produce the reset in these system states:

- *S0/G0 “working”*
- *S1*

- S2
- S3
- *Legacy ON state*
- *Sleeping in an S1, S2, or S3 state, or Legacy SLEEP*
- *G1 sleeping.*

Operation in all other system states is undefined by this specification.



## Appendix A Additions to PET Specification 1.0

### A.1 Modem Support

There needs to be a new entry for the Trap Source Type and Event Source type for Modems. 60h – 67h

### A.2 Battery Sensor

To support system battery-related events a new Event Sensor Type along with a set of Sensor-specific Offsets should be added as follows:

Battery	29h	00h	Battery low
		01h	Battery failure
		02h	Battery presence detected

### A.3 System Firmware Error/Progress Descriptor

To support industry-standard system progress and error handling, the “POST Error” Sensor Type defined by [PET\_1.0] is renamed and extended as described below.

Sensor Type	Sensor Type Code	Sensor-specific Offset	Event
System Firmware Error/Progress Descriptor	0Fh	00h	Standard System Firmware Error. The Event Data 2 field contains a further error descriptor, as described in 3.1.5.2 <i>System Firmware Error Events</i>
		01h	Standard System Firmware Hang. The Event Data 2 field contains a further error descriptor, as described in 3.1.5.3 <i>System Firmware Progress Events</i> .
		02h	Standard System Firmware Progress. The Event Data 2 field contains a further progress descriptor, as described in 3.1.5.3 <i>System Firmware Progress Events</i> .
		03h	OEM-specific System Firmware Error.
		04h	OEM-specific System Firmware Hang Error
		05h	OEM-specific System Firmware Progress

### A.4 Device Relative Entity Instances

The present PET specification defines an Entity Instance number that identifies different instances of the same entity type in the system. For example, if you have three fans, the entity instance value allows you to tell one fan from another by allowing an Entity Instance number to be assigned. Typically, a system with three fans would assign instance numbers 1, 2, and 3 to the fans - though there is no requirement that instance numbers be consecutive or sequential starting from 1.

The Entity Instance numbers are presently assigned relative to the entire *system*. Which means that a given number can only be used once in the entire system. This leads to a problem for add-in devices, or pre-configured sub-systems. For example, you could not pre-assign entity instance numbers to entities on an add-in card, because if you put two identical cards in the system, their instance numbers would conflict.

Thus, the definition of Entity Instance number needs to be changed to allow sensor device relative instance numbers. The following table shows the proposed change to accomplish this by splitting the entity instance number into two ranges: one for system relative and another for sensor device relative.

**Table , Entity Instance Number**

Bit	Description
7	0 = physical entity, 1 = logical entity
6:0	Entity Instance number 00h-5Fh = system relative 60h-7Fh = sensor device relative

*00h-5Fh = System Relative. Instance number must be unique for each different entity of type Entity ID in the system. This range is used for devices that have a fixed enumeration (i.e. Not add in cards)*

*60h-7Fh = Sensor Device Relative. Instance number is unique for each different entity of type Entity ID for the sensor device that provides access to the sensors for the entity. The entity is uniquely identified by the combination of the Sensor Device number and the Entity Instance number.*

Note that when using a Sensor Device Relative instance number, all sensors for the entity must be provided by one sensor device. Otherwise, it may appear that there are more instances of the entity than are actually present. For example, suppose an add-in card has monitoring for both fan current and fan speed for a single fan. If the fan current was monitored via sensor device 2 and the fan speed monitored via sensor device 1, then the trap for fan current would return sensor device number 1 and a trap for fan speed would return sensor device number 2. Because the sensor device number is now part of what identifies a unique entity, software would view these traps as being from different fans, even if the Sensor Device Relative instance number were the same.

