

- ⁵ Process for publishing XML schema, XML
- **6 documents and XSLT stylesheets**

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10

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Foreword

- 51 The Process for publishing XML schema, XML documents and XSLT stylesheets (DSP4009) was
- 52 prepared by the WIP working group of the DMTF.
- 53 DMTF is a not-for-profit association of industry members dedicated to promoting enterprise and systems
- 54 management and interoperability.

Introduction

56 This document describes the process the DMTF follows when publishing XML schema definitions, XML

57 instance documents and XSLT stylesheets. The document also defines the format for URIs of XML

58 namespaces used by published XML schema definitions and XML instance documents, and the

59 publication location for these documents.

62 **1 Normative References**

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

66 **1.1 Approved References**

| 67 68 | [W3C-XMLNS] | W3C: Namespaces in XML 1.0 (Second Edition), W3C Recommendation, August 16, 2006, available at http://www.w3.org/TR/xml-names/ |
|----------|---------------|---|
| 69 70 | [W3C-SCHEMA1] | W3C: XML Schema Part 1: Structures, Second Edition, W3C Recommendation, October 28, 2004, available at http://www.w3.org/TR/xmlschema-1/ |
| 71 72 | [RFC3986] | IETF RFC 3986: Uniform Resource Identifier (URI): Generic Syntax, January 2005, available at http://www.ietf.org/rfc/rfc3986.txt |
| 73 74 | [DSP4004] | DMTF DSP4004, DMTF Release Process, available at http://www.dmtf.org/standards/published_documents/DSP4004.pdf |
| 75 | [DSP0224] | DMTF DSP0224, DMTF CLP Command Response XML Schema |
| 76 77 | [DSP0226] | DMTF DSP0226, DMTF Web Services for Management, available at http://www.dmtf.org/standards/published_documents/DSP0226.pdf |
| 78 | [DSP0228] | DMTF DSP0228, DMTF Message Registry XML Schema |
| 79 80 | [DSP0230] | DMTF DSP0230, DMTF WS-CIM Mapping Specification, available at http://www.dmtf.org/standards/published_documents/DSP0230.pdf |
| 81 | [DSP8016] | DMTF DSP8016, DMTF WBEM Operations Message Registry |
| 82 | [DSP8000] | DMTF DSP8000, DMTF Message Registry Print List XSL File |
| 83 84 | [ABNF] | IETF RFC 4234: Augmented BNF for Syntax Specifications: ABNF, October 2005, available at http://www.ietf.org/rfc/rfc4234.txt |

85 1.2 Usage of ABNF

Any formats in this document are specified using Augmented BNF [ABNF] with the following additional rules:

- Rules separated by a vertical bar (|) represent choices, instead of using a slash (/) as defined in ABNF.
- 90 Note that ABNF defines that any items in rules shall be concatenated without inserting any implicit
- 91 whitespace characters between them, so any intended whitespace characters need to be specified
- 92 explicitly in the ABNF syntax.
- 93 Note that ABNF defines that any literal strings and rule names shall be treated case-insensitively.

94 2 URI Format for XML Namespaces

The URI used to identify the target XML namespace of an XML schema published by DMTF shall have the format defined in the following subclauses.

97 2.1 General URI Format

98 The format of the URI shall be as follows:

```
99 uri-format = "http://schemas.dmtf.org/" schema-area area-specific
```