## A.5 Event Source Type

A range for an ASF Event Source Type must be added to the PET specification to support the proper PET frame from an ASF entity. This text below is the proposed addition to the Event Source Type definition of the PET specification:

ASF                    68h – 6Fh

## A.6 Sensor Type = 23h (Watchdog 2) Updates

This sensor type defines Event Data 2 values that further describe the system state at the time of the watchdog event. The possible values for the “timer use at expiration”, present in bits 3:0 of this field must be extended to provide a discrete code to describe a system boot failure:

6h                    = System boot failure.

## A.7 IPMI 1.0 Entity IDs

A mismatch between [PET\_1.0] and [IPMI\_1.0] was found in the Entity IDs table. The following changes are required to make [PET] match [IPMI\_1.0]:

Code	Entity
19d	power unit / power domain (typically used as a pre-defined logical entity for grouping power)
20d	power module / converter
21d	power management / power distribution board
22d	chassis back panel board
23d	system chassis
24d	sub-chassis
25d	Other chassis board

Code	Entity
26d	Disk Drive Bay
27d	Peripheral Bay
28d	Device Bay
29d	fan / cooling device
30d	cooling unit (can be used as a pre-defined logical entity for grouping fans or other cooling devices)
31d	cable / interconnect
32d	memory device (This Entity ID should be used for replaceable memory devices, e.g. DIMM/SIMM. It is recommended that Entity IDs not be used for individual non-replaceable memory devices. Rather, monitoring and error reporting should be associated with the FRU [e.g. memory card] holding the memory.)
33d	System Management Software
34d	BIOS
35d	Operating System
36d	system bus
37d	Group - this is a logical entity for use with Entity Association records. It is provided to allow a sensor data record to point to an Entity-association record when there is no appropriate pre-defined logical entity for the entity grouping. This Entity should not be used as a physical entity.

## A.8 Entity and Sensor support for Alert Sending Devices

This value is added to the Entity ID table to allow for better reporting from an ASF Sending Device:

Code	Entity
38d	Out of Band (OOB) Management Communication Device

This value is added to the Sensor Types table to allow for better reporting from an ASF Sending Device:

Sensor Type	Sensor Type Code	Sensor-Specific Offset	Event
Management Subsystem Health	28h	-	-

## A.9 Specific Trap Field Clarification

The PET Specification v1.0 [PET\_1.0] includes a table that describes the organization of the "Specific Trap" field (Table 2 on page 3 of that specification). Within that table, the value range associated with generic event types is incorrect and a later version of the PET Specification should be updated to read (the text that is **bold** is the addition/change required):

### 15:8 Event Type

Code indicating what type of transition/state change triggered the trap. (Corresponds to the IPMI "Event Type" field). The code is split into the following ranges:

**00h** = unspecified

01-0Ch = generic -- can be used with any type of sensor

...

## Appendix B ASF Entity Section Map

This section identifies the relevant ASF specification sections for each type of ASF entity:

### B.1 Alert Sending Device

- 3.1.1 PET Frame Behavior
- 3.1.2 Agent Address Field
- 3.1.3 Specific Trap Field
- 3.1.4 Variable Bindings Fields
- 3.1.5.5 System Heartbeat
- 3.1.5.6 System Boot Failure
  
- 3.2.5 RMCP Usage Scenarios
- 4.1.2.1 ASF\_INFO
- 4.1.2.2 ASF\_ALERT
- 4.1.2.3 ASF\_ALERTDATA
- 4.1.2.5 ASF\_CONTROLDATA
- 5 ASF SMBus Messages
  - 5.1.1.1 Get Event Data
  - 5.1.1.2 Get Event Status
  - 5.1.4.1 Start Watchdog Timer
  - 5.1.4.2 Stop Watchdog Timer
  - 5.1.5.1 Message with Retransmission
  - 5.1.5.2 Message without Retransmission
- 5.2.1 Get Boot Options
  - 5.2.1.1 Return Boot Options
  - 5.2.1.2 No Boot Options
- 5.2.2 Boot Options Clear
- 5.3.1 Device Type Poll Message
  
- 6 SMBus Device Characteristics
  - 6.1.1 Sensor Requirements
  - 6.1.2 Usage of Firmware Legacy Sensor Device Alert Information
- 6.2 ASF-Sensor Devices
  - 6.2.1 Device Identification
  - 6.2.2 Event Generation and Clearing
  - 6.2.3 Alert Status
  - 6.2.4 Device Power On Reset Time
- 6.3.1 Device Requirements
- 6.3.2 Usage of Firmware Remote Control Device Information
- 6.3.3 Remote Control Functions

### B.2 Legacy Sensor

- 4.1.2.2 ASF\_ALERT
- 4.1.2.3 ASF\_ALERTDATA
  
- 6 SMBus Device Characteristics
  - 6.1.1 Sensor Requirements

### B.3 ASF Sensor

- 3.1.3 Specific Trap Field
- 3.1.4 Variable Bindings Fields
- 3.1.5.1 Environmental Events

- 4.1.2.1 ASF\_INFO
- 5.1.1.1 Get Event Data
- 5.1.1.2 Get Event Status
- 6 SMBus Device Characteristics
- 6.2 ASF-Sensor Devices
- 6.2.1 Device Identification
- 6.2.2 Event Generation and Clearing
- 6.2.3 Alert Status
- 6.2.4 Device Power On Reset Time

## B.4 Remote Control Device

- 4.1.2.5 ASF\_CONTROLDATA
- 6 SMBus Device Characteristics
- 6.3.1 Device Requirements
- 6.3.2 Usage of Firmware Remote Control Device Information
- 6.3.3 Remote Control Functions

## B.5 Firmware

- 3.1.5.2 System Firmware Error Events
- 3.1.5.3 System Firmware Progress Events
- 4 Firmware Interfaces
- 4.1 ACPI Definitions
- 4.1.1 Control Methods
- 4.1.2.1 ASF\_INFO
- 4.1.2.2 ASF\_ALERT
- 4.1.2.3 ASF\_ALERTDATA
- 4.1.2.4 ASF\_RCTL
- 4.1.2.5 ASF\_CONTROLDATA
- 4.1.2.7
- 5.1.4.1 Start Watchdog Timer
- 5.1.4.2 Stop Watchdog Timer
- 5.1.5.1 Message with Retransmission
- 5.1.5.2 Message without Retransmission
- 5.2.1 Get Boot Options
- 5.2.1.1 Return Boot Options
- 5.2.1.2 No Boot Options
- 5.2.2 Boot Options Clear
- 5.3.1 Device Type Poll Message

## B.6 Operating System

- 3.1.5.4 OS Events
- 5.1.4.1 Start Watchdog Timer
- 5.1.4.2 Stop Watchdog Timer
- 3.2.5 RMCP Usage Scenarios

## B.7 Local Alert Configuration Software

- 3.1.1 PET Frame Behavior
- 3.1.2 Agent Address Field
- 3.1.3 Specific Trap Field
- 3.1.4 Variable Bindings Fields
- 3.1.5.5 System Heartbeat
- 3.1.5.6 System Boot Failure
- 3.2.5 RMCP Usage Scenarios
- 4.1.2.1 ASF\_INFO

- 4.1.2.2 ASF\_ALERT
- 4.1.2.3 ASF\_ALERTDATA
- 4.1.2.4 ASF\_RCTL
- 4.1.2.5 ASF\_CONTROLDATA
- 4.1.2.7
- 5.1.4.1 Start Watchdog Timer
- 5.1.4.2 Stop Watchdog Timer
- 5.3.1 Device Type Poll Message

## B.8 Remote Console Software

- 3.1.1 PET Frame Behavior
- 3.1.2 Agent Address Field
- 3.1.3 Specific Trap Field
- 3.1.4 Variable Bindings Fields
  
- 3.2.5 RMCP Usage Scenarios

## Appendix C ASF Entity Function Checklists

This section contains the entity-specific checklists that define requirements and recommendations for an ASF implementation.

### C.1 Alert Sending Device

The following table identifies the required and optional features for an ASF alert-sending device. See also *C.2 Local Alert-Sending Device Configuration Software*.