- 100 Where:
- 101 schema-area = segment
- 102 schema-area is the name of a schema area within DMTF, as defined in 2.3 (Schema areas).
- 104 area-specific = "" | 1*("/" segment ["/" version])
- 105area-specific is the area-specific portion of the URI within a particular schema area,106as defined in 2.3 (Schema areas). An empty value shall be used only for publishing107stylesheets, as defined in 2.3.3 (Schema area "stylesheet").
- 108 segment = 1*(lc-unreserved)
- 109 segment is a segment between "/" delimiters that does not carry version information.
- 110 version = compatible-version | specific-version | other-version
- 111 version is a version in one of multiple formats.
- 112 compatible-version = number
- 113 compatible-version is a compatible version as defined in 2.2 (Versions in URIs)
- 114 specific-version = number "." number "." number
- 115 specific-version is a specific version as defined in 2.2 (Versions in URIs)
- 116 other-version = segment
- 117 other-version is an other version as defined in 2.2 (Versions in URIs)
- 118lc-unreserved is any character from the unreserved set of characters defined in section 2.3119"Unreserved Characters" of [RFC3986] that is not an upper case letter. In other words,120lc-unreserved is any character in the set of lower case letters (a-z), digits (0-9),121hyphen (-), dot (.), underscore (_) and tilde (~).
- number is a non-negative whole number in its decimal representation, without any leading zeros
 and without a plus (+) sign.
- 124 Note that this URI format is a subset of the general URI format defined in [RFC3986].
- 125 The DMTF Technical Committee is responsible for defining schema areas and for assigning responsibility
- for a schema area to one or more DMTF working groups. It is recommended to assign only a single working group to a schema area.
- The working groups are responsible for defining the structure of the area-specific portion of the URI for any of their schema areas. Working groups may structure the area-specific portion of the URI along one or more levels of sub-areas, where each segment represents one level of sub-areas. Working groups should follow the recommendations in 2.4 (Recommendations for schema area-specific portion of new URIs) when defining the structure of the area-specific portion of the URI for any of their schema areas.

- 133 The currently defined schema areas and their area-specific formats are defined in 2.3 (Schema areas).
- 134 The URI and any (resolvable) URLs defined in 3 (Publishing XML Schemas) shall be published in all
- 135 lower case. Note that some parts of a URI are case sensitive while others are case insensitive, as defined in section 6.2.2.1 "Case Normalization" of [RFC3986]. 136
- 2.2 Versions in URIs

137

138 This document defines three kinds of versions that may be used in the URIs defined in this document:

- 139 compatible version - the major version number of a version of a DMTF specification as defined 140 in [DSP4004]. A compatible version used in a URI shall refer to the latest published final version of that specification that has the specified major version number. 141
- 142 specific version - the three-part version number of a version of a DMTF specification as defined in [DSP4004]. A specific version shall refer to the published final version of that specification that 143 144 has the specified version number.
- 145 other version – any other version. This option should not be used for reference to versions of DMTF specifications and is provided in order to version specifications not owned by the DMTF. 146

As defined in the ABNF syntax in 2.1 (General URI Format), a URI may contain multiple versions and 147 148 multiple kinds of versions may be mixed in the same URI. An example for a URI with multiple versions is 149 the WS-CIM Mapping Specification [DSP0230] which uses a version for the DSP0230 specification itself,

and a version for the CIM Schema. 150

2.3 Schema areas 151

152 This subclause shall list all defined schema areas and the structure of their area-specific portions. If other

- documents normatively define the format of area-specific portions or parts thereof, then this subclause 153
- shall reference those documents and should not repeat those definitions. 154
- 155 This subclause shall be kept current, as schema areas are added, changed or deleted.

2.3.1 Schema area "wbem" 156

- 157 Assigned working group: WIP (WBEM Infrastructure & Protocols)
- 158 Schema area name:
- 159 schema-area = "wbem"
- 160 Structure of area-specific portion: The schema area "wbem" is divided into sub-areas. The area-specific portion shall have the following format: 161

| 162 | area-specific = "/biosattributeregistry/" biosattributeregistry-format |
|-----|--|
| 163 | "/messageregistry/" messageregistry-format |

- 164 "/wscim/" wscim-format |
- 165 "/wsman/" wsman-format

2.3.1.1 Schema sub-area "biosattributeregistry" 166

The format of the URI for the schema sub-area "biosattributeregistry" shall have the following format: 167

```
168
            biosattributeregistry-format = dsp0xxx-version
```

169 Where:

170 dsp0xxx-version is the version number of the upcoming DMTF BIOS Attribute Registry XML Schema specification, either as a compatible version or as a specific version, as defined 171 in 2.2 (Versions in URIs). 172

- 173 Example for the complete URI (compatible version):
- 174 http://schemas.dmtf.org/wbem/biosattributeregistry/1
- 175 Example for the complete URI (specific version):
- 176 http://schemas.dmtf.org/wbem/biosattributeregistry/1.0.1
- 177 BIOS Attribute registries published by DMTF shall use the specific version in the URI used to identify the
- 178 target XML namespace of the XML schema.