Table D-1 Alert-Sending Device Checklist for ASF Implementations

####	Description	
AS1	The device includes an SMBus 2.0-compliant master/slave controller, and external connection to a system's SMBus.	Required
AS2	The device re-transmits PET frames, and meets the functionality described in section 3.1.1.	Required
AS3	The device sets the <i>Agent Address</i> field of any transmitted PET's <i>Protocol Data Unit</i> per the RFC associated with the transport method, see section 3.1.2.	Required
AS4	The device supports the IPv4 (IP version 4) protocol.	Required
AS5	The device statically assigns the <i>Trap Source Type</i> field of issued PETs to identify the type of the source device, see section 3.1.4.	Required
AS6	The device supports transmission of a system heartbeat message, see 3.1.5.5.	Optional
AS7	The device includes a watchdog timer.	Required
AS8	The device implements the functionality required to support a system boot-failure alert; see 3.1.5.6.	Required
AS9	The device provides support for all ASF-RMCP message types and formats described in section 3.2 that have an IANA Enterprise Number value of 4542 (ASF).	Required
AS10	The device provides support for RMCP Message Class values other than 6 (ASF).	Optional
AS11	The device provides support for ASF-RMCP messages that have an IANA Enterprise Number value other than 4542 (ASF).	Optional
AS12	The device responds to RMCP control messages (see 3.2.4.1 and 3.2.4.2) as configured by its OS-present configuration software.	Required
AS13	If the device has not been configured, it does not respond to RMCP control messages (see 3.2.4.1 and 3.2.4.2).	Required
AS14	If there are legacy sensors in the system (as described in the system's ACPI implementation, see 4.1.2.2 <i>ASF_ALERT</i> ), the alert-sending device periodically polls the devices to determine the devices' current status and sends any associated ASF alerts. Refer to 6.1.2 for additional information.	Required
AS15	If there are legacy sensors in the system, the alert-sending device uses a 4-second <i>Minimum Legacy Device Poll Time</i> .	Required
AS16	The device polls ASF sensors using the system-specified <i>Inter-poll Wait Time</i> (see 4.1.2.1), as stored by the device's configuration software in device-specific non-volatile memory.	Required
AS17	If there are ASF sensors in the system, the alert-sending device issues periodic SMBus <i>Get Event Data</i> and/or <i>Get Event Status</i> messages to determine the devices' current status and sends any associated ASF alerts.	Required
AS18	The device responds to the SMBus <i>Device Type Poll</i> message, see 5.3.1.	Required
AS19	The device maintains its current link status, and reports this status in the <i>Device Type Poll</i> response.	Required

####	Description	
AS20	The device responds to the SMBus <i>Set System State</i> message (see 5.3.2) and returns the last value written in response to an RMCP System State Request (see 3.2.4.10). If no value is written by the system firmware, the device responds with a System State value of "Unknown".	Required
AS21	The device responds to the SMBus <i>Start Watchdog Timer</i> and <i>Stop Watchdog Timer</i> messages, issuing the associated ASF alert if the timer is not reset within the required amount of time.	Required
AS22	The device responds to the SMBus Boot Options messages (see 5.2).	Required
AS23	The device responds to the SMBus Push messages (with and without retransmission) and transmits the requested ASF alerts.	Required
AS24	A system board-set device (e.g. soldered onto the motherboard) has an assignable SMBus device address.	Optional
AS25	A device on a card that plugs into an expansion bus has an assignable SMBus device address.	Required
AS26	The device supports AS12, AS15, and AS18 features for all system states from which the device is configurable to accept packets to wake the system.	Required

## C.2 Local Alert-Sending Device Configuration Software

The following table identifies the required and optional features for the OS-present configuration software provided with an alert-sending device:

Table D-2 Local Alert Configuration Software Checklist for ASF Implementations

####	Description	
CS1	The configuration software programs non-volatile memory for the alert-sending device with the <i>Minimum Watchdog Reset Value</i> , <i>Minimum ASF Sensor Inter-poll Wait Time</i> , <i>Manufacturer ID</i> , and <i>System ID</i> values present in the system firmware's ACPI implementation (see 4.1.2.1 <i>ASF_INFO</i> ).	Required
CS2	The configuration software programs non-volatile memory for the alert-sending device with the managed client's UUID, as found in the system firmware's SMBIOS <i>System Information</i> structure.	Required
CS3	If the alert-sending device supports system heartbeat messages, the device's configuration software provides a user-settable rate at which the messages are transmitted and a method through which to disable the messages entirely.	Required
CS4	If the managed client supports legacy-device alerts (as described in the system's ACPI implementation, see 4.1.2.2 <i>ASF_ALERT</i> ) the alert-sending device's configuration software enables the device to send PET alerts based on the legacy-device status. Refer to 4.1.2.2 and 6.1.2 for additional information.	Required
CS5	The configuration software, when running on an ACPI-aware operating system, sets its alert-sending device's power-on wait time (via the ACPI SPWT control method) to the maximum of the device's fixed time and the value returned by the GPWT ACPI control method.	Required
CS6	If the managed client supports RMCP control messages (as described in the system's ACPI implementation, see 4.1.2.4 <i>ASF_RCTL</i> and 4.1.2.6 <i>ASF_RMCP</i> ) the alert-sending device's configuration software records the system-specific information into the device's non-volatile memory to enable the device to respond to the messages. Refer to 3.2.4.1 and 3.2.4.2 for additional information.	Required
CS7	The configuration software records the device's TCP/IP address into its non-volatile memory.	Required
CS8	The configuration software gathers the TCP/IP address of the management console to which alerts are sent, and records this address into the device's non-volatile memory.	Required



### C.3 Legacy Sensor

The following table identifies the required and optional features for an ASF legacy sensor.

Table D-3 Legacy Sensor Checklist for ASF Implementations

####	Description	
LS1	The alert associated with each sensor contained in the device must be pollable via an SMBus Byte Read command. Any sensor that reports a value, such as a voltage sensor, without an associated threshold and alert is not supported as an ASF legacy sensor.	Required
LS2	Individual status bits in the Read Result value returned by an SMBus Byte Read identify the device's alerts.	Required
LS3	All status bits returned by the device that are set to 1b within the Alert Data Mask published by the system firmware must always be valid. See 6.1.1 for more information.	Required
LS4	The alert status bits must be either level or automatically cleared after an SMBus Byte Read, but set again before the next Byte Read if the event is still active. See 6.1.1 for more information.	Required
LS5	The device responds to its address within 4 seconds (the <i>Minimum Legacy Sensor Poll Time</i> ).	Required

### C.4 ASF Sensor

The following table identifies the required and optional features for an ASF sensor.

Table D-4 ASF Sensor Checklist for ASF Implementations

####	Description	
SD1	The device is compliant with [SMBUS_2.0].	Required
SD2	The device, present on an expansion-bus card, supports an assignable SMBus address.	Required
SD2	The device, present on the system board-set (e.g. soldered onto the motherboard), supports an assignable SMBus address.	Optional
SD3	The device supports the SMBus <i>Device Type Poll</i> message (see 5.3.1), responding with ASF Function Bits set to 02h to indicate that it is an ASF sensor device.	Required
SD4	The device supports the SMBus <i>Get Event Data</i> and <i>Get Event Status</i> messages (see 5.1.1).	Required
SD5	The device's SMBus 2.0 UDID identifies the device as supporting ASF methods by setting bit 5 of the UDID Interface field to a 1.	Required
SD6	The sensor contains an active-high (logic level 1), level-sensitive status register for each event reported by the sensor.	Required
SD7	The sensor completes its power-on reset (POR) in 500 milliseconds from detection of stable supply power.	Required
SD8	The device supports SMBus PEC protocols for ASF-defined messages.	Required

### C.5 Remote Control Device

The following table identifies the required and optional features for a system's remote-control devices, i.e. the SMBus devices accessed by the alert-sending device to cause the system to power cycle, reboot, power on, or power off.