179 2.3.1.2 Schema sub-area "messageregistry"

180 The format of the URI for the schema sub-area "messageregistry" shall have the following format:

- 182 Where:
- 183dsp0228-version is the version number of the DMTF Message Registry XML Schema184specification [DSP0228], either as a compatible version or as a specific version, as185defined in 2.2 (Versions in URIs).
- 186 Example for the complete URI (compatible version):
- 187 http://schemas.dmtf.org/wbem/messageregistry/1
- 188 Example for the complete URI (specific version):
- 189 http://schemas.dmtf.org/wbem/messageregistry/1.0.1
- 190 Message registries published by DMTF shall use the specific version in the URI used to identify the target 191 XML namespace of the XML schema.

192 2.3.1.3 Schema sub-area "wscim"

- 193 The format of the URI for the schema sub-area "wscim" is normatively defined in the *DMTF WS-CIM* 194 *Mapping Specification* [DSP0230].
- 195 See there for examples.
- 196 DSP0230 defined artifacts published by DMTF shall use specific versions in the URI used to identify the 197 target XML namespace of the XML schema.

198 2.3.1.4 Schema sub-area "wsman"

- 199 The format of the URI for the schema sub-area "wsman" is normatively defined in *DMTF Web Services* 200 *for Management* [DSP0226].
- 201 See there for examples.
- 202 DSP0226 defined artifacts published by DMTF shall use specific versions in the URI used to identify the 203 target XML namespace of the XML schema.

204 2.3.2 Schema area "smash"

- 205 Assigned working group: Server Management
- 206 Schema area name:
- 207 schema-area = "smash"
- 208 Structure of area-specific portion: The schema area "smash" is divided into sub-areas. The area-specific 209 portion shall have the following format:
- 210 area-specific = "/commandresponse/" commandresponse-format

211 2.3.2.1 Schema sub-area "commandresponse"

212 The format of the URI for the schema sub-area "commandresponse" shall have the following format:

```
213 commandresponse-format = dsp0224-version "/dsp0224.xsd"
```

- 214 Where:
- 215dsp0224-version is the version number of the DMTF SM CLP Command Response XML216Schema [DSP0224], either as a compatible version or as a specific version, as defined217in 2.2 (Versions in URIs).
- Note that this URI does not follow the format rules defined in 2.1 (General URI Format) for historical
 reasons.
- 220 Example for the complete URI (compatible version):
- 221 http://schemas.dmtf.org/smash/commandresponse/1/dsp0224.xsd
- 222 Example for the complete URI (specific version):
- 223 http://schemas.dmtf.org/smash/commandresponse/1.0.1/dsp0224.xsd
- DSP0224 defined artifacts published by DMTF shall use the specific version in the URI used to identify the target XML namespace of the XML schema.

226 2.3.3 Schema area "stylesheet"

- This schema area is not used to construct URIs for XML namespaces. Instead, it is used to construct (resolvable) URLs for publishing XSLT stylesheets, as defined in 5 (Publishing XSLT Stylesheets). There is no working group assigned for this schema area.
- 230 Schema area name:
- 231 schema-area = "stylesheet"
- Structure of area-specific portion: The schema area "stylesheet" has no sub-areas. The area-specificportion shall have the following format:
- 234 area-specific = ""

235 **2.4 Recommendations for schema area-specific portion of new URIs**

- 236 The area-specific portion of the URI should follow the recommendations in this subclause.
- The area-specific portion should consist of the minimal number of segments that express the desired hierarchy, without introducing any redundancy. For example, using both a DSP number and a name that corresponds directly to that DSP number should be avoided.
- Usage of the DSP number of a specification should be avoided. Instead, names should be assigned for
 the segments by the working group. Note that this rule only applies to URIs, as defined in 3 (Publishing
 XML Schemas), the URLs used to publish documents do contain DSP numbers of specifications.
- 243 Segments that represent specifications or directly correspond to specifications should be followed with a 244 version that expresses the version of the specification. Specifications in this sense are:
- The CIM Schema
- Any DSP numbered document
- Any specification not owned by the DMTF
- 248 For any version defined in a URI, there should be a compatible and a specific version defined.