Table D-5 Remote Control Device Checklist for ASF Implementations

####	Description	
RD1	The device supports the SMBus Byte Write transaction to set the remote-control action.	Required

####	Description	
RD2	The remote-control action is initiated immediately after the alert-sending device sends the associated Byte Write SMBus message.	Required
RD3	The device supports its associated remote-control action as described in section 6.3.3.	Required
RD4	The device supports SMBus PEC protocols.	Optional

## C.6 System Firmware

The following table identifies the required and optional features for a managed client's firmware ASF implementation.

Table D-6 System Firmware Checklist for ASF Implementations

####	Description	
SF1	System includes an SMBIOS implementation, compliant with v2.3 or later of [SMBIOS].	Required
SF2	System includes an ACPI implementation, compliant with v1.0b or later of [ACPI]	Required
SF3	The system's ACPI implementation contains a single ASF device with PnP ID of "ASF0001" and provides support for the GPWT and SPWT control methods.	Required
SF4	The system's ACPI implementation includes the ASF_INFO information record in its ASF! ACPI description table.	Required
SF5	If the system includes legacy alert-source devices, and those devices' alerts are to be handled by an ASF-aware alerting device, the ACPI ASF! description table includes an ASF_ALRT information record.	Required
SF6	If the system includes devices that provide ASF RMCP system actions to an ASF-aware alerting device, the system's ACPI ASF! description table includes an ASF_RCTL information record.	Required
SF7	If the system includes SMBus devices that are not discoverable, the system's ACPI ASF! description table includes an ASF_ADDR information record.	Required
SF8	If feature SF6 is implemented, at most one (1) of these information records is present, and that structure can specify at most eight (8) device alerts.	Required
SF9	The system's SMBIOS implementation contains a <i>System Information</i> structure that provides the system's UUID/GUID.	Required
SF11	If the system supports ASF RMCP capabilities, the system's ACPI ASF! description table contains an ASF_RMCP information record.	Required
SF20	The system firmware implements one or more <i>System Firmware Error Events</i> .	Optional
SF21	The system firmware implements one or more <i>System Firmware Progress Events</i> .	Optional
SF22	The system firmware issues the SMBus <i>Stop Watchdog Timer</i> message within <i>Minimum Watchdog Timer Reset Value</i> seconds to disable the initial boot-failure watchdog timer in the alert-sending device.	Required
SF23	If the system supports ASF RMCP capabilities, the system firmware issues the SMBus <i>Get Boot Options</i> message as early as possible in its boot process to retrieve the boot options sent with the last received RMCP command, and subsequently issues the SMBus <i>Clear Boot Options</i> message to signify that the firmware has retrieved the options for the current boot. Boot Options information retrieved from the <i>Get Boot Options</i> message is reported by the firmware in the system's ACPI ASF_RMCP structure.	Required
SF24	The system firmware locates the alert-sending devices in the system by issuing the SMBus <i>Device Type Poll Message</i> and examining the results of that message.	Required
SF25	The system firmware issues the SMBus <i>Set System State Message</i> on system sleeping-state transitions.	Optional

###	Description	
SF51	The system identifies all fixed-address SMBus devices that are undiscoverable, including legacy devices, using a <i>Fixed SMBus Addresses (SEEPROM Record Type 06h)</i> record in an SMBus SEEPROM.	Optional
SF52	The system identifies all fixed-address SMBus devices, even those that are discoverable.	Optional
SF53	If the system includes legacy alert-source devices, and those devices' alerts are to be handled by an ASF-aware alerting device, the system identifies the legacy-device alert capabilities using an <i>ASF Legacy-Device Alerts (SEEPROM Record Type 07h)</i> record in an SMBus SEEPROM.	Optional
SF55	If the system includes devices that provide ASF RMCP system actions to an ASF-aware alerting device, the system identifies the access methods using an <i>ASF Remote Control (SEEPROM Record Type 08h)</i> record in an SMBus SEEPROM.	Optional