249 **3 Publishing XML Schemas**

- 250 XML schemas published by DMTF shall use the W3C XML Schema approach defined in [W3C-251 SCHEMA1] (i.e. .xsd files) to define the schema.
- 252 XML schemas published by DMTF shall be of the following kinds:
- 253 1. Static XML schemas.
- 254 A static XML schema is an XML schema whose W3C XML Schema Definition is a DSP 255 numbered document. An example for a static XML schema is the DMTF Message Registry XML 256 Schema Specification [DSP0228]. 257 The release of static XML schemas shall follow the process for DMTF standards defined in the 258 DMTF Release Process document [DSP4004], using a file extension of ".xsd". For example: 259 "DSP0228.xsd". 260 A static XML schema shall have a target XML namespace declaration (i.e. the 261 /schema@targetnamespace attribute). The format of the URI identifying the target XML 262 namespace of static XML schemas is defined in clause 2 (URI Format for XML Namespaces). 263 Publishing a static XML schema shall include publishing the following entities: 264 • Mandatory: The content of the DSP numbered .xsd file (i.e. the XML schema) under a 265 (resolvable) URL defined as follows: 266 publishing-url-static-schema = schema-uri ".xsd" 267 where: 268 schema-uri is the URI identifying the target XML namespace of that XML 269 schema, as defined in 2 (URI Format for XML Namespaces), where any 270 versions in the URI are either specific versions or other versions (as defined in 2.2 (Versions in URIs)). 271 272 Example for the complete URL: 273 http://schemas.dmtf.org/wbem/messageregistry/1.0.1.xsd 274 Optional: A DSP numbered document that defines the usage of the XML schema is • recommended to be published using the normal process for such documents. Such 275 documents shall not show the XML schema or pieces thereof in a normative way, but 276 277 they may show pieces of the XML schema in (non-normative) examples. 278 A separate DSP numbered document containing an HTML or other text formatted version created 279 from the static XML schema is not recommended to be published in addition, since it does not 280 provide any information in addition to the .xsd file. Any explanatory web pages close to the URL at which the .xsd file is published, is not 281 recommended to be published in addition. 282 283 Dynamic XML schemas. 284 A dynamic XML schema is an XML schema for which a DSP numbered document normatively defines (e.g. using prose text) how to create the XML schema or schemas (i.e. the .xsd files) from 285 some input information. The .xsd files themselves shall not be DSP numbered documents. 286 287 An example is the DMTF WS-CIM Mapping Specification [DSP0230] which uses the CIM Schema as input information. 288 289 The release of any DSP numbered documents related to dynamic XML schema shall follow the process for DMTF standards defined in the DMTF Release Process document [DSP4004]. 290 291 The release of the .xsd files conforming to these DSP numbered documents shall follow the 292 process for the CIM Schema defined in the DMTF Release Process document [DSP4004] (since 293 the CIM Schema is the only kind of input information).

| 294 | The format of the URI identifying the target XML namespace of dynamic XML schemas is defined |
|---------------------------------|---|
| 295 | in clause 2 (URI Format for XML Namespaces). |
| 296 | Publishing dynamic XML schemas includes publishing the following entities: |
| 297 | Mandatory: The content of each .xsd file (i.e. the XML schema) under a (resolvable) URL |
| 298 | that is defined as follows: |
| 299 | publishing-url-dynamic-schema = schema-uri ".xsd" |
| 300 | where: |
| 301 | schema-uri is the URI identifying the target XML namespace of that schema, |
| 302 | as defined in 2 (URI Format for XML Namespaces) , where any versions |
| 303 | in the URI are either specific versions or other versions (as defined in 2.2 |
| 304 | (Versions in URIs)). |
| 305 | Example for the complete URL: |
| 306 | http://schemas.dmtf.org/wbem/wscim/1.0.0/ |
| 307 | cim-schema/2.15.0/CIM_ManagedElement.xsd |
| 308 | Mandatory: One or more DSP numbered documents which normatively define (e.g. using |
| 309 | prose text) how to create the XML schemas from some input information. |
| 310 311 312 313 314 | If static XML schemas are needed by such DSP numbered documents, then the static XML schemas shall be published using the rules defined for static XML schemas. An example for this approach is the WS-CIM Mapping Specification (DSP0230), which defines some static XML schemas in addition to the rules for creating dynamic XML schemas representing a subset of the information in the CIM Schema. |

315 4 Publishing XML Instance Documents

Publishing XML instance documents requires that the corresponding XML schema is publicly accessible,
either as a result of publishing an XML schema owned by DMTF as defined in 3 (Publishing XML
Schemas), or because an XML schema not owned by DMTF is publicly accessible.

If that XML schema is owned by DMTF, it shall be static. If the need arises to also publish XML instance
 documents of dynamic XML schemas, this document needs to get extended accordingly.

Even though XML schemas and XSLT stylesheets can be considered XML instance documents
 themselves, this clause does not apply to XML schemas or XSLT stylesheets.

The XML instance document (i.e. the .xml file) shall be a DSP numbered document. An example is the *DMTF WBEM Operations Message Registry* [DSP8016].

The release of XML instance documents with a static XML schema shall follow the process for DMTF standards defined in the *DMTF Release Process* document [DSP4004], using a file extension of ".xml". For example: "DSP8016.xml".

Publishing an XML instance document with a static XML schema shall include publishing the followingentities:

- Mandatory: The content of the DSP numbered .xml file (i.e. the XML instance document) under a (resolvable) URL that is defined as follows:
- 332 publishing-url-xml = schema-uri dsp-number dsp-version ".xml"
- 333 where:

| 334 | schema-uri is the URI identifying the target XML namespace of the XML schema of |
|-----|--|
| 335 | that XML instance document, as defined in 2 (URI Format for XML Namespaces), |
| 336 | where any versions in the URI are either specific versions or other versions (as |
| 337 | defined in 2.2 (Versions in URIs)). |

- 338
 dsp-number the DSP number of that XML instance document in lower case (e.g.

 339
 "dsp8016").

 340
 dsp-version is the specific version of that XML instance document (e.g. "1.0.0").

 341
 Example for the complete URL:

 342
 http://schemas.dmtf.org/wbem/messageregistry/1.0.1/dsp8016/1.0.0.

 343
 xml
- Conditional: The XML schema itself as described in 3 (Publishing XML Schemas), if the XML schema is owned by DMTF.

346 5 Publishing XSLT Stylesheets

- Each XSLT stylesheet published by DMTF shall be a DSP numbered document. An example is the *DMTF Message Registry Print List XSL File* [DSP8000].
- The release of XSLT stylesheets shall follow the process for DMTF standards defined in the *DMTF Release Process* document [DSP4004], using a file extension of ".xsl". For example: "DSP8000.xsl".
- 351 Publishing an XSLT stylesheet shall include publishing the following entities:

| 352 353 | Mandatory: The content of the DSP numbered .xsl file (i.e. the XSLT stylesheet) under a (resolvable) URL that is defined as follows: |
|------------|--|
| 354 | <pre>publishing-url-xml = schema-uri dsp-number dsp-version ".xsl"</pre> |
| 355 | where: |
| 356 357 | schema-uri is the URI for schema area "stylesheet", as defined in 2.3.3 (Schema area "stylesheet"). |
| 358 | dsp-number is the DSP number of that XSLT stylesheet in lower case (e.g. "dsp8000"). |
| 359 | dsp-version is the specific version of that XSLT stylesheet (e.g. "1.0.0"). |
| 360 | Example for the complete URL: |
| 361 | http://schemas.dmtf.org/stylesheet/dsp8000/1.0.0.xsl |

364

365

ANNEX 1

(informative)

Change Log

| Version | Date | Description |
|---------|------------|---|
| 1.0 | 12/08/2005 | Marked Company Review for balloting at TC. |
| 1.0.0a | 01/31/2006 | Addressed comments received during Company Review |
| 1.0.0b | 2005/01/11 | Addressed WIP comments on 1.0.0a version. |
| 1.0.0c | 05/25/2006 | Added Schema publication location. Put in new template. Added XML and Stylesheet support. Changed URI format. |
| | | Reflected TC decisions as of 6/26/2007. |
| 1.0.04 | 06/29/2007 | Separated descriptions for XML schema (static & dynamic) / XML instance documents / XSLT stylesheets. |
| 1.0.0d | | Added relation of XML schemas to accompanying DSP numbered documents and their publishing locations. |
| | | Reintroduced compatibility with XML schemas defined in DSP0320 (WS-CIM). |
| | | Added wbem sub-area wsman. |
| | | Added initial proposal for smbios. |
| | | Changed standard messages URI back to what it was. |
| 1.0.0e | 07/11/2007 | Clarified that URIs are partially case sensitive, with reference to according RFC. |
| | | Moved URI section to the beginning. |
| | | Added description of ABNF usage and ABNF reference. |
| | | Wording changes (schemata -> schemas, URI reference -> reference, other word smithing). |
| | | Moved URI section to the beginning. |
| | | Added section with recommendations for new URIs, introducing a concept of versioned segments, and specific vs. compatible versions. |
| | | Refined proposal for smbios sub-area of "wbem" (now called "biosattributeregistry"). |
| | | Refined proposal for messages sub-area of "wbem" (now called "messageregistry"). |
| 1.0.0f | 07/11/2007 | Added "smashclp" sub-area "clpxmlresponse" and moved dsp0224 stuff there. |
| | | Clarified that URIs are partially case sensitive, with reference to according RFC. |
| | | Resolved discussion about publishing XML instance documents to not publish them (except for the DSP numbered document). |
| | | Added description of ABNF usage and ABNF reference. |
| | | Some word smithing. |
| | | Reflected comments during WIP ballot: |
| | | Added that publishing in lower case applies to any URLs (in addition to URIs). |
| 1.0.0g | 07/19/2007 | Changed description format for biosattributeregistry and clpxmlresponse to follow that of messageregistry. |
| | | Added ".xsd" suffix to URL creation rules. |
| | | Lifted the requirement that DMTF published XML instance documents need their XML schema be published by DMTF so that any outside XML schemas can also |

| Version | Date | Description |
|---------|------------|--|
| | | be used. |
| | | Clarified that XSLT and XSD files (which are XML instance documents themselves) are not published under the rules for XML instance documents (to avoid ambiguity with their own specific clauses). |
| | | Clarified that the XML schema definition of a static XML schema is the DSP numbered document, it is not just contained in it. |
| | | Word smithing. |
| | | Added requirement that /schema@targetnamespace attribute be used in XML schemas. |
| 1.0.0h | 07/20/2007 | Resolved question on smashclp vs. smash schema area: Renamed the schema area "smashclp" to "smash" and sub-area "clpxmlresponse" to "commandresponse" (both are used in DSP0224 which has Final status. Used the unchanged DSP0224 URI and noted that the "/dsp0224.xsd" ending is an exception from the general rule. |
| | | Clarified that BIOS Attribute Registry XML Schema will actually be coming up. |
| | | Resolved comments during WIP ballot ending 7/26/2007: |
| | | Upleveled RFC for ABNF from RFC2234 to RFC4234 |
| | | • Removed links in Reference section for specifications that are not yet published and where this document covers their publication. |
| | | Clarified that compatible versions shall refer to latest published final version, also moved definition of versioning into its own subclause 2.2 "Versions in URIs". |
| 1.0.0i | 08/23/2007 | Clarified that version numbers shall not have leading zeros or plus signs. |
| | | Merged ABNF in 2.4 "Recommendations for schema area-specific portion of new URIs" into ABNF in 2.1 "General URI Format". |
| | | Removed restriction in rules for publishing dynamic XML schemas to apply only to XML schemas created from CIM Schema. |
| | | Changed publishing place for static XML schemas, XML instance documents and XSLT stylesheets from the DMTF standards page to the schemas.dmtf.org URL. |
| | | Added examples to publishing URLs. |
| | | Resolved additional comments from reviewing previous version: |
| | | Added DSP version of XML instance document to publishing URL for XML instance documents. |
| | | Clarified that the specific version shall be used in XML namespace URIs. |
| 1.0.0j | 08/27/2007 | Fixed inconsistency with general URI format of empty area-specific portion used by schema area "stylesheet". |
| | | Clarified in recommendation subclause that rule to avoid DSP numbers only applies to URIs but not to publishing URLs. |
| | | Corrected date of RFC4234. |
| | | Some typo fixes, word smithing and formatting. |
| 1.0.0a | 10/3/2007 | New DSP4009 to reflect a process document |

| 367 368 | ANNEX 1 (informative) |
|------------|---|
| 369 | |
| 370 | Acknowledgments |
| | |
| 371 | The authors wish to acknowledge the following people. |
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| 377 | Steve Hand – Symantec |
| 378 379 | Andreas Maier - IBM